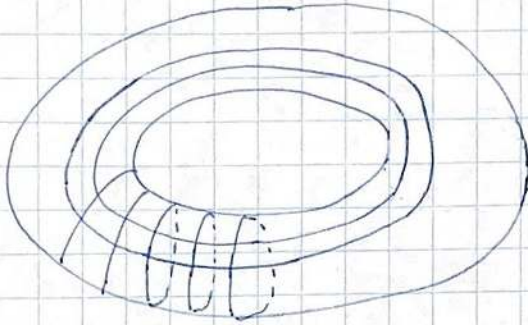
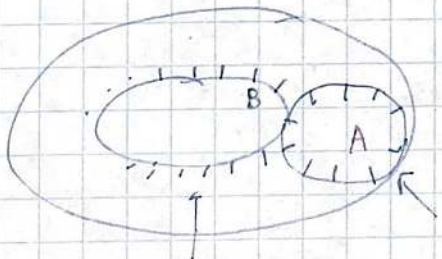


Toric code (Kitaev 1997, FTQC by Anyons):



torus, add a square lattice  
note: no boundary



$L$  squares wrapping around either direction

$L$  squares

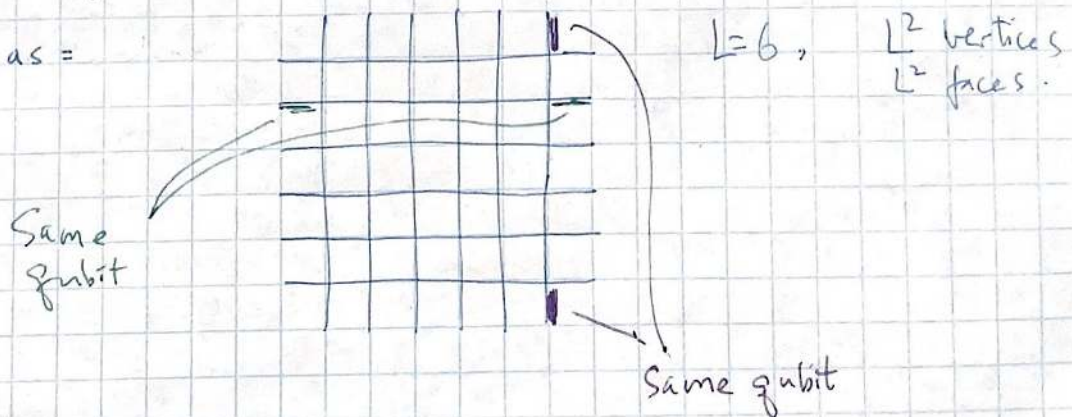
Now associate each edge with a physical qubit.

For the A type circles:  $L$  qubits / circle  
 $L$  circles

Similarly for the B type circles.


Total  $2L^2$  qubits.

Can draw as =



Define a CSS code:

X stabilizers: for each vertex  $v$ :  X on qubits on edges incident to  $v$ , I elsewhere  
"star operator".

Z stabilizers: for each face  $f$ :  Z on qubits on edges incident to  $f$ , I elsewhere  
"plaquette operator".

NR: labelled as  $A_s, B_p$  in Kitaev.

use  $X_v, Z_p$  here (subscripts are not integers, different than the usage  $X_i$  for X on the  $i$ -th qubit.)

①  $\forall v, p, [X_v, Z_p] = 0$  since a star & a plaquette overlap on either 0 or 2 edges.

$\therefore$  The above generates a valid stabilizer.

②  $\prod_v X_v = I$  since edge  $e$  is incident to 2 vertices  $v, w$ .

$\therefore X_e$  appears in  $X_v, X_w$ , and no other  $X_t$ .

$\uparrow$   
X on qubit  $e$

$\uparrow \uparrow$   
star ops on vertex  $v, w$

$\prod_p Z_p = I$  similarly.

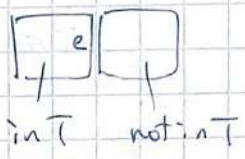
So, the  $L^2$ -th  $X_v$  and the  $L^2$ -th  $Z_p$  can be removed from the generator set.

(3) Suppose  $T =$  subset of placards s.t.  $\prod_{p \in T} Z_p = I$ .

Then  $T = \emptyset$  or set of all  $L^2$  placards.

Pf: If  $T \neq \emptyset$ ,  $T \neq$  all placards,

$\exists$  two adjacent placards, one in  $T$  and one not.



but  $\prod_{p \in T} Z_p$  will have  $Z_e$  on the edge  $e$  incident to

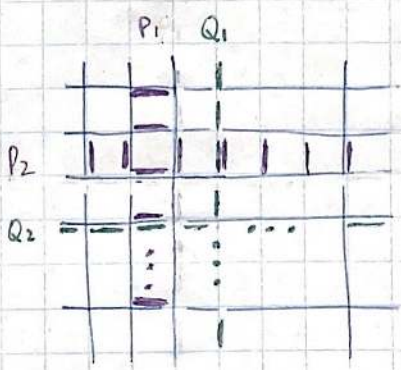
both placards, contradicting  $\prod_{p \in T} Z_p = I$ .

It means  $L^2 - 1$   $Z_p$ 's are multiplicatively independent.

Similarly, any  $L^2 - 1$   $X_p$ 's are multiplicatively indep.

(4) From (3), toric code encodes 2 qubits in  $2L^2$  qubits.

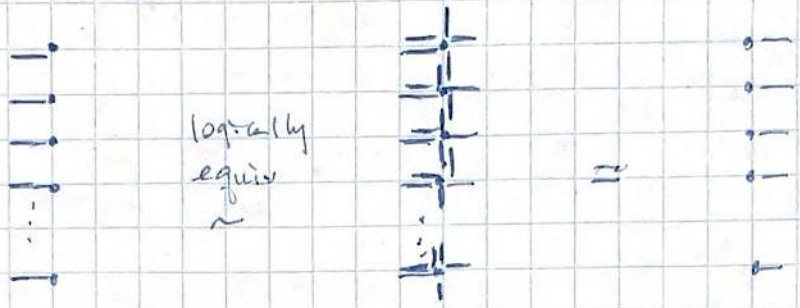
(5) Consider the following 4 operators:



$\text{---} \cdot \text{---} \quad X$ 's  
 $\text{---} \cdot \text{---} \quad Z$ 's

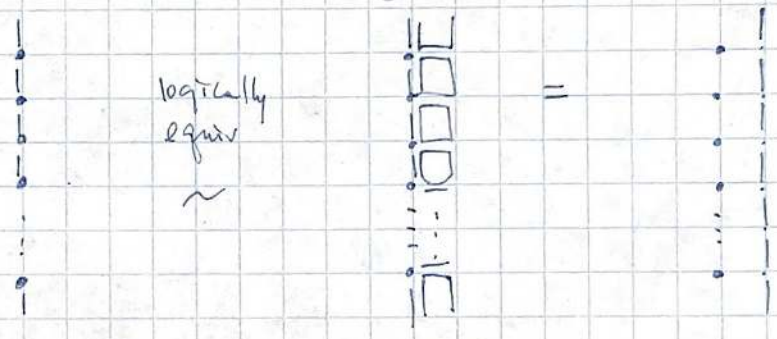
- (a)  $P_1, P_2 \in N(S)$  since overlap with each  $Z_p$  on 2 edges
- (b)  $Q_1, Q_2 \in N(S)$   $\text{---} \text{---} \text{---}$   $X$  or  $Z$  edges

Can shift  $P_1$  left-right using star operators.



Similarly can shift  $P_2$  up-down using star ops.

Can shift  $Q_1$  left-right using placards:



Can shift  $Q_2$  up-down using placard ops.

(d)  $[P_1, P_2] = 0$

$\therefore$  choosing  $P_1 = \bar{X}_1, P_2 = \bar{X}_2$

$[Q_1, Q_2] = 0$

$Q_1 = \bar{Z}_2, Q_2 = \bar{Z}_1$

$[P_1, Q_1] = 0$

satisfies all needed com/anticom relations

$[P_2, Q_2] = 0$

NB we have not yet shown that  $P_1, P_2, Q_1, Q_2 \notin S$

$\{P_1, Q_2\} = 0$

but the anticom rel's above give a proof.

$\{P_2, Q_1\} = 0$

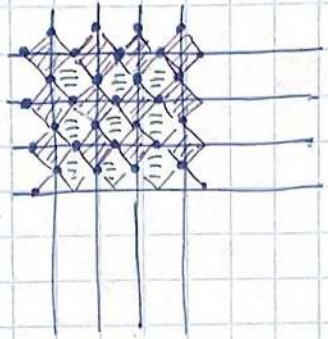
ie  $\because Q_2 \in N(S) \therefore P_1 \notin S$

$\because P_1 \in N(S) \therefore Q_2 \notin S$

$\because Q_1 \in N(S) \therefore P_2 \notin S$

$\because P_2 \in N(S) \therefore Q_1 \notin S$

6 Symmetry between X & Z



• denotes a qubit



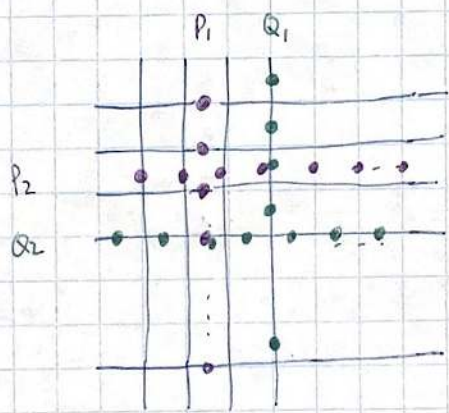
star-op.



plaquette op.

NB: recall the Hypergraph product code in lec 5

• corr to  $V_1 \times V_2 \cup C_1 \times C_2$



• = X's, • = Z's

The HGP code has boundary (the surface code) while the toric code does not.

The HGP code only encodes 1 qubit.

(See P7 of lec 6.5 part 2 for example.)

(6)

(7) Since the topic is a CSS code, we can consider any Pauli operator by considering the X errors and Z errors separately.

By the symmetry in (6), suffices to consider Z errors.

each edge belongs to only one of the following

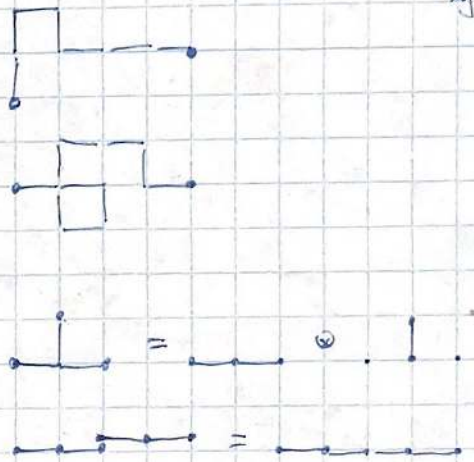
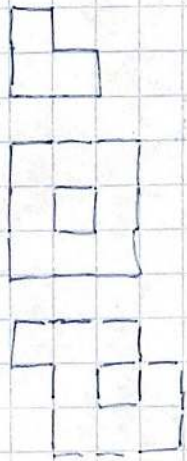
Using original description that qubits live on edges, a configuration of Z errors corresponds to a subset of edges. The subset can be partitioned into the following:

(a) loops contractable on the torus

(b) walks with 2 distinct end points

(c) loops not contractable on torus

eg  $P_1, P_2, Q_1, Q_2$



Obs: each is a product of plaquette ops

Obs: no repeated edges but vertices may appear in multiple paths

Obs: these are at least weight L.

∴ logical identity ops

- No error !!
- in S

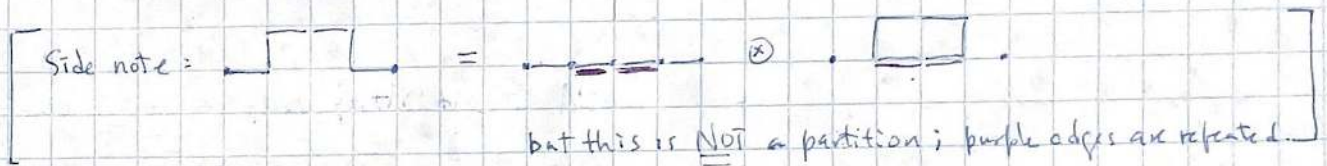
- Detectable errors !!
- not in N(S)
- if end points are  $v_i, w$  then error anti.com with  $X_{v_i}$  and  $X_w$ .

- Logical error !!

Note we do not replace contractable loops by their logical equivalence; we want to track the weight / prob of the  $\mathbb{Z}$  error configuration later. (7)



But... we can choose a different partition & move first factor to (a):



$\therefore$  can partition the edges into loops and paths, max deg 2,

(8) Recall from lec 02 - part 2 page (22),

for stabilizer  $S$ , distance for  $T(S) = \min \{ \text{wt } A : A \in N(S) - S \} \geq L$ .

Since  $A = A_x \cdot A_z$ ,

at least one of  $A_x$  or  $A_z$  has to be in  $N(S) - S$ , with weight  $\geq L$ , according to discussion in (7).

$\therefore$  Toric code is a  $[[2L^2, 2, L]]$  code.

(correcting arbitrary  $\frac{L-1}{2}$  error channels).

9 Continuing from 7, for a configuration of  $Z$  errors:

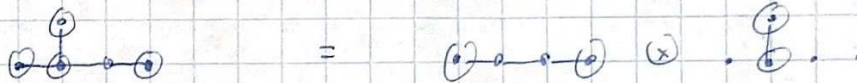
What are the  $2L^2 - 2$  syndrome bits?

(a) meas any  $Z_p$  gives  $\pm 1$

(b) meas any  $X_r$  gives  $\pm 1$  if  $\deg(r)$  <sup>even</sup>/<sub>odd</sub> for the graph w/ edges  $\text{rib } Z$ 's.

NB loops in (b) don't contribute.

meas  $X_r$  gives  $-1$  if  $r$  end pt of a path in (b)



meas  $X_r$  gives  $-1$   
for all circled vertices  
(deg 1 or 3)

meas  $X_r$  gives  $-1$   
for all end pts  
of paths.

These are called charges.

(Similarly for  $X$  errors,  $p$  is a vortex if meas  $Z_p$  gives  $-1$ .)

Charges & Vortices are "excitations".

NB Excitation comes in pairs.



(10) Decoding algorithms

By symmetry of  $X \leftrightarrow Z$ , consider  $Z$  errors.

let  $E =$  set of edges with  $Z$  errors, call the error  $Z_E$ .

Alg = classical computation returning a set  $R$  of edges

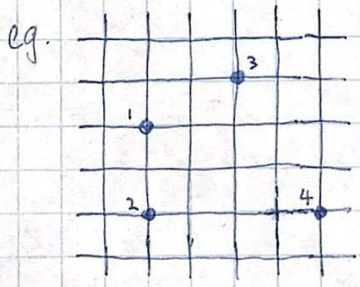
Attempted correction:  $Z_R$  ( $Z$  on each edge in  $R$ )

Correct iff  $Z_R Z_E \in S$

product of contractable loops.

(a) Minimal weight matching:

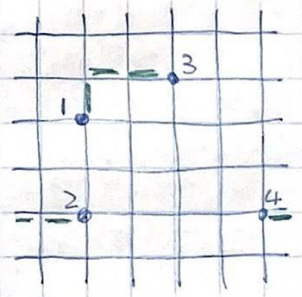
Edges in  $R$  connect pairs of excitations, min size of  $R$ .



$L=6$ , meas  $X_0$  gives  $-1$ .

- dist  $(1,2) = 2$
- $(1,3) = 3$
- $(2,4) = 2$  !!
- $(3,4) = 5$
- $(2,3) = 5$
- $(1,4) = 4$

} invalid to connect  $(1,2), (2,4)$  (not a matching)  
 min wt  $R$  connects  $(1,3), (2,4)$  (matching)

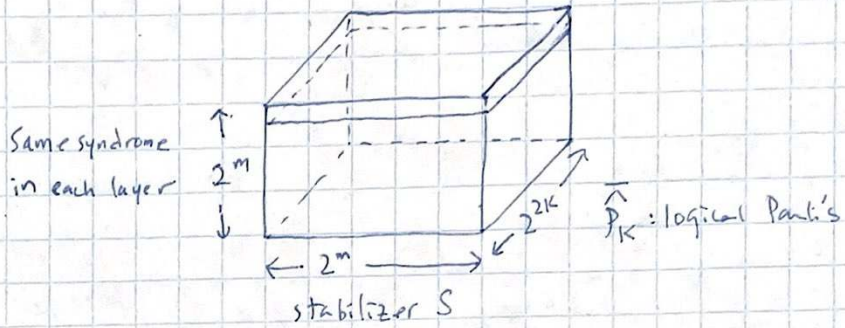


end {example}

(10)

By construction,  $Z_R Z_E \in N(S)$  ( $\because Z_R, Z_E$  have same syndrome).

Recall from lec 02-part 2 page (21):



$m = 2L^2 - 2, K = 2$  for toric code.

$\therefore Z_R Z_E =$  product of logical  $Z$ 's (by choice of  $X$ 's,  $Z$ 's)

/ \

physical  $X$ 's  $Z$ 's

Logical error  $\Rightarrow Z_R Z_E$  forms a non contractible loop

$\Rightarrow$  at least  $L$  edges in  $R \cup E$

$\Rightarrow$  at least  $L/2$  edges in  $E$  (e.g., choosing  $R = E$  has strictly lower weight)

$\therefore$  This decoding method, applied to both  $X$  &  $Z$  errors, corrects arbitrary  $\lfloor \frac{L-1}{2} \rfloor$ -qubit error channel.

So far we have focused on the distance of a QECC, which is related to the max # of adversarial error that can be corrected.

In lec 01, we showed how independent errors can be corrected using a code with distance  $\approx n \cdot \epsilon \times \text{const}$ .

/                      \

block length      prob error for each qubit.

But the linear distance need not be necessary.

Suppose each qubit has prob  $\epsilon$  to have a error, independently.

$$\text{Prob}(\text{logical error}) = \sum_E \text{Prob}(E) \times \text{Prob}(\text{logical error} | E)$$

$$= \sum_E \text{Prob}(E) \times \text{Prob}(\underbrace{Z E Z E \text{ contains some non contractible loop}}_{\wedge})$$

$$\sum_{\substack{\text{non contractible loop } C \\ \wedge}} \text{Prob}(Z E Z E \text{ contains } C)$$

$$= \sum_E \text{Prob}(E) \sum_{l \geq L} (\# \text{ of loops of length } l) \text{Prob}(Z E Z E \text{ contains } \tilde{C})$$

any loop of length  $l$

$$= \sum_{l \geq L} (\# \text{ of loops of length } l) \times \sum_E \text{Prob}(E) \text{Prob}(Z E Z E \text{ contains } \tilde{C})$$

$$\leq L^2 \times 4 \times 3^{l-1}$$

# initial pts   # ways to walk.

$$\leq 2^l \times \epsilon^{l/2}$$

all possible configurations of  $Z$  errors in  $\tilde{C}$

$$= \frac{4L^2}{3} \sum_{l \geq L} (36\epsilon)^{l/2} \quad \left(\epsilon_0 = \frac{1}{36}\right)$$

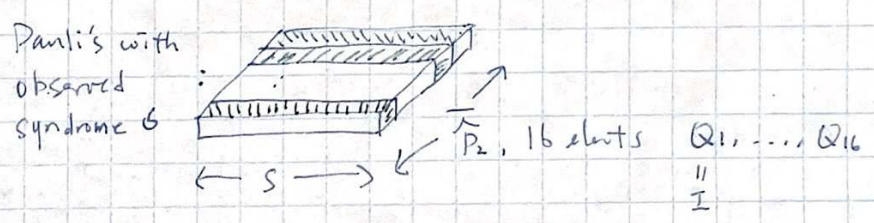
$$\leq \frac{4L^2}{3} \cdot \left(\frac{\epsilon}{\epsilon_0}\right)^{L/2} \frac{1}{1 - \left(\frac{\epsilon}{\epsilon_0}\right)^{1/2}} \quad \text{if } \epsilon < \epsilon_0 \approx 2.8\% !!$$

∴ Can correct  $\approx 2.7\% \times 2L^2$  errors with prob  $\geq 1 - \exp(-L \times \text{const}) !!$   
 $\gg$  distance  $L$

Numerical: 10.6% errors correctable whp !!

(b) Max likelihood decoding.

Suppose  $E$  occurs with prob  $p(E)$ . (non-existing for adversarial error)



Each  $\bar{Q}_i$  labels a set of  $2^{2L^2-2}$  Paulis.

Let  $\Pr(\bar{Q}_i) = \sum_E \Pr(E)$   
 contained in the set labeled by  $\bar{Q}_i$

Find  $i$  that  $\max \Pr(\bar{Q}_i)$

Revert  $\bar{Q}_i$ .

NB: For indep errors, max likelihood decoding outperforms min wt matching decoding.  
 eg. if prob of  $x$  error, prob of  $\geq 2$  error  $< 10.9\%$ , prob failure  $\sim e^{-2L} \cdot L$ .

(11) Surface code  $\sim$  toric code

has very good fault-tolerance properties...

ie if each physical operation (including those used in QECC syndrome meas & correction)

can fail, toric code still works with a reasonable threshold noise rate.

Wait for part 3 for concatenated approach.

↑  
topological approach

(12) toric code  $\sim$  space of min eigenvalue of local Hamiltonian.

$$H = -\sum_r X_r - \sum_p Z_p$$

intuition that gs protected  $\rightarrow$  QECC inspiration

References:

• 9707021

• Keynote: Introduction to surface codes by Kitaev

Youtube channel: Google Quantum AI, Sept 07, 2022

<https://www.youtube.com/watch?v=M25fBmF9XR0>

↑  
Number  
Zero.

• Preskill notes (theory.caltech.edu/~preskill/ph229)

Toric code recovery, fault-tolerant recovery, fault-tolerant gates  
(2011 handwritten notes) p1-3.