

CO781 / QIC 890:

Theory of Quantum Communication

Topic 3, part 1

Joint Typicality

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References:

Cover & Thomas, Chapter 8

From entropy (typicality) to correlations (Joint Typicality)

Consider 2 random variables X, Y .

Def [Jointly typical sequence]

Given a distribution $p(x, y)$, drawn iid n times

$x^n y^n$ is δ -jointly typical if

$$\textcircled{a} \quad \left| -\frac{1}{n} \log p(x^n) - H(X) \right| \leq \delta \quad (x^n \text{ typical})$$

$$\textcircled{b} \quad \left| -\frac{1}{n} \log p(y^n) - H(Y) \right| \leq \delta \quad (y^n \text{ typical})$$

$$\textcircled{c} \quad \left| -\frac{1}{n} \log p(x^n y^n) - H(X, Y) \right| \leq \delta \quad ((x, y)^n \text{ typical})$$

Def [Jointly typical set]

$$A_{n, \delta} = \left\{ x^n y^n \in \Omega_x^n \times \Omega_y^n : x^n y^n \text{ jointly typical} \right\}$$

Will see: under n iid draws of $p(x, y)$, the jointly typical set occurs with prob $\rightarrow 1$, so we can safely use its properties ...

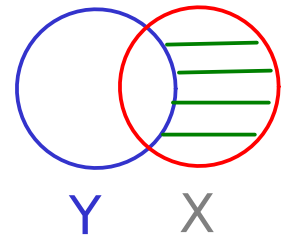
max of $p(x^n y^n)$

Obs: if $x^n y^n \in A_{n,\delta}$,

$$\text{then } p(x^n | y^n) = \frac{p(x^n y^n)}{p(y^n)} \leq \frac{2^{-n(H(XY) - \delta)}}{2^{-n(H(Y) + \delta)}} \approx 2^{-n(H(X|Y) - 2\delta)}$$

min of $p(y^n)$

Recall the chain rule
 $H(XY) = \underline{H(Y)} + \underline{H(X|Y)}$.



Obs: if $x^n y^n \in A_{n,\delta}$,

$$\text{then } p(x^n | y^n) = \frac{p(x^n y^n)}{p(y^n)} \leq \frac{2^{-n(H(x|Y) - \delta)}}{2^{-n(H(Y) + \delta)}} \leq 2^{-n(H(x|Y) - 2\delta)}$$

$$p(x^n | y^n) = \frac{p(y^n y^n)}{p(y^n)} \geq \frac{2^{-n(H(x|Y) + \delta)}}{2^{-n(H(Y) - \delta)}} \geq 2^{-n(H(x|Y) + 2\delta)}$$

For each such y^n , the above two conditions say that

there are $\approx 2^{nH(x|Y)}$ \tilde{x}^n 's s.t. $\tilde{x}^n y^n \in A_{n,\delta}$

Proof: similar to the proof for the AEP.

This observation is crucial for the Joint AEP ...

Thm [Joint AEP]

Using above defs, $\forall \epsilon > 0 \forall \delta > 0 \exists n_0$ s.t. $\forall n > n_0$

$$\textcircled{1} \Pr(A_{n,\delta}) \geq 1 - \epsilon$$

$$\textcircled{2} (1 - \epsilon) 2^{n(H(X,Y) - \delta)} \leq |A_{n,\delta}| \leq 2^{n(H(X,Y) + \delta)}$$

The 2 parts above are similar to the AEP.

Note that the jointly typical set is defined wrt $p(xy)$ drawn n times iid. Once defined, it is just a set.

Now we ask questions about the set concerning OTHER distributions.

Thm [Joint AEP]

Using above defs, $\forall \epsilon > 0 \forall \delta > 0 \exists n_0$ s.t. $\forall n > n_0$

① $\Pr(A_{n,\delta}) \geq 1 - \epsilon$

② $(1 - \epsilon) 2^{n(H(XY) - \delta)} \leq |A_{n,\delta}| \leq 2^{n(H(XY) + \delta)}$

$$2^{nH(XY)}$$

The 2 parts above are similar to the AEP.

$$\frac{2^{nH(XY)}}{2^{nH(X)} 2^{nH(Y)}}$$

③ Suppose $x^n y^n$ is drawn according to the following distribution:

$$p(x^n y^n) = p(x^n) \cdot p(y^n)$$

then $2^{-n(I(X;Y) + 3\delta)} \leq \Pr(x^n y^n \in A_{n,\delta}) \leq 2^{-n(I(X;Y) - 3\delta)}$

One quick intuition to see this: there are

$2^{nH(X)}$ typical x^n 's and $2^{nH(Y)}$ typical y^n 's

If they're chosen indep, there are

$2^{nH(X)} 2^{nH(Y)}$ typical, equiprobable $x^n y^n$'s

but there are only $2^{nH(XY)}$ elements in the jointly typical set.

Thm [Joint AEP]

Using above defs, $\forall \epsilon > 0 \forall \delta > 0 \exists n_0$ s.t. $\forall n > n_0$

$$\textcircled{1} \Pr(A_{n,\delta}) \geq 1 - \epsilon$$

$$\textcircled{2} (1 - \epsilon) 2^{n(H(X,Y) - \delta)} \leq |A_{n,\delta}| \leq 2^{n(H(X,Y) + \delta)}$$

The 2 parts above are similar to the AEP.

$\textcircled{3}$ Suppose $x^n y^n$ is drawn according to the following distribution:

$$f(x^n y^n) = p(x^n) \cdot p(y^n)$$

then
$$\sum_{\substack{f \\ \neq}}^{-n(I(X:Y) + 3\delta)} \leq \Pr(x^n y^n \in A_{n,\delta}) \leq \sum_{\substack{f \\ \neq}}^{-n(I(X:Y) - 3\delta)}$$

The third part captures the "jointness" of X and Y

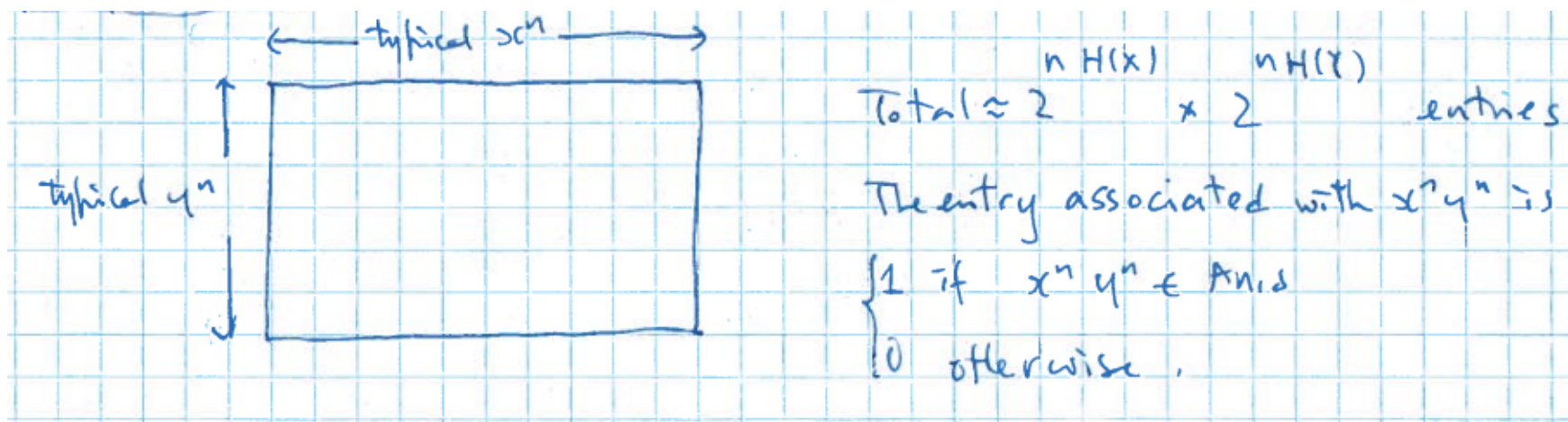
** if ** $x^n y^n$ are not from the joint distribution for XY,

but $x^n y^n$ are independent, $x^n y^n$ unlikely in the jointly typical set.

the "unlikelihood" is exp in $I(X:Y)$

Proof (see Cover & Thomas), based on AEP and union bound etc.

We can summarize joint typicality and JAEP by a matrix:



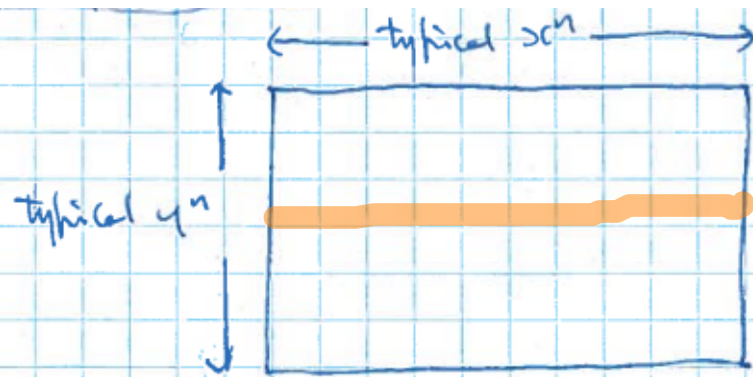
$$\textcircled{1} \Pr(\tilde{A}_{n,\delta}) \geq 1 - \epsilon$$

$$\textcircled{2} (1 - \epsilon) 2^{n(H(x,y) - \delta)} \leq |A_{n,\delta}| \leq 2^{n[H(x,y) + \delta]}$$

① say that tolerating a prob of failure ϵ , we can focus on this table

② say there are $\approx 2^{nH(x,y)}$ "1"s

We can summarize joint typicality and JAEP by a matrix:



Total $\approx 2^{nH(X)} \times 2^{nH(Y)}$ entries

The entry associated with $x^n y^n$ is
 $\begin{cases} 1 & \text{if } x^n y^n \in A_{n,\delta} \\ 0 & \text{otherwise.} \end{cases}$

① says that tolerating a prob of failure ϵ , we can focus on this table

② says there are $\approx 2^{nH(X)}$ "1"s

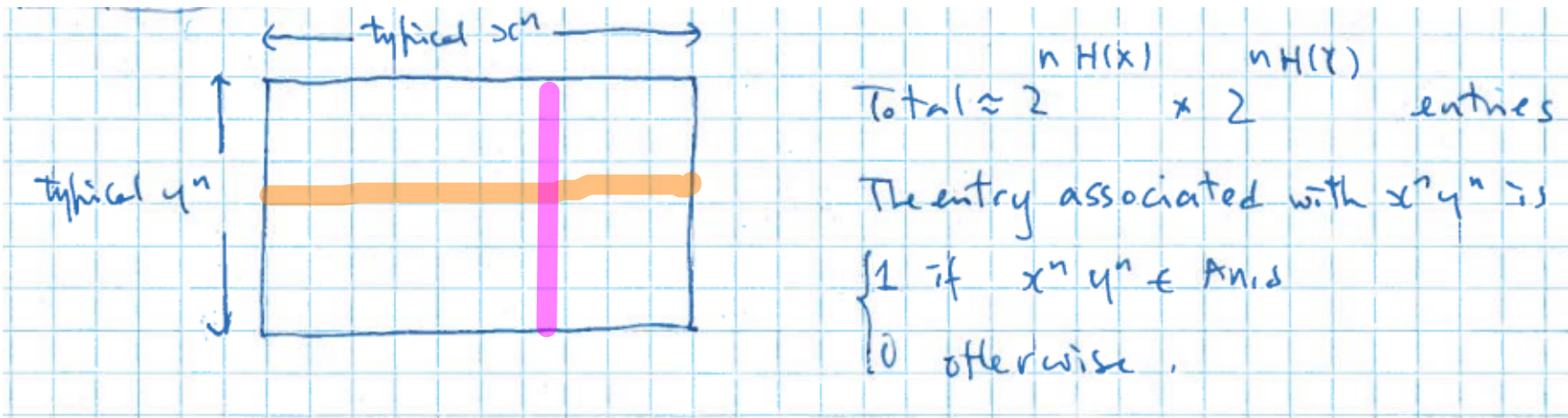
Obs: if $x^n y^n \in A_{n,\delta}$,

$$\text{then } p(x^n | y^n) = \frac{p(x^n y^n)}{p(y^n)} \leq \frac{2^{-n(H(XY) - \delta)}}{2^{-n(H(Y) + \delta)}} \leq 2^{-n(H(X|Y) - 2\delta)}$$

$$p(x^n | y^n) = \frac{p(y^n | x^n)}{p(x^n)} \geq \frac{2^{-n(H(XY) + \delta)}}{2^{-n(H(X) - \delta)}} \geq 2^{-n(H(X|Y) + 2\delta)}$$

Obs says each row has approximately $2^{nH(X|Y)}$ "1"s

We can summarize joint typicality and JAEP by a matrix:



① says that tolerating a prob of failure ϵ , we can focus on this table

② says there are $\approx 2^{nH(XY)}$ "1"s

Obs: if $x^n y^n \in A_{n,d}$,

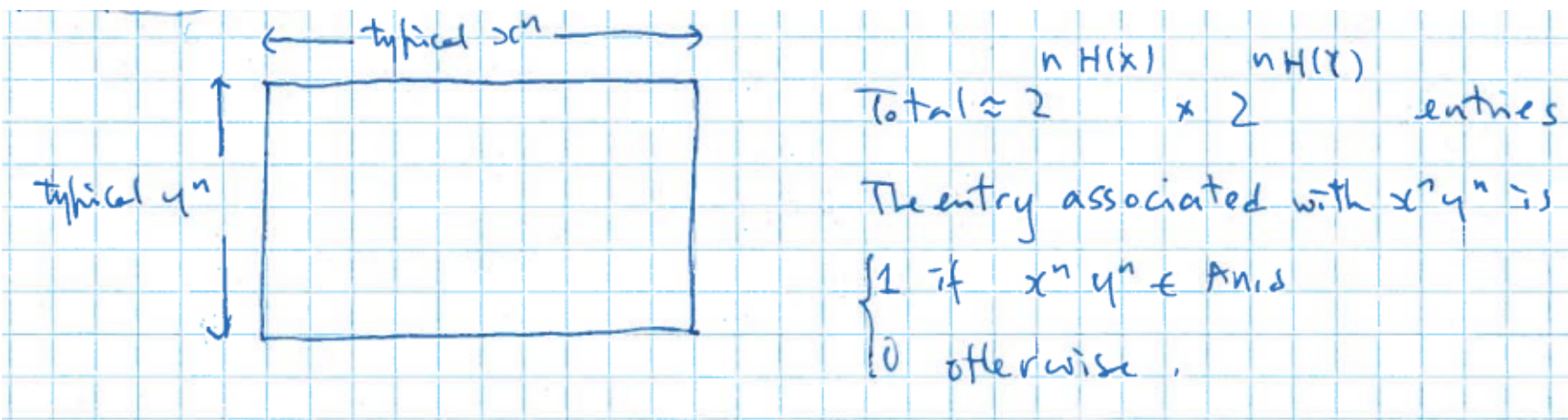
$$\text{then } p(x^n | y^n) = \frac{p(x^n y^n)}{p(y^n)} \leq \frac{2^{-n(H(XY) - d)}}{2^{-n(H(Y) + d)}} \leq 2^{-n(H(X|Y) - 2d)}$$

$$p(x^n | y^n) = \frac{p(x^n y^n)}{p(y^n)} \geq \frac{2^{-n(H(XY) + d)}}{2^{-n(H(Y) - d)}} \geq 2^{-n(H(X|Y) + 2d)}$$

obs says each column has $\approx 2^{nH(X|Y)}$ "1"s
 each row $\approx 2^{nH(Y|X)}$ "1"s

XY symmetric here ...

We can summarize joint typicality and JAEP by a matrix:



① says that tolerating a prob of failure ϵ , we can focus on this table

② says there are $\approx 2^{nH(X,Y)}$ "1"s

obs says each column has $\approx 2^{nH(Y|X)}$ "1"s
 each row $\approx 2^{nH(X|Y)}$ "1"s

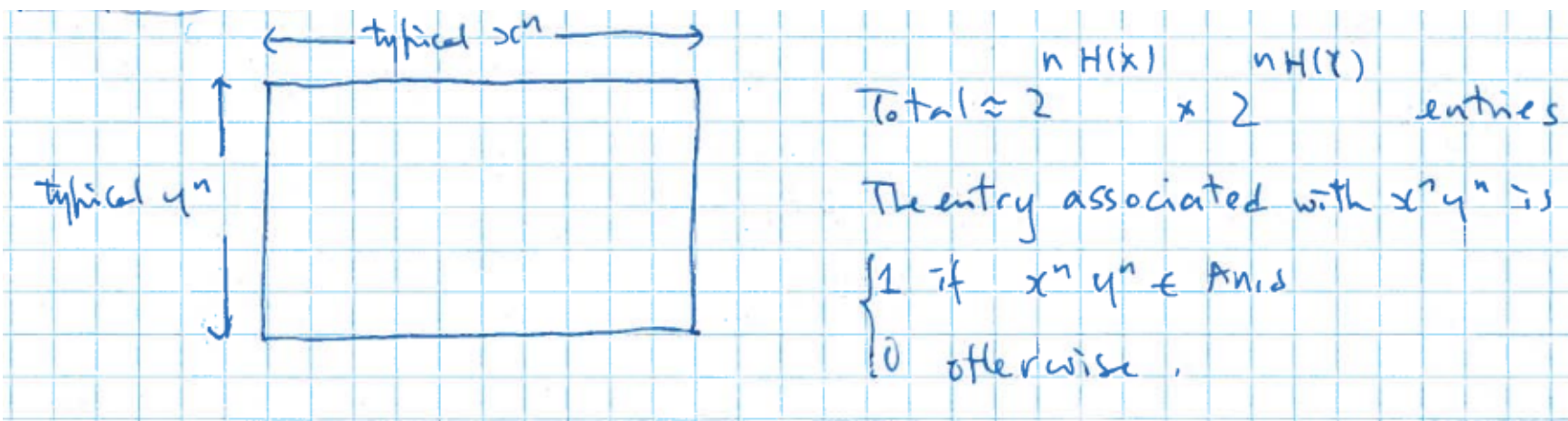
③ Suppose $x^n y^n$ is drawn according to the following distribution:

$$f(x^n y^n) = p(x^n) \cdot p(y^n)$$

then
$$2^{-n(I(X;Y) + 3\delta)} \leq \Pr_{\mathcal{P}}(x^n y^n \in A_{n,\delta}) \leq 2^{-n(I(X;Y) - 3\delta)}$$

③ says a random entry in the table has prob $= 2^{-nI(X;Y)}$ to be "1".

We can summarize joint typicality and JAEP by a matrix:



① says that tolerating a prob of failure ϵ , we can focus on this table

② says there are $\approx 2^{nH(x,y)}$ "1"s

obs says each column has $\approx 2^{nH(y|x)}$ "1"s
 each row $\approx 2^{nH(x|y)}$ "1"s

③ says a random entry in the table has prob $= 2^{-nI(x;y)}$ to be "1".

Joint typicality gives the most critical tool to analyse classical comm through classical noisy channels. Here's an example of a joint distribution that will be relevant for this aim.

Example:

$$\mathcal{X} = \mathcal{Y} = \{0,1\}$$

$$p(00) = \frac{1}{2}(1-e)$$

$$p(01) = \frac{1}{2}e$$

$$p(10) = \frac{1}{2}e$$

$$p(11) = \frac{1}{2}(1-e)$$

for $e \in [0,1]$,

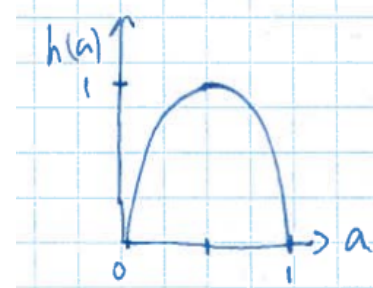
NB. e = probability for XY to disagree, say, $e = 0.1$

$$\begin{aligned} \text{e.g. } H(XY) &= 2 \left(-\frac{1}{2}\right) (1-e) \log\left(\frac{1}{2}(1-e)\right) + 2 \left(-\frac{e}{2}\right) \log\left(\frac{e}{2}\right) \\ &= 1 + h(e) = 1.469 \end{aligned}$$

where $h(a) = -a \log a - (1-a) \log(1-a)$ binary entropy function

From JAEP (1):

$$\approx 2^{n \cdot 1.469} \text{ jointly typical } x^n y^n \text{'s}$$



For any e , $H(X) = H(Y) = 1$

$$\begin{aligned} \text{For } e = 0.1, \\ H(X|Y) &= H(XY) - H(Y) \\ &= 0.469 \end{aligned}$$

For each typical y^n , there are $\approx 2^{nH(X|Y)} = 2^{n \cdot 0.469}$ \tilde{x}^n 's such that $\tilde{x}^n y^n$ is jointly typical.

$$\begin{aligned} \text{For } e = 0.1, \\ I(X:Y) &= H(X) - H(X|Y) \\ &= 0.531 \end{aligned}$$

For an \tilde{x}^n chosen randomly for the typical set for $X_1 \dots X_n$,
 $\text{Prob}(\tilde{x}^n y^n \notin A_{n,\epsilon}) \approx \frac{2^{nH(X|Y)}}{2^{nH(X)}} \approx 2^{-nI(X:Y)} \approx 2^{-0.531n}$.