

CO781 / QIC 890:

Theory of Quantum Communication

Topic 1, part 5

What is communication of data?

The no-signalling principle

Optimality of superdense coding and teleportation

Cobits, duality of SD and TP,

and unitary gates as bidirectional channels

Equivalence of generalized teleportation

& generalized encryption of quantum states

Non-composable qbit: remote state preparation

& approximation encryption of pure states

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## References:

### Private quantum channel:

- Ambainis, Mosca, Tapp, deWolf 2000
- Boykin, Roychowdhury 2000

### Connecting generalized teleportation & generalized encryption of quantum states:

- Leung, Shor 2002

### Remote state preparation & approx encryption:

- Lo 1999
- Bennett, DiVincenzo, Shor, Smolin, Terhal, Wootters 00
- Devetak 2001
- Leung, Shor 2002
- Bennett, Hayden, Leung, Shor, Winter 2003
- Hayden, Leung, Shor, Winter 2003

## Lo 99: Remote state preparation of a re-bit

Alice comes up with some  $|\psi\rangle = a|0\rangle + b|1\rangle$ ,  $a, b \in \mathbb{R}$

Her goal: prepare a copy of  $|\psi\rangle$  in Bob's lab

using entanglement & classical communication

Solution 1: teleportation

But is there a cheaper solution ?

## Lo 99: Remote state preparation of a re-bit

Alice comes up with some  $|\psi\rangle = a|0\rangle + b|1\rangle$ ,  $a, b \in \mathbb{R}$

Her goal: prepare a copy of  $|\psi\rangle$  in Bob's lab

using entanglement & classical communication

Solution 2:

Alice & Bob share 1 ebit  $|\Phi\rangle = \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle)_{AB}$

$$= \frac{1}{\sqrt{2}} \left[ (a|0\rangle + b|1\rangle) \otimes (a|0\rangle + b|1\rangle) + (-b|0\rangle + a|1\rangle) \otimes (-b|0\rangle + a|1\rangle) \right]$$

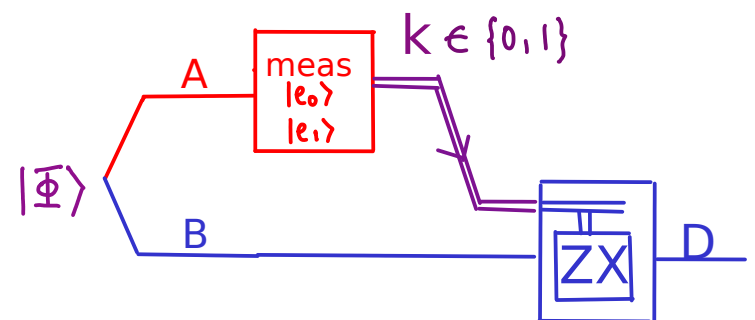
Alice measures A along the basis:  $|e_0\rangle = a|0\rangle + b|1\rangle$ ,

$$|e_1\rangle = -b|0\rangle + a|1\rangle$$

& tells Bob the outcome (1 cbit)

If outcome is  $|e_0\rangle$ , Bob has  $|e_0\rangle$

If outcome is  $|e_1\rangle$ , Bob has  $|e_1\rangle$   
in which case he applies ZX to  
recover  $|e_0\rangle$ .



## Remarks:

1. Alice's measurement depends on  $a, b$   
Bob's decoding is independent on  $a, b$
2. Why does RSP not contradict the optimality of TP?
  - (a) Only works for some qubit states, not all  
but this limitation is not fundamental  
-- we will see a solution for large dimension
  - (b) Protocol does not produce "qubits" -- it does not preserve entanglement between the transmitted system and an arbitrary reference system.  
**Crucial -- optimality proof of TP does not apply.**
  - (c) Alice needs to know the state  
**Crucial -- knowledge is power ...**

We will discuss:

1. remote state preparation for arbitrary pure states in  $d$  dims
2. make connection with approximate encryption
3. open problems and known bounds
4. discuss extensions to superdense coding of quantum state (leaving most in A1)

A useful lemma:

If Alice and Bob share  $|\Phi_d\rangle = \frac{1}{\sqrt{d}} \sum_{i=1}^d |ii\rangle$  on AB  
and Alice applies (to A) meas with POVM  $\{M_k\}$ ,

then,  $\text{prob}(k) = \frac{1}{d} \text{tr}(M_k)$ ,

postmeas state conditioned on outcome  $k = \frac{M_k^T}{\text{tr} M_k}$

Proof: similar to self-study notes, show that

$$\text{tr}_1 ( |\Phi_d\rangle\langle\Phi_d| M_k \otimes I ) = \frac{1}{d} M_k^T$$

||

$\text{prob}(k) * \text{postmeas state}$

Rule of thumb: to "induce" a state  $\rho$ , choose  $M_k \propto \rho^T$

## Lo 99: Remote state preparation of a re-bit (revisited)

Alice comes up with some  $|\psi\rangle = a|0\rangle + b|1\rangle$ ,  $a, b \in \mathbb{R}$

Her goal: prepare a copy of  $|\psi\rangle$  in Bob's lab  
using entanglement & classical communication

Solution 2: Alice & Bob share 1 ebit  $|\Phi\rangle$

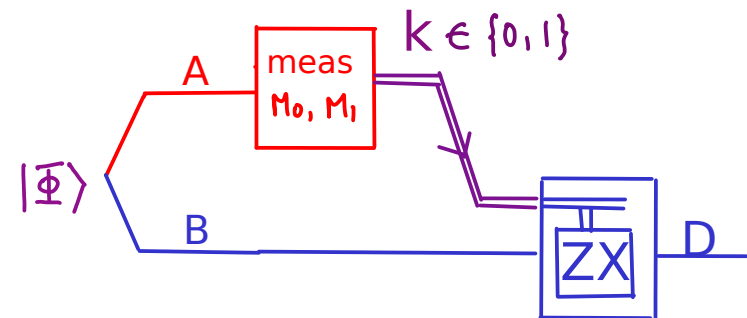
Let  $M_0 = (|\psi\rangle\langle\psi|)^T = \begin{bmatrix} a^2 & ab \\ ab & b^2 \end{bmatrix}$ ,  $M_1 = (XZ|\psi\rangle\langle\psi|ZX)^T = \begin{bmatrix} a^2 & -ab \\ -ab & b^2 \end{bmatrix}$

NB  $M_0, M_1$  depend on  $|\psi\rangle$ , but  $M_0 + M_1 = I$

Alice applies meas on A, with POVM  $M_0, M_1$   
& tells Bob the outcome (1 cbit)

If outcome = 0, Bob has  $|\psi\rangle\langle\psi|$

If outcome = 1, Bob has  $XZ|\psi\rangle\langle\psi|ZX$   
in which case he applies ZX to  
recover  $|\psi\rangle\langle\psi|$





## Sufficient conditions + protocol for remote state preparation

Goal: Alice prepares a copy of  $|\psi\rangle \in S \subseteq \mathbb{C}^d$  in Bob's lab using a copy of  $|\Phi_d\rangle$  (on AB) & classical comm

Suppose  $\exists U_1, U_2, \dots, U_t \in \mathcal{U}(d)$

$$\text{s.t. } \forall |\psi\rangle \in S, \frac{1}{t} \sum_{k=1}^t U_k |\psi\rangle\langle\psi| U_k^\dagger = \frac{\mathbb{I}}{d}$$

same set of  $U_k$  for all  $|\psi\rangle$

Then, each  $|\psi\rangle \in S$  defines a POVM  $\{M_k\}$  on A:

$$M_k = \frac{d}{t} \left( U_k |\psi\rangle\langle\psi| U_k^\dagger \right)^T \quad \left( \because M_k \geq 0, \sum_{k=1}^t M_k = \mathbb{I} \right)$$

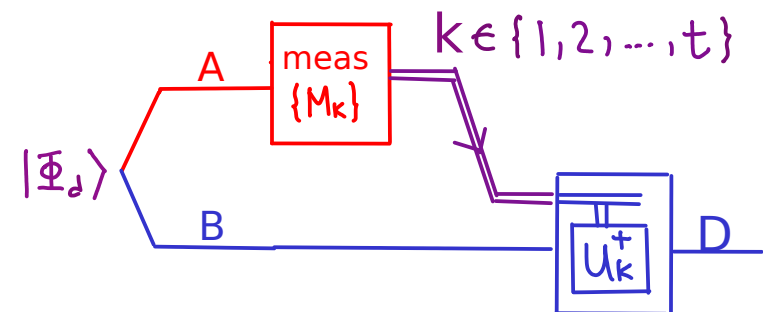
**Protocol T:** Alice comes up with some  $|\psi\rangle \in S$

Alice applies meas on A, with POVM  $\{M_k\}$

& tells Bob the outcome  $k$  ( $\log t$  cbits)

If outcome =  $k$ , Bob has  $U_k |\psi\rangle\langle\psi| U_k^\dagger$

he applies  $U_k^\dagger$  to recover  $|\psi\rangle\langle\psi|$



For protocol T :

How large does t have to be for the  $U_k$ 's to exist?

In Lo 99,  $S =$  equator of Bloch sphere, and  $t=2$  sufficient.

If  $S = \mathbb{C}^d$ ,  $t = d^2$  sufficient

choose  $U_k$  to be generalized Pauli's (pf ~ SS notes)

note this consumes as many resources as TP

If  $S = \mathbb{C}^d$ , then  $t = d^2$  necessary.

The condition  $\forall |\psi\rangle \in S, \frac{1}{t} \sum_{k=1}^t U_k |\psi\rangle\langle\psi| U_k^\dagger = \frac{I}{d}$  gives an exact

encryption scheme for quantum states, and the lower bound for t follows from last lecture.

Recall: for exact encryption scheme  $\forall \rho \quad \mathcal{E}(\rho) = \zeta$

which implies  $\forall |\psi\rangle, I \otimes \mathcal{E}(|\psi\rangle\langle\psi|) = (\text{tr}_2 |\psi\rangle\langle\psi|) \otimes \zeta$ .

What about more general RSP protocols?

For exact RSP (arbitrary entangled state):

- if  $S$  includes a basis, needs  $\log d$  cbits (due to C2)
- if  $S = \mathcal{C}^d$ , no further restriction, open problem ...
- if  $S = \mathcal{C}^d$ , "oblivious to Bob" (he receives no more info about the state beyond the prepared copy), then needs  $2 \log d$  cbits. (L, Shor 02)

e.g., protocol in previous slide is oblivious

--  $\text{prob}(k)$  indep of  $|\psi\rangle$

Proof idea: from RSP protocol, construct encryption scheme, then argue similar to last lecture

- if  $S = \mathcal{C}^d$ , Bob's decoding restricted to Pauli's but  $\text{prob}(k)$  may depend on the state, still needs  $2 \log d$  cbits (Nayak).

Approx RSP, approx oblivious,  $\sim \log d$  cbits sufficient!

## Approx RSP (based on protocol T & a technical lemma):

Technical lemma (HLSW03, BHLSW03):

For large  $d$ ,  $\varepsilon > 0$ ,

$$\exists U_1, U_2, \dots, U_t \in \mathcal{U}(d)$$

$$\text{s.t. } \forall |\psi\rangle \in \mathbb{C}^d, \left\| \frac{1}{t} \sum_{k=1}^t U_k |\psi\rangle\langle\psi| U_k^\dagger - \frac{I}{d} \right\|_\infty \leq \frac{\varepsilon}{d}$$

for  $t = \frac{1}{\varepsilon^2} 134 d \log d$  ( $t = \frac{1}{\varepsilon^2} 150 d \log \frac{1}{\varepsilon}$  in Aubrun 08)

where  $\| \cdot \|_\infty = \text{operator-norm (max (abs (eigenvalue) ) )}$

When  $\varepsilon = 0$ , same as the sufficient condition for protocol T and requires  $t \geq d^2$ .

Proof ideas for technical lemma:

Want  $U_1, U_2, \dots, U_t \in \mathcal{U}(d)$

$$\text{s.t. } \forall |\psi\rangle \in \mathbb{C}^d, \left\| \frac{1}{t} \sum_{k=1}^t U_k |\psi\rangle\langle\psi| U_k^\dagger - \frac{I}{d} \right\|_\infty \leq \frac{\epsilon}{d}$$

Note  $\forall |\psi\rangle \in \mathbb{C}^d, \int dU U |\psi\rangle\langle\psi| U^\dagger = \frac{I}{d}$ . Fix  $|\psi\rangle$ .  
Haar measure

View  $U |\psi\rangle\langle\psi| U^\dagger$  as an operator-valued RV, with average  $\frac{I}{d}$ .

Take iid samples according to Haar measure:

$$U_1 |\psi\rangle\langle\psi| U_1^\dagger, U_2 |\psi\rangle\langle\psi| U_2^\dagger, \dots, U_t |\psi\rangle\langle\psi| U_t^\dagger$$

Take empirical average:  $\frac{1}{t} \sum_{k=1}^t U_k |\psi\rangle\langle\psi| U_k^\dagger$

Analyse how quickly empirical average converges to actual average (as a function of  $t$ ) ...

Can show that: fix  $|\Psi\rangle$ . With very high prob over which

$$U_1, U_2, \dots, U_t \text{ are drawn, } \left\| \frac{1}{t} \sum_{k=1}^t U_k |\Psi\rangle\langle\Psi| U_k^\dagger - \frac{I}{d} \right\|_\infty \leq \frac{\epsilon}{d}$$

( Prob(above doesn't hold) rapidly vanishes with  $t, d$  )

Take union bound over a net of  $|\Psi\rangle$

The prob the above fail for at least one  $|\Psi\rangle$  is still very low.

So, there must exist some  $U_1, U_2, \dots, U_t$

$$\forall |\Psi\rangle \left\| \frac{1}{t} \sum_{k=1}^t U_k |\Psi\rangle\langle\Psi| U_k^\dagger - \frac{I}{d} \right\|_\infty \leq \frac{\epsilon}{d}$$

Actual proof more technical ... possible term project.

First of many randomized arguments, with a protocol known to exist existentially (but without an explicit construction).

Consequences of technical lemma:

1. RSP of any  $|\psi\rangle \in \mathcal{C}^d$  exactly with prob  $\geq 1 - \varepsilon$  possible using  $\log d$  ebits &  $\log d + \log \log d + 2 \log \frac{1}{\varepsilon} + 8$  cbits

Proof:  $\forall |\psi\rangle \in \mathcal{C}^d, \left\| \frac{1}{t} \sum_{k=1}^t U_k |\psi\rangle\langle\psi| U_k^\dagger - \frac{I}{d} \right\|_\infty \leq \frac{\varepsilon}{d}$

$$\implies \left\| \frac{1}{t} \sum_{k=1}^t U_k |\psi\rangle\langle\psi| U_k^\dagger \right\|_\infty \leq \frac{(1+\varepsilon)}{d}$$

Then, each  $|\psi\rangle \in S$  defines a POVM  $\{M_k\}$

$$M_k = \frac{1}{(1+\varepsilon)} \frac{d}{t} \left( U_k |\psi\rangle\langle\psi| U_k^\dagger \right)^\top \quad \text{for } k = 1, 2, \dots, t$$

$$M_{t+1} = I - \sum_{k=1}^t M_k, \quad \left\| \sum_{k=1}^t M_k \right\|_\infty \leq 1 \quad \therefore M_{t+1} \geq 0$$

Other  $M_k \geq 0$ , and  $\sum_{k=1}^{t+1} M_k = I$ ,

Apply protocol T as before: Alice and Bob share  $|\Phi_d\rangle$

Alice comes up with some  $|\psi\rangle$

Alice applies meas on A, with POVM  $\{M_k\}_{k=1}^{t+1}$

& tells Bob the outcome  $k$  ( $\log(t+1)$  cbits)

If outcome =  $k < t+1$ , Bob has  $U_k |\psi\rangle\langle\psi| U_k^\dagger$

he applies  $U_k^\dagger$  to recover  $|\psi\rangle\langle\psi|$

If outcome =  $k = t+1$ , Bob outputs an error symbol.

$$\text{Prob}(k=t+1) = \text{tr} \left( M_{t+1} \cdot \frac{F}{d} \right)$$

$$= \text{tr} \left[ \left( I - \sum_{k=1}^t M_k \right) \cdot \frac{F}{d} \right]$$

$$= 1 - \frac{t}{d} \text{tr} M_k$$

$$= 1 - \frac{t}{d} \frac{1}{(1+\epsilon)} \frac{d}{t}$$

$$= \frac{\epsilon}{(1+\epsilon)} \leq \epsilon$$

(state on A =  $I/d$ )

$$M_k = \frac{1}{(1+\epsilon)} \frac{d}{t} \left( U_k |\psi\rangle\langle\psi| U_k^\dagger \right)^T$$



So, the protocol succeeds with prob at least  $1 - \varepsilon$

It uses  $\log d$  ebits,

and  $\log(t+1)$  cbits, for  $t = \frac{1}{\varepsilon^2} 134 d \log d$

For large  $d$  and constant  $\varepsilon$ ,  $\log(t+1)$  is dominated by the leading term  $\log d$ .

With prob  $\frac{1}{(1+\varepsilon)}$ , protocol is exact and oblivious.

Bob gets one exact copy of  $|\Psi\rangle$ , &  $\text{prob}(k)$  is indep of  $|\Psi\rangle$

With prob  $\frac{\varepsilon}{(1+\varepsilon)}$ , Bob gets  $\frac{M_{t+1}}{\text{Tr } M_{t+1}}$ .

$$M_k = \frac{1}{(1+\varepsilon)} \frac{d}{t} (U_k |\Psi\rangle\langle\Psi| U_k^\dagger)^T$$

$$M_{t+1} = I - \sum_{k=1}^t M_k$$

This breaks the lower bound from LS02 ...

Consequences of technical lemma:

2. Any  $|\psi\rangle \in \mathbb{C}^d$  can be encrypted and decrypted with

$$\log d + \log \log d + 2 \log \frac{1}{\varepsilon} + 8 \text{ kbits}$$

such that the quantum ciphertext is  $\varepsilon$  close to  $\frac{I}{d}$  in trace distance.

Proof:  $\forall |\psi\rangle \in \mathbb{C}^d, \left\| \frac{1}{t} \sum_{k=1}^t U_k |\psi\rangle\langle\psi| U_k^\dagger - \frac{I}{d} \right\|_\infty \leq \frac{\varepsilon}{d}$

$$\left\| \frac{1}{t} \sum_{k=1}^t U_k |\psi\rangle\langle\psi| U_k^\dagger - \frac{I}{d} \right\|_1 \leq \varepsilon$$

Encryption scheme:  $\forall k, P_k = \frac{1}{t}$

Conditioned on the key  $k$ , Alice applies  $U_k$  to encrypt

Bob applies  $U_k^\dagger$  to decrypt

Eve sees the state  $\frac{1}{t} \sum_{k=1}^t U_k |\psi\rangle\langle\psi| U_k^\dagger$  which is  $\varepsilon$  close to  $\frac{I}{d}$

Consequences of technical lemma:

3. Define the completely randomizing map  $R$  on  $d$ -dim state as:  $\forall \rho, R(\rho) = \frac{I}{d}$

$$\text{Let } \Sigma(\rho) = \frac{1}{t} \sum_{k=1}^t U_k |\Psi\rangle\langle\Psi| U_k^\dagger.$$

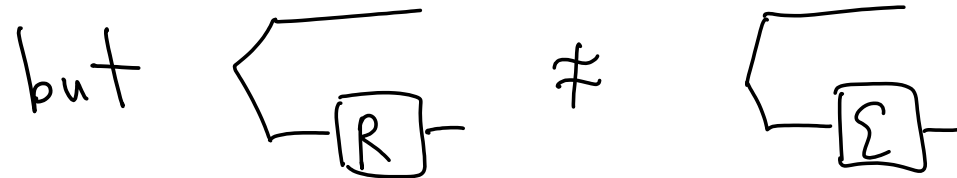
$$\text{So, } \forall \rho, \left\| R(\rho) - \Sigma(\rho) \right\|_1 \leq \epsilon \quad \text{but} \quad \left\| R - \Sigma \right\|_\diamond \approx 1$$

$$\text{In particular, } I \otimes R(|\Phi_d\rangle\langle\Phi_d|) = \frac{I}{d} \otimes \frac{I}{d}$$

But  $I \otimes \Sigma(|\Phi_d\rangle\langle\Phi_d|)$  only has rank  $t \ll d^2$ .

↑ very very different from  $\frac{I}{d} \otimes \frac{I}{d}$

Two TCP maps can thus be nearly indistinguishable on the input system alone, but very different if a reference system is included.



vanishing  
function of  $\Sigma$

NB. Luckily, for  $R = I$ ,

$$\text{if } \forall \rho, \|\varepsilon(\rho) - R(\rho)\|_1 \leq \varepsilon \text{ then } \|\varepsilon - R\|_{\diamond} \leq f(\varepsilon)$$

$$\text{NB } \forall \rho, \|\varepsilon(\rho) - R(\rho)\|_1 \leq \varepsilon \Leftrightarrow \forall (\psi), \|\varepsilon(|\psi\rangle\langle\psi|) - R(|\psi\rangle\langle\psi|)\|_1 \leq \varepsilon$$

Open problem: what TCP maps can be accurately characterized by pure state inputs?

Further remarks:

$$\forall \rho, R(\rho) = \frac{I}{d}$$

$$\Sigma(\rho) = \frac{1}{t} \sum_{k=1}^t U_k |\psi\rangle\langle\psi| U_k^\dagger.$$

$$|\phi\rangle \left[ \begin{array}{c} \text{---} \\ \diagdown \\ \text{---} \\ \diagup \\ \text{---} \end{array} \right] \boxed{R} \text{---} = \text{tr}_2 (|\psi\rangle\langle\psi| \otimes \mathbb{I})$$

entanglement (+all correlations) between the 2 sys are broken

$$|\phi\rangle \left[ \begin{array}{c} \text{---} \\ \diagdown \\ \text{---} \\ \diagup \\ \text{---} \end{array} \right] \boxed{\Sigma} \text{---}$$

does not break correlations

But  $\forall |\psi\rangle \in \mathbb{C}^d, \Sigma(|\psi\rangle\langle\psi|) \approx \frac{I}{d}$  with key cost similar

to classical setting. So, the factor of 2 for quantum encryption comes from having to break correlations.

Also, the approx RSP scheme breaks the  $2 \log d$  comm lower bound for TP because of the following.

From the RSP measurement, we cannot make a generalized TP scheme in which Alice operates independent of the input. The construction last lecture gives a proper measurement because

$$\mathbb{I} \otimes \sum_K p_K \Sigma_K (|\Phi\rangle\langle\Phi|) = \frac{\mathbb{I}}{d} \otimes \frac{\mathbb{I}}{d'}$$

which does not hold for  $\Sigma$ .

## Superdense coding of quantum states:

SD: 1 ebit + 1 qbit  $\succcurlyeq$  2 cbits

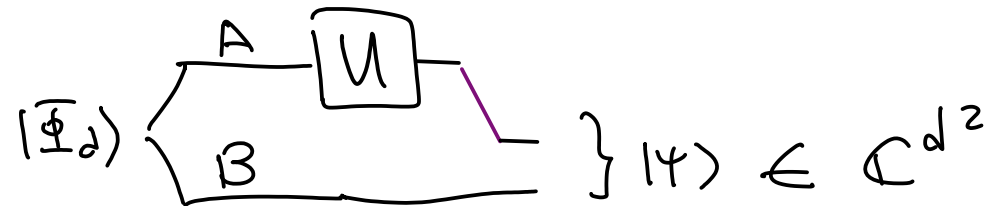
Alice applies one of 4 unitaries turning her shared state with Bob to one of 4 orthogonal states, thereby "doubling" the rate of communication.

If she instead wants to prepare a  $d^2$ -dim pure state  $|\psi\rangle$  in Bob's lab, and she knows the state to be prepared.

This is analogous to RSP, in that the sender knows the state to be prepared, but here we allow entanglement and quantum comm to be used.

Can she do so by consuming only  $\log d$  qubits?

1. if the state is "maximally entangled" ,  $|\Psi\rangle = U \otimes I |\bar{\Phi}_d\rangle$   
for some  $U$ , then she applies  $U$  to her half of  $|\bar{\Phi}_d\rangle$   
and sends it to Bob.



2. So, the  $d^2$  states used in SD is a special case -- Alice knows which of the  $d^2$  orthogonal max entangled state is to be prepared in Bob's lab.



3. For large  $d$ , states in  $\mathbb{C}^{d^2}$  with nearly max entanglement form a high prob set. So, if  $|\psi\rangle \in \mathbb{C}^{d^2}$  is drawn at random, is there a way to super-dense-code  $|\psi\rangle$  with good approximation?
4. What if someone tells Alice which  $|\psi\rangle$  has to be prepared and adversarially choose  $|\psi\rangle$  ?

Spoiler: there is still a way to super-dense-code  $|\psi\rangle$  in that  $\log d$  ebits and slightly larger than  $\log d$  qbits are needed, but no other resources (like shared randomness) is needed.

How? there is a large subspace in a bipartite system containing only high entangled states. In A1, you will use this fact to perform SD of quantum states !!

Quantum states known to the sender seems to behave a little like classical data (in the presence of shared entanglement between Alice and Bob and in terms of the necessary communication cost of transmission).