

# Murmurations & Elliptic curve conductor distributions

Alex Cowan

University of Waterloo

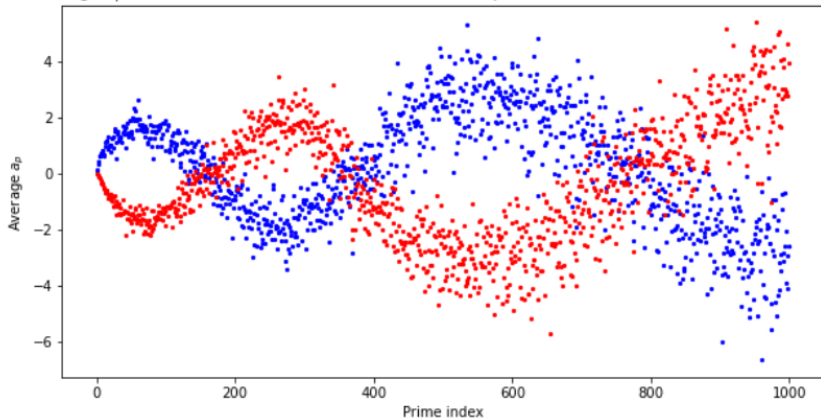
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# Murmurations? 1/2

Yang-Hui He, Kyu-Hwan Lee, Thomas Oliver, Alexey Pozdnyakov 2022:

Average  $a_p$  over 4328 rank 0 (blue) and 5194 rank 1 elliptic curves with conductor in  $[7500, 10000]$



*Scale invariant:* The curves are a function of  $\frac{p}{N}$ .

Results so far:

- Zubrilina: **Holomorphic newspaces by level**  
Fixed weight and single prime, averaged over squarefree levels going to infinity. [Zub23]
- Lee, Oliver, Pozdnyakov: **Quadratic Dirichlet characters**  
Averaged over primes and discriminants, under GRH. [LOP23]
- Bober, Booker, Lee, Lowry-Duda: **Holomorphic newspaces by weight**  
Level 1, averaged over primes and over weights going to infinity, under GRH. [BLLD23]
- Booker, Lee, Lowry-Duda, Seymour-Howell, Zubrilina: **Maass forms**  
Level 1, averaged over primes and over Laplace eigenvalues going to infinity, under GRH. [BLLD<sup>+</sup>24]

Proofs use trace formulae. Murmuration functions unknown even heuristically in other cases.

# Overview of this talk

This talk:

- 1 New approach to murmurations, via random matrix theory.
  - Many existing results can be adapted to prove murmurations, sometimes under a *ratios conjecture* (standard in random matrix theory),
  - sometimes under GRH only.
  - Four examples given in this talk.
- 2 Distribution (as in histogram) of elliptic curve conductors in a big family ordered by height.

arXiv:2408.12723 : *Murmurations and ratios conjectures*

arXiv:2408.09745 : *Conductor distributions of elliptic curves*

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# Quadratic Dirichlet characters — (Proto-)explicit formula

Theorem (Montgomery–Vaughan [MV07, Thm. 12.10])

Suppose that  $X \notin \mathbb{Z}$ , that  $2 < T < X$ , and that  $\chi$  is a primitive nontrivial Dirichlet character modulo  $d$ . Then

$$\sum_{\substack{p \text{ prime}, k \in \mathbb{Z}_{>0} \\ p^k < X}} \chi(p^k) \log p = -\frac{1}{2\pi i} \int_{\frac{1}{2} + \varepsilon - iT}^{\frac{1}{2} + \varepsilon + iT} \frac{L'(s, \chi)}{L(s, \chi)} X^s \frac{ds}{s} + O(X^{1+\varepsilon} T^{-1+\varepsilon} d^\varepsilon).$$

Sum of Dirichlet coefficients



Inverse Mellin transform of logarithmic derivative of  $L$ -function.

# Quadratic Dirichlet characters — Ratios conjecture

Let  $\mathcal{F}_1(D) := \{d : 1 < d < D, d \text{ a fundamental discriminant}\}$ .

Let  $\chi_d$  denote the Kronecker symbol  $\left(\frac{d}{\cdot}\right)$ .

Theorem (Conrey–Snaith [CS07, Thm. 2.7])

Assume the ratios conjecture [CS07, Conj. 2.6]. Suppose that

$\frac{1}{\log D} \ll \operatorname{Re}(r) < \frac{1}{4}$  and that  $\operatorname{Im}(r) \ll D^{1-\varepsilon}$ . Then

$$\sum_{d \in \mathcal{F}_1(D)} \frac{L'(\frac{1}{2} + r, \chi_d)}{L(\frac{1}{2} + r, \chi_d)} = \sum_{d \in \mathcal{F}_1(D)} \left[ \frac{\zeta'(1 + 2r)}{\zeta(1 + 2r)} + \sum_p \frac{\log p}{(p + 1)(p^{1+2r} - 1)} \right. \\ \left. - \left(\frac{\pi}{d}\right)^r \frac{\pi^2 \Gamma(\frac{1}{4} - \frac{r}{2}) \zeta(1 - 2r)}{6 \Gamma(\frac{1}{4} + \frac{r}{2}) \zeta(2 - 2r)} \right] + O(D^{\frac{1}{2} + \varepsilon}).$$

Plan: plug this expression for  $\frac{L'(\frac{1}{2} + r, \chi_d)}{L(\frac{1}{2} + r, \chi_d)}$  into the explicit formula.

# Quadratic Dirichlet characters — Murmurations

Define  $\mathcal{F}_X := \{d : D_1 < d < D, d \text{ a fundamental discriminant}\}$ .

Let  $\frac{1}{\log X} < \varepsilon < \frac{1}{4}$  and  $T \ll X^{1-\varepsilon}, D_1^{1-\varepsilon}$ .

## Theorem

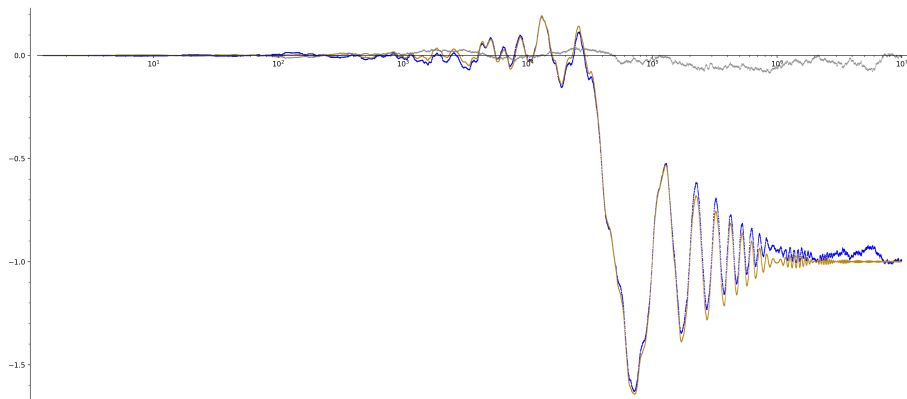
Assuming the ratios conjecture [CS07, Conj. 2.6],

$$\begin{aligned} & \frac{1}{\#\mathcal{F}_X} \sum_{d \in \mathcal{F}_X} \frac{1}{X^{\frac{1}{2}}} \sum_{\substack{p^k < X \\ k \text{ odd}}} \chi_d(p) \log p \\ &= \frac{1}{2\pi i} \int_{\frac{1}{2} + \varepsilon - iT}^{\frac{1}{2} + \varepsilon + iT} \frac{\pi^2}{6} \frac{\Gamma(\frac{1-s}{2})}{\Gamma(\frac{s}{2})} \frac{\zeta(2-2s)}{\zeta(3-2s)} \frac{1}{\#\mathcal{F}_X} \sum_{d \in \mathcal{F}_X} \left(\frac{\pi X}{d}\right)^{s-\frac{1}{2}} \frac{ds}{s} \\ & \quad + \frac{1}{X^{\frac{1}{2}}} \sum_{\substack{p^k < X \\ k \text{ even}}} \frac{\#\{d \in \mathcal{F}_X : p \mid d\}}{\#\mathcal{F}_X} \log p \\ & \quad + O\left(X^\varepsilon T^\varepsilon D^{\frac{1}{2} + \varepsilon} \#\mathcal{F}_X^{-1} + X^{\frac{1}{2} + \varepsilon} T^{-1 + \varepsilon} D^\varepsilon\right). \end{aligned}$$



# Quadratic Dirichlet characters — Illustration

Taking  $\mathcal{F}_\chi = \{d : 95,000 < d < 105,000, d \text{ a fundamental discriminant}\}$ :



**Figure:** For  $T = 900$  and  $\varepsilon = 0.1$ , the LHS and RHS main term of theorem in blue and gold respectively, as well as their difference in grey, as functions of  $X$ . In this example  $\#\mathcal{F}_\chi = 3038$ . Code: [Cow24b].

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# Ratios conjecture — $L(s, \chi_d)$ example 1/2

Following Conrey–Snaith “recipe” for  $\frac{L(\frac{1}{2} + \alpha, \chi_d)}{L(\frac{1}{2} + \gamma, \chi_d)}$  with  $d > 0$ :

**Step 1:** Write

$$\frac{1}{L(\frac{1}{2} + \gamma, \chi_d)} = \sum_n \frac{\mu(n)\chi_d(n)}{n^{\frac{1}{2} + \gamma}}$$

and

$$L(\frac{1}{2} + \alpha, \chi_d) = \sum_{n < t\sqrt{d/2\pi}} \frac{\chi_d(n)}{n^{\frac{1}{2} + \alpha}} + \left(\frac{\pi}{d}\right)^\alpha \frac{\Gamma(\frac{1}{4} - \frac{\alpha}{2})}{\Gamma(\frac{1}{4} + \frac{\alpha}{2})} \sum_{n < \frac{1}{t}\sqrt{d/2\pi}} \frac{\chi_d(n)}{n^{\frac{1}{2} - \alpha}} + \text{small}$$

(using the approximate functional equation; [IK04, Thm. 5.3]).

# Ratios conjecture — $L(s, \chi_d)$ example 2/2

## Step 1:

$$\frac{L(\frac{1}{2} + \alpha, \chi_d)}{L(\frac{1}{2} + \gamma, \chi_d)} = \frac{1}{L(\frac{1}{2} + \gamma, \chi_d)} L(\frac{1}{2} + \alpha, \chi_d)$$

Use approximate functional equation on second factor.

## Step 2: Multiply and then average over $d$ using

$$\sum_{d < D} \chi_d(n) \approx \begin{cases} \prod_{p|n} \frac{p}{p+1} & \text{if } n \text{ is square} \\ 0 & \text{otherwise.} \end{cases}$$

**Step 3:** Extend Dirichlet series summation ranges to infinity, recognize and manipulate Euler products.

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# Quadratic Dirichlet characters II — Density under GRH

## Theorem (Čech [Čec24, Thm. 1.4])

Assume GRH. Let  $f$  be a smooth, fast-decaying (as in [Čec24]) weight function with Mellin transform  $\mathcal{M}f$ , and let  $\chi_n$  denote the Jacobi symbol  $(\frac{\cdot}{n})$ . For  $\varepsilon < \operatorname{Re}(r) < \frac{1}{4}$  and  $N \rightarrow \infty$ ,

$$\begin{aligned} & \sum_{\substack{n > 0 \\ n \text{ odd, squarefree}}} \frac{1}{N} f\left(\frac{n}{N}\right) \frac{L'\left(\frac{1}{2} + r, \chi_n\right)}{L\left(\frac{1}{2} + r, \chi_n\right)} + O(|r|^\varepsilon N^{-2\operatorname{Re}(r)+\varepsilon}) \\ &= \frac{2\mathcal{M}f(1)}{3\zeta(2)} \left( \frac{\zeta'(1+2r)}{\zeta(1+2r)} + \sum_{p>2} \frac{\log p}{(p+1)p^{1+2r}-1} \right) \\ & \quad - \mathcal{M}f(1-r) \left(\frac{\pi}{N}\right)^r \left( \frac{\Gamma\left(\frac{\frac{1}{2}-r}{2}\right)}{\Gamma\left(\frac{\frac{1}{2}+r}{2}\right)} + \frac{\Gamma\left(\frac{\frac{3}{2}-r}{2}\right)}{\Gamma\left(\frac{\frac{3}{2}+r}{2}\right)} \right) \frac{\zeta(1-2r)}{4\zeta(2)(2-2r)}. \end{aligned}$$

## Theorem (based on Čech [Čec24])

Assume GRH. Let  $f$  be a smooth, fast-decaying weight function with Mellin transform  $\mathcal{M}f$ , and let  $\chi_n$  denote the Jacobi symbol  $(\frac{\cdot}{n})$ . For any  $N, y, T, c$  such that  $2 < T < Ny$  and  $\frac{1}{2} + \varepsilon < c < \frac{3}{4}$ ,

$$\begin{aligned} & \sum_{\substack{n > 0 \\ n \text{ odd, squarefree}}} \frac{1}{N} f\left(\frac{n}{N}\right) \frac{1}{\sqrt{Ny}} \sum_{p^k < Ny} \chi_n(p^k) \log p \\ &= \frac{1}{2\pi i} \int_{c-iT}^{c+iT} \mathcal{M}f\left(\frac{3}{2} - s\right) \left( \frac{\Gamma\left(\frac{1-s}{2}\right)}{\Gamma\left(\frac{s}{2}\right)} + \frac{\Gamma\left(\frac{2-s}{2}\right)}{\Gamma\left(\frac{1+s}{2}\right)} \right) \frac{\zeta(2-2s)}{4\zeta(2)(3-2s)} (\pi y)^{s-\frac{1}{2}} \frac{ds}{s} \\ &+ \frac{1}{\sqrt{Ny}} \sum_{p^k < \sqrt{Ny}} \frac{2\mathcal{M}f(1)}{3\zeta(2)} \log p + O\left(N^{\frac{1}{2}-c+\varepsilon} y^{c-\frac{1}{2}} T^\varepsilon + N^{\frac{1}{2}+\varepsilon} y^{\frac{1}{2}+\varepsilon} T^{-1+\varepsilon}\right). \end{aligned}$$

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# Elliptic curves — Setup 1/2

Let  $E_{a,b} : y^2 = x^3 + ax + b$ . Suppose  $3 \nmid r$  and  $2 \nmid t$ . Define

$$\mathcal{F}(H) := \left\{ E_{a,b} : a = r \pmod{6}, b = t \pmod{6}, |a| \leq H^{\frac{1}{3}}, |b| \leq H^{\frac{1}{2}}, p^4 \mid a \implies p^6 \nmid b \right\}. \quad (1)$$

Write the  $L$ -function attached to  $E$  as

$$L(s, E) := \prod_{p|N_E} \left( 1 - \frac{a_p(E)}{p^{s+\frac{1}{2}}} \right)^{-1} \prod_{p \nmid N_E} \left( 1 - \frac{\alpha_p}{p^s} \right)^{-1} \left( 1 - \frac{\bar{\alpha}_p}{p^s} \right)^{-1}$$

with  $|\alpha_p| = |\bar{\alpha}_p| = 1$  and  $\alpha_p + \bar{\alpha}_p = \frac{a_p(E)}{\sqrt{p}}$ .

Theorem ((Proto-)explicit formula, [Fio14, Lemma 2.1])

$$\sum_{\substack{p \text{ prime}, k \in \mathbb{Z}_{>0} \\ p^k < X, p \nmid N_E}} (\alpha_p^k + \bar{\alpha}_p^k) \log p = -\frac{1}{2\pi i} \int_{1+\varepsilon-iT}^{1+\varepsilon+iT} \frac{L'(s, E)}{L(s, E)} X^s \frac{ds}{s} + O(X^{1+\varepsilon} T^{-1+\varepsilon} N_E^\varepsilon).$$

## Elliptic curves — Setup 2/2

Let  $\operatorname{Re}(\alpha), \operatorname{Re}(\gamma) > 0$ , and let  $\operatorname{Tr}_k(p)$  denote the trace of the Hecke operator at  $p$  on the space of level 1 weight  $k$  holomorphic cusp forms.

Definition (David–Huynh–Parks [DHP15, (3.38)])

$$A(\alpha, \gamma) := \frac{\zeta(1 + \alpha + \gamma)}{\zeta(1 + 2\gamma)} \prod_{p=2,3} \frac{1 - a_p(E_{r,t})p^{-1-\gamma} + p^{-1-2\gamma}}{1 - a_p(E_{r,t})p^{-1-\alpha} + p^{-1-2\alpha}} \\ \cdot \prod_{p>3} \left[ 1 + \left( 1 - \frac{p^9 - 1}{p^{10} - 1} \right) \left( \frac{1}{p^{1+2\gamma}} - \frac{1}{p^{1+\alpha+\gamma}} + \frac{p^{-2-\alpha-\gamma} - p^{-2-2\gamma}}{p^{2+2\alpha} - 1} \right. \right. \\ \left. \left. + \frac{p^{1+2\alpha+\gamma} - p^{1+\alpha+2\gamma} + p^\gamma - p^\alpha}{p^{\frac{3}{2}+\alpha+2\gamma}} \sum_{m=5}^{\infty} \frac{\operatorname{Tr}_{2m+2}(p)}{p^{2m(\alpha+1)+\frac{1}{2}}} \right) \right].$$

The function  $A(\alpha, \gamma)$  extends to a nonzero holomorphic function on  $\operatorname{Re}(\alpha), \operatorname{Re}(\gamma) > -\frac{1}{4}$ , and  $A(r, r) = 1$  in this region.

# Elliptic curves — Ratios conjecture

Theorem (David–Huynh–Parks [DHP15, Thm. 3.8])

Assume the ratios conjecture [DHP15, Conj. 3.7]. Suppose  $\operatorname{Re}(r) \gg \frac{1}{\log H}$  and  $\operatorname{Im}(r) \ll H^{1-\varepsilon}$ . Then

$$\sum_{E \in \mathcal{F}(H)} \frac{L'(\frac{1}{2} + r, E)}{L(\frac{1}{2} + r, E)} = \sum_{E \in \mathcal{F}(H)} \left[ -\frac{\zeta'(1 + 2r)}{\zeta(1 + 2r)} + A_\alpha(r, r) - \omega_E \left( \frac{4\pi^2}{N_E} \right)^r \frac{\Gamma(1 - r)}{\Gamma(1 + r)} \zeta(1 + 2r) A(-r, r) \right] + \mathcal{R}(H)$$

with  $\mathcal{R}(H) \ll H^{\frac{1}{3} + \varepsilon}$ .

# Elliptic curves — Conductor distribution

David–Huyhn–Parks ratios conjecture is for  $\mathcal{F}(H)$ : a family ordered by height. Murmurations care about conductor. We need a conversion.

## Theorem

For any  $\lambda_1 > \lambda_0 > \frac{4464}{\log H}$ ,

$$\frac{\#\left\{E \in \mathcal{F}(H) : \lambda_0 < \frac{N_E}{H} < \lambda_1\right\}}{\#\mathcal{F}(H)} = F_N(\lambda_1) - F_N(\lambda_0) + O((\log H)^{-1+\epsilon}). \quad (2)$$

## Theorem

For any  $\lambda > \frac{4464}{\log H}$ ,

$$\frac{\#\left\{E \in \mathcal{F}(H) : \frac{N_E}{H} < \lambda\right\}}{\#\mathcal{F}(H)} \ll \lambda^{\frac{5}{6}}. \quad (3)$$

# Elliptic curves — Conductor distribution plot

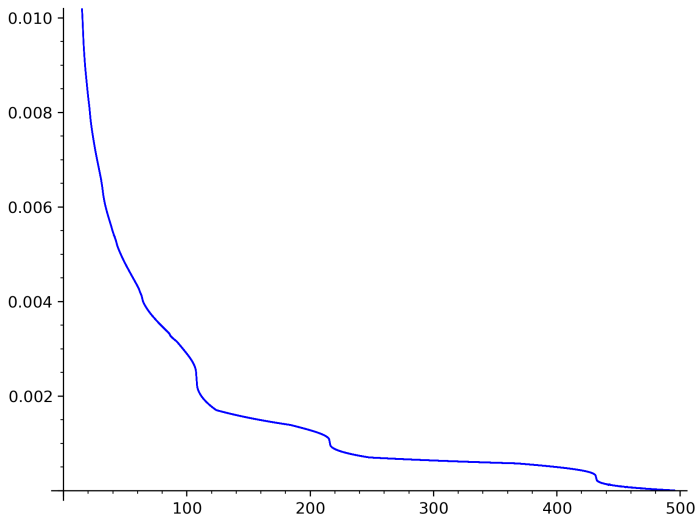


Figure:  $F'_N(\lambda)$ , computed numerically with  $\Delta\lambda = 0.496$  [Cow24a].

## Theorem

Let  $\mathcal{F}(H)$  be the family (1) of elliptic curves ordered by height, take  $\omega \in \{\pm 1\}$ , and set  $\mathcal{F}(H)^\omega := \{E \in \mathcal{F}(H) : \omega_E = \omega\}$ . Assume that (2), (3), and the ratios conjecture [DHP15, Conj. 3.7] hold with  $\mathcal{F}(H)$  replaced with  $\mathcal{F}(H)^\omega$ .

For any  $H, y, T, \varepsilon$  such that  $0 < \varepsilon < \frac{5}{6}$  and  $(Hy)^{\frac{1}{2}+\varepsilon} \ll T < Hy$ ,

$$\begin{aligned} & \frac{1}{\#\mathcal{F}(H)^\omega} \sum_{E \in \mathcal{F}(H)^\omega} \frac{1}{\sqrt{Hy}} \sum_{\substack{p^k < Hy \\ p \nmid N_E}} (\alpha_p^k + \bar{\alpha}_p^k) \log p \\ &= \frac{\omega}{2\pi i} \int_{\mathbb{R}} \int_{\frac{1}{2}+\varepsilon-iT}^{\frac{1}{2}+\varepsilon+iT} \frac{\Gamma(\frac{3}{2}-s)}{\Gamma(\frac{1}{2}+s)} \zeta(2s) A\left(\frac{1}{2}-s, s-\frac{1}{2}\right) \left(\frac{4\pi^2 y}{\lambda}\right)^{s-\frac{1}{2}} \frac{ds}{s} F'_N(\lambda) d\lambda \\ & - 1 + O\left(H^\varepsilon y^\varepsilon T^\varepsilon \mathcal{R}(H) \#\mathcal{F}(H)^{-1} + (\log H)^{-\frac{5}{6}}\right). \end{aligned}$$

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# Holomorphic newspaces — Setup

Let  $g$  be an even Schwartz function such that

$$\hat{g}(\xi) := \frac{1}{2\pi} \int_{\mathbb{R}} g(x) e^{-2\pi i \xi x} dx$$

is supported on  $(-\sigma, \sigma)$ .

Let  $H_k^+(N)$  and  $H_k^-(N)$  be bases of normalized Hecke eigenforms of weight  $k$ , level  $N$ , and root number  $\pm 1$ .

Let  $\lambda_f(p)$  be such that  $p^{\frac{k-1}{2}} \lambda_f(p)$  is the  $p^{\text{th}}$  Hecke eigenvalue of  $f$ .



## Theorem (based on Miller–Montague [MM11])

Assume GRH. For fixed  $\pm \in \{+, -\}$ , fixed  $k \in 2\mathbb{Z}_{>0}$ , and  $N \rightarrow \infty$  prime,

$$\begin{aligned} & \sum_{f \in H_k^\pm(N)} \frac{\Gamma(k-1)}{(4\pi)^{k-1} \langle f, f \rangle} \sum_{p \neq N} \hat{g}\left(\frac{\log p}{\log N}\right) \frac{\lambda_f(p) \log p}{\sqrt{p}} \\ &= \pm 2 \lim_{\delta \rightarrow 0^+} \int_0^\infty \hat{g}\left(1 + \frac{\log y}{\log N}\right) \int_{-\infty}^\infty \frac{\Gamma(\frac{k}{2} - 2\pi it)}{\Gamma(\frac{k}{2} + 2\pi it)} \\ & \quad \cdot \prod_p \left(1 + \frac{1}{(p-1)p^{4\pi it + \delta}}\right) (4\pi^2 y)^{2\pi it} dt \frac{dy}{y} + O\left(N^{\frac{\sigma}{2} - 1 + \varepsilon}\right). \end{aligned}$$

Can take  $\hat{g}(\xi)$  sharply peaked at  $\xi = 1$  while keeping the error term small.

$$\sum_{f \in H_k^\pm(N)} \frac{\Gamma(k-1)}{(4\pi)^{k-1} \langle f, f \rangle} \sim \frac{1}{2}, \quad N^{-1-\varepsilon} \ll \frac{\Gamma(k-1)}{(4\pi)^{k-1} \langle f, f \rangle} \ll N^{-1+\varepsilon}.$$

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# Quadratic twists of an elliptic curve — Setup

Let  $N_E$  be an odd prime and let  $E$  be an elliptic curve of conductor  $N_E$  with even functional equation. Define

$$\mathcal{F}_E(D) := \{0 < d < D : d \text{ a fundamental discriminant, } \chi_d(-N_E)\omega_E = 1\}.$$

Let  $A_E$  be the arithmetic factor given by the infinite product in [HMM11, (3.2)].

# Quadratic twists of an elliptic curve — Murmurations

Theorem (based on Huynh–Miller–Morrison [HMM11])

Assume GRH. With the notation of the previous slide,

$$\begin{aligned} & \frac{1}{\#\mathcal{F}_E(D)} \sum_{d \in \mathcal{F}_E(D)} \sum_{p \neq N_E} \sum_{\substack{k > 0 \\ k \text{ odd}}} \hat{g} \left( \frac{\log p^k}{\log \frac{N_E D^2}{4\pi^2}} \right) \chi_d(p) \frac{(\alpha_p^k + \bar{\alpha}_p^k) \log p}{\sqrt{p^k}} \\ &= \frac{g(0)}{2} \log \left( \frac{\sqrt{N_E D}}{2\pi} \right) + \int_{-\infty}^{\infty} \frac{\Gamma(1 - \pi it)}{\Gamma(1 + \pi it)} \frac{\zeta(1 + 2\pi it) L_E(\text{sym}^2, 1 - 2\pi it)}{L_E(\text{sym}^2, 1)} \\ &\cdot A_E(-\pi it, \pi it) \frac{1}{\#\mathcal{F}_E(D)} \sum_{d \in \mathcal{F}_E(D)} \int_0^{\infty} \hat{g} \left( 1 + \frac{\log y}{\log \frac{\sqrt{N_E D}}{2\pi}} \right) \left( \frac{Dy}{d} \right)^{2\pi it} \frac{dy}{y} dt \\ & \qquad \qquad \qquad + O\left(D^{-\frac{1-\sigma}{2}}\right). \end{aligned}$$

Can't take  $\hat{g}(\xi)$  sharply peaked at  $\xi = 1$  while keeping the error term small  
 $\implies$  Need to assume ratios conjecture to get murmurations for now.

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Many similar examples seem possible using existing results from the literature, e.g.

- [Mil09] Miller — *An orthogonal test of the L-functions ratios conjecture.*
- [GJM<sup>+</sup>10] Goes, Jackson, Miller, Montague, Ninsuwan, Peckner, Pham — *A unitary test of the ratios conjecture.*
- [MP12] Miller, Peckner — *Low-lying zeros of number field L-functions.*
- [FM15] Fiorilli, Miller — *Surpassing the ratios conjecture in the 1-level density of Dirichlet L-functions.*
- [CS07] Conrey–Snaith — *Correlations of  $\zeta'/\zeta$ .*
- [Kov24] Kovaleva — *Correlations of the squares of the riemann zeta on the critical line.*

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# Elliptic curves — Conductor distribution plot

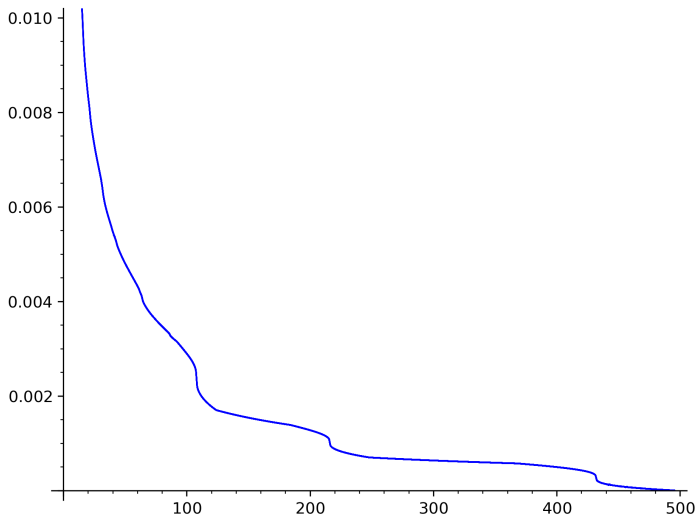


Figure:  $F'_N(\lambda)$ , computed numerically with  $\Delta\lambda = 0.496$  [Cow24a].



## Setup 1/2 — $F_\Delta$

Let  $E_{a,b} : y^2 = x^3 + ax + b$ . Suppose  $3 \nmid r$  and  $2 \nmid t$ . Define

$$\mathcal{F}(H) := \{E_{a,b} : a = r \pmod{6}, b = t \pmod{6}, |a| \leq H^{\frac{1}{3}}, |b| \leq H^{\frac{1}{2}}, p^4 \mid a \implies p^6 \nmid b\}.$$

Approximate discriminant distribution:

$$F_\Delta(\lambda) := \frac{1}{4} \int_{-1}^1 \int_{-1}^1 \begin{cases} 1 & \text{if } -16(4\alpha^3 + 27\beta^2) < \lambda \\ 0 & \text{otherwise} \end{cases} d\alpha d\beta$$

# Setup 2/2 — $\rho(p, m)$

$\rho(p, m) :=$

$\gcd(m, p^\infty)$	$p \geq 5$	$p = 2$	$p = 3$
$p^0$	$1 - \frac{1}{p^2}$	$\frac{1}{2}$	1
$p^1$	$\frac{1}{p^2} \left(1 - \frac{1}{p}\right)$	$\frac{1}{4}$	
$p^2$	$\frac{1}{p^3} \left(1 - \frac{1}{p}\right)$	$\frac{1}{4}$	
$p^3$	$\frac{1}{p^4} \left(1 - \frac{1}{p}\right) \left(1 - \frac{1}{p}\right)$		
$p^4$	$\frac{1}{p^5} \left(1 - \frac{1}{p}\right) \left(2 - \frac{1}{p}\right)$		
$p^5$	$\frac{1}{p^6} \left(1 - \frac{1}{p}\right) \left(2 - \frac{2}{p}\right)$		
$p^6$	$\frac{1}{p^7} \left(1 - \frac{1}{p}\right) \left(3 - \frac{2}{p}\right)$		
$p^7$	$\frac{1}{p^8} \left(1 - \frac{1}{p}\right) \left(3 - \frac{2}{p}\right)$		
$p^8$	$\frac{1}{p^9} \left(1 - \frac{1}{p}\right) \left(3 - \frac{2}{p}\right)$		
$p^n, n \geq 9$	$\frac{1}{p^{n+1}} \left(1 - \frac{1}{p}\right) \left(2 - \frac{2}{p}\right)$		

# Theorem 1

## Theorem (Conductor distribution)

For any  $\lambda_1 > \lambda_0 > \frac{4464}{\log H}$ ,

$$\begin{aligned} & \frac{\#\left\{E \in \mathcal{F}(H) : \lambda_0 < \frac{N_E}{H} < \lambda_1\right\}}{\#\mathcal{F}(H)} + O((\log H)^{-1+\varepsilon}) \\ &= \frac{\zeta^{(6)}(10)}{\zeta^{(6)}(2)} \sum_{m=1}^{\infty} (F_{\Delta}(m\lambda_1) - F_{\Delta}(m\lambda_0) + F_{\Delta}(-m\lambda_0) - F_{\Delta}(-m\lambda_1)) \\ & \quad \cdot \rho(2, m)\rho(3, m) \prod_{\substack{p \geq 5 \\ p|m}} \frac{\rho(p, m)}{1 - p^{-2}}. \end{aligned}$$

(Both sum and product are finite.)

## Theorem 2

Theorem 1 says nothing when  $N < \frac{4464}{\log H} H$ .

### Theorem (Bound on small conductors)

For any  $\lambda > \frac{4464}{\log H}$ ,

$$\lambda^{\frac{5}{6}} \ll \frac{\#\{E \in \mathcal{F}(H) : N_E < \lambda H\}}{\#\mathcal{F}(H)} \ll \lambda^{\frac{5}{6}}.$$

(Take  $\lambda \approx \frac{4464}{\log H}$  to bound how many small conductors there are in  $\mathcal{F}(H)$ )

# Theorem 3

- Current best estimate for number of elliptic curves with conductor less than  $X$  is by Duke–Kowalski [DK00]:  $\# \ll X^{1+\varepsilon}$ .
- Widely-believed Brumer–McGuinness–Watkins [BM90, Wat08] heuristics predict  $\# \sim cX^{\frac{5}{6}}$ .
- Shankar–Shankar–Wang 2021 [SSW21]:  $\# \sim c'X^{\frac{5}{6}}$  for certain large subfamilies.

Considering the counting problem in  $\mathcal{F}(H)$  instead of “ $\mathcal{F}(\infty)$ ” gives

## Theorem (Counting elliptic curves by conductor)

$$X^{\frac{5}{6}} \ll \#\{E \in \mathcal{F}(H) : N_E < X\} \ll X^{\frac{5}{6}} \left(\frac{H}{X}\right)^{\frac{35}{54}} H^{\frac{7}{324}+\varepsilon} + H^{\frac{1}{2}}.$$

When  $X \gg H^{\frac{217}{264}+\varepsilon} (\approx H^{0.822})$  this bound is tighter than the one of the same sort implied by Duke–Kowalski.

# Plan: Height $\longrightarrow$ Discriminant $\longrightarrow$ Conductor

Main idea:  $\Delta$  is “Archimedean”,  $N$  is “non-Archimedean”

- Archimedean restrictions on  $\Delta$ :  
e.g.  $|a^3|, |b^2| < H \implies \Delta < 496H$ .
- Non-Archimedean restrictions on  $N$ :  
e.g. when  $\Delta$  is squarefree, must have  $\Delta = N$ .
- Intertwining Archimedean and non-Archimedean restrictions:  
e.g. if  $\gcd(\Delta/N, 2^\infty) = 2$ , then  $N < 248H$ .  
For  $E_{a,b} \in \mathcal{F}(H)$ , this happens iff  $(a, b) \in \{(1, 3), (2, 1)\} \pmod{4}$ .

# Key lemma

Archimedean: Let  $H \in \mathbb{R}_{>0}$  and let  $\lambda_0 < \lambda_1 \in \mathbb{R}$ .

Non-Archimedean: Let  $r, t$  be as in the definition of  $\mathcal{F}(H)$ ,  
let  $Q \in \mathbb{Z}_{>0}$ , and let  $S_Q \subseteq (\mathbb{Z}/Q\mathbb{Z})^2$ .

## Lemma (Intertwining Archimedean and non-Archimedean restrictions)

Suppose that  $6 \mid Q$  and that  $a = r \pmod{6}$  and  $b = t \pmod{6}$  for all  $(a, b) \in S_Q$ . Suppose also that, for all  $(a, b) \in S_Q$ , there does not exist an integer  $d$  such that  $\gcd(d, Q) > 1$  and  $\gcd(d^4, Q) \mid \gcd(a, Q)$  and  $\gcd(d^6, Q) \mid \gcd(b, Q)$ . Then

$$\begin{aligned} & \# \left\{ E_{a,b} \in \mathcal{F}(H) : \lambda_0 < \frac{\Delta E}{H} < \lambda_1, (a \pmod{Q}, b \pmod{Q}) \in S_Q \right\} \\ &= \frac{4H^{\frac{5}{6}}}{\zeta^{(Q)}(10)} \left( F_{\Delta}(\lambda_1) - F_{\Delta}(\lambda_0) \right) \frac{\#S_Q}{Q^2} + O\left( H^{\frac{1}{2}} \frac{\#S_Q}{Q} + \#S_Q \right). \end{aligned}$$

# Outline of proof of theorem 1

Let  $S_{Q,m}$  be the residue classes  $(a, b)$  which cause  $\Delta/N = m$  to some specified (technical) non-Archimedean precision.

$$\begin{aligned} & \# \left\{ E \in \mathcal{F}(H) : \lambda_0 < \frac{N_E}{H} < \lambda_1 \right\} \\ &= \sum_{\substack{-\frac{496}{\lambda_0} < m < \frac{64}{\lambda_0} \\ m \neq 0}} \# \left\{ E \in \mathcal{F}(H) : \lambda_0 < \frac{\Delta_E}{mH} < \lambda_1, \frac{\Delta_E}{N_E} = m \right\} \\ &= \sum_{\substack{-\frac{496}{\lambda_0} < m < \frac{64}{\lambda_0} \\ m \neq 0}} \# \left\{ E_{a,b} \in \mathcal{F}(H) : \lambda_0 < \frac{\Delta_E}{mH} < \lambda_1, (a, b) \in S_{Q,m} \right\} \\ &\quad - O \left( \# \left\{ E \in \mathcal{F}(H) : \frac{496}{\lambda_0} < \frac{|\Delta_E|}{N_E}, \lambda_0 < \frac{|\Delta_E|}{H} \right\} \right). \end{aligned}$$

Use key lemma on main term.



# Thanks!

Thanks for listening!



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