ALGEBRAIC BOUNDS FOR SUM-RANK-METRIC CODES

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Sum-rank metric and the size of a sum-rank-metric code

SUM-RANK DISTANCE



SUM-RANK DISTANCE

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In general, the sum-rank-metric space is

$$\mathbb{F}_q^{n_1 imes m_1} imes \cdots imes \mathbb{F}_q^{n_t imes m_t}$$

with sum-rank distance between $A := (A_1, \ldots, A_t)$ and $B := (B_1, \ldots, B_t)$:

$$\operatorname{srkd}(A,B) = \sum_{i=1}^{t} \operatorname{rk}(A_i - B_i).$$

Denoted by
$$\left|\mathbb{F}_{q}^{\mathbf{n}\times\mathbf{m}}\right|$$
, where $\mathbf{n}=[n_{1},\ldots,n_{t}]$ and $\mathbf{m}=[m_{1},\ldots,m_{t}]$.

• Network coding: transmitting messages $v_1, \ldots, v_n \in \mathbb{F}_q^m$ over network \mathcal{N} from source S to terminals T_1, \ldots, T_M .



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• The terminals demand all the messages.



• When using <u>rank-metric codes</u> can help resolve the error propagation.

MAXIMAL SIZE OF A SUM-RANK-METRIC CODE

A sum-rank-metric code C with minimum distance d is a <u>subset</u> of $\mathbb{F}_q^{n \times m}$ such that:

 $\min_{\mathsf{A},\mathsf{B}\in\mathcal{C}}\mathsf{srkd}(\mathsf{A},\mathsf{B})=d.$

NB! The code is non-linear in general.

Question: What is the maximal size of a sum-rank-metric code with minimum distance *d*?



- Induced Singleton, induced Hamming, induced Plotkin, induced Elias, Singleton, Sphere-Packing, Projective Sphere-Packing, Total Distance (Byrne, Gluesing-Luerssen, Ravagnani, 2021): come from adaptation of classical coding arguments.
- The Ratio-type bound (Abiad, K, Ravagnani, 2024): a spectral bound from the connection to the *k*-independence number of the respective graph.
- The Delsarte's LP bound (Abiad, Gavrilyuk, K, Ponomarenko, 2025): the new bound from constructing an association scheme and applying Delsarte's approach to it.

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1 Sum-rank metric and the size of sum-rank-metric code

2 Sum-rank-metric graph and Ratio-type bound

3 Delsarte's LP approach for sum-rank-metric codes

ONCLUSION AND FUTURE RESEARCH

Sum-rank-metric graph and Ratio-type bound joint work with Aida Abiad and Alberto Ravagnani (2024)

Sum-rank-metric graph $\Gamma := \Gamma(\mathbf{n}, \mathbf{m}, \mathbb{F}_q)$, $\mathbf{n} = [n_1, \dots, n_t]$, $\mathbf{m} = [m_1, \dots, m_t]$, with $m_i \ge n_i$ and $m_1 \ge \dots \ge m_t$:

- vertices of $\Gamma = t$ -tuples of matrices from $\mathbb{F}_q^{\mathbf{n} \times \mathbf{m}}$;
- A := (A₁,..., A_t) and B := (B₁,..., B_t) form an *edge* iff the sum-rank distance is 1:

$$\operatorname{srkd}(A,B) = \sum_{i=1}^{t} \operatorname{rk}(A_i - B_i) = 1.$$

SUM-RANK-METRIC GRAPH, t = 1



Sum-rank-metric graph

 $\Gamma := \Gamma(2, 2, \mathbb{F}_2):$

 $V(\Gamma) =$ matrices 2 × 2 over \mathbb{F}_2 .

$$A \sim B$$
 if $\mathsf{rk}(A - B) = 1$.

If t = 1 it is also a bilinear forms graph.

(Byrne, Gluesing-Luerssen, Ravagnani, 2022) Geodesic distance between A and B in Γ = sum-rank distance srkd(A, B).

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SUM-RANK-METRIC GRAPH

Sum-rank-metric graph $\Gamma := \Gamma([2,1],[2,1],\mathbb{F}_2)$:

- vertices: (A_1, A_2), A_1 is size 2×2 over \mathbb{F}_2 , $A_2 \in \{0, 1\}$;
- edges: $(A_1, A_2) \sim (B_1, B_2)$ if $rk(A_1 B_1) + rk(A_2 B_2) = 1$.



The graph is a *Cartesian product* of the first graph $\Gamma(2, 2, \mathbb{F}_2)$ and $\Gamma(1, 1, \mathbb{F}_2) = K_2$, a graph of two adjacent vertices.

For a graph *G*, its *k*-independence number α_k is the size of the largest set of vertices *S* such that distance between any $u, v \in S$ is more than *k*:

$$\alpha_k = \min_{u,v\in S} \operatorname{dist}_G(u,v) > k.$$

It is easy to see that α_{d-1} of $\Gamma(\mathbf{n}, \mathbf{m}, \mathbb{F}_q) =$ = the maximal size of a code in $\mathbb{F}_q^{\mathbf{n} \times \mathbf{m}}$ with minimum distance d.

Question: What is an upper bound on α_{d-1} of the sum-rank-metric graph?

Let $\lambda_1 \geq \cdots \geq \lambda_n$ be the eigenvalues of the adjacency matrix A of a graph G.

Ratio bound (Hoffman, 1974?): For a regular graph G, we have

$$\alpha_1 \le n \frac{-\lambda_n}{\lambda_1 - \lambda_n}.$$

For example, the eigenvalues of Petersen graph are

$$\mathbf{3}, 1, 1, 1, 1, 1, -2, -2, -2, -2, -2.$$

Then the Ratio bound is $\alpha_1 \leq 10 \cdot \frac{2}{3+2} = 4$, and it is tight.



Let $\lambda_1 \geq \cdots \geq \lambda_n$ be the eigenvalues of the adjacency matrix A of a graph G. The following result generalizes the Hoffman's bound:

Ratio-type bound (Abiad, Coutinho, Fiol, 2019): For a regular graph G and $p \in \mathbb{R}_{d-1}[x]$ let W(p) be the largest element of the diagonal of p(A). Then

$$\alpha_{d-1} \leq n \frac{W(p) - \min_{i \in [2,n]} p(\lambda_i)}{p(\lambda_1) - \min_{i \in [2,n]} p(\lambda_i)}.$$

How to define p so the right-hand expression is minimized?

The right-hand expression can be minimized e.g. by using the LP method (Fiol, 2020), if we have the eigenvalues of the sum-rank-metric graph:

$$\begin{array}{ll} \text{minimize} & \sum_{i=0}^{r} m(\theta_i) x_i \\ \text{subject to} & f[\theta_0, \dots, \theta_s] = 0, \quad s = d, \dots, r \\ & x_i \ge 0, \qquad \qquad i = 1, \dots, r \\ & x_0 = 1 \end{array}$$

where $f[\theta_0, \ldots, \theta_m]$ is defined recursively: $f[\theta_i] = x_i$ for $i \in \{0, \ldots, r\}$, and

$$f[\theta_i,\ldots,\theta_j] = \frac{f[\theta_{i+1},\ldots,\theta_j] - f[\theta_i,\ldots,\theta_{j-1}]}{\theta_j - \theta_i}, \quad j > i.$$

In general, the method works for (d - 1)-walk-regular graphs. All sum-rank-metric graphs are walk-regular (Abiad, K, Ravagnani, 2024).

Let
$$\mathbf{n} = [n_1, ..., n_t]$$
, $\mathbf{m} = [m_1, ..., m_t]$.

(Abiad, K, Ravagnani, 2024) The sum-rank-metric graph $\Gamma(\mathbf{n}, \mathbf{m}, \mathbb{F}_q)$ is the Cartesian product of graphs $\Gamma(n_i, m_i, \mathbb{F}_q)$ for i = 1, ..., t.

The graph $\Gamma(n_i, m_i, \mathbb{F}_q)$ is a bilinear forms graph

CONNECTION TO BILINEAR FORMS GRAPHS

Bilinear forms graph $\Gamma(2,2,\mathbb{F}_2)$, vertices are 2×2 matrices over \mathbb{F}_2 :



CONNECTION TO BILINEAR FORMS GRAPHS

Sum-rank-metric graph $\Gamma([2,1],[2,1],\mathbb{F}_2)$:

• vertices: (A_1, A_2) , A_1 is 2 × 2 matrix over \mathbb{F}_2 , $A_2 \in \{0, 1\}$ (1 × 1 matrix);

• edges: $(A_1, A_2) \sim (B_1, B_2)$ if $rk(A_1 - B_1) + rk(A_2 - B_2) = 1$.



Let
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The graph $\Gamma(n_i, m_i, \mathbb{F}_q)$ is a *bilinear forms graph*, with eigenvalues given by

$$heta_j = rac{(q^{n_i-j}-1)(q^{m_i}-q^j)-q^j+1}{q-1}, \quad j=0,\ldots,n_i.$$

The eigenvalues of the Cartesian product are all possible sums of eigenvalues of the product's factors.

Let
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The eigenvalues of the Cartesian product are all possible sums of eigenvalues of the product's factors:

The graph $\Gamma(\mathbf{n}, \mathbf{m}, \mathbb{F}_q)$ has the eigenvalues $(i_j = 0, \dots, n_j$ for each $j \in [t])$

$$\lambda_{(i_1,...,i_t)} = \sum_{j=1}^t rac{(q^{n_j-i_j}-1)(q^{m_j}-q^{i_j})-q^{i_j}+1}{q-1}.$$

 \Rightarrow From the full list of eigenvalues we can calculate the Ratio-type bound either from an explicit formula (d = 3, 4) or using the LP ($d \ge 5$).

Delsarte's LP bound joint work with Aida Abiad, Alexander L. Gavrilyuk, and Ilia Ponomarenko (2025) The Delsarte's LP bound is an efficient tool that has been used to estimate the maximal size of the code in multiple metrics:

- Hamming codes (Delsarte, 1973);
- rank-metric codes (Delsarte, 1978);
- bilinear alternating forms (Delsarte, Goethals, 1975);
- Lee codes (Astola, 1982);
- permutation codes (Dukes, Ihringer, Lindzey, 2020);

• . . .

• sum-rank-metric codes?

 $\mathcal{A} = (X, \mathcal{R})$ is a **symmetric association scheme** on set X with relations $\mathcal{R} = \{R_0, \ldots, R_n\}$ that form a partition of $X \times X$ such that:

- R_0 consists of all (x, x) for $x \in X$.
- $(x, y) \in R_i$ means $(y, x) \in R_i$ for any R_i, x, y .
- If $(x, y) \in R_k$, then the number of z such that $(x, z) \in R_i$ and $(y, z) \in R_j$ is a constant $p_{i,j}^k$ that does not depend on the choice of x, y.

If G is a distance-regular graph, then $(V(G), \mathcal{R})$ is a symmetric association scheme if we define relations by:

$$(x,y) \in R_i \Leftrightarrow d_G(x,y) = i.$$

A well-known example of distance-regular graphs are *Hamming* graphs.

Vertices at distance 0:



Vertices at distance 1:



Vertices at distance 2:



Vertices at distance 3:



	000	001	010	011	100	101	110	$111\rangle$
000	R_0	R_1	R_1	R_2	R_1	R_2	R_2	R_3
001	R_1	R_0	R_2	R_1	R_2	R_1	R_3	R_2
010	R_1	R_2	R_0	R_1	R_2	R_3	R_1	R_2
011	R_2	R_1	R_1	R_0	R_3	R_2	R_2	R_1
100	R_1	R_2	R_2	R_3	R_0	R_1	R_1	R_2
101	R_2	R_1	R_3	R_2	R_1	R_0	R_2	R_1
110	R_2	R_3	R_1	R_2	R_1	R_2	R_0	R_1
111	R_3	R_2	R_2	R_1	R_2	R_1	R_1	R_0

It is well-known that bilinear forms graphs are distance-regular.

A symmetric association scheme defined on a bilinear forms graph is called a **bilinear forms scheme**.



The Q-eigenmatrix in a bilinear forms graph

By considering the Bose-Mesner algebra, one can derive the *Q*-eigenmatrix (the second eigenmatrix) of a bilinear forms scheme. Given eigenvalues $\theta_0, \ldots, \theta_n$ and intersection numbers a_i, b_i, c_i :

$$Q_{ij} = p_j(\theta_i) = rac{1}{c_j} \left((heta_i - a_{j-1}) p_{j-1}(heta_i) - b_{j-2} p_{j-2}(heta_i)
ight), \quad p_0(heta_i) = 1, \ p_1(heta_i) = heta_i.$$

The values θ_i , a_i , b_i , c_i are all expressed in the parameters of the graph:

$$egin{aligned} & heta_i = rac{(q^{n-i}-1)(q^m-q^i)-q^i+1}{q-1}, & c_i = p_{1,i-1}^i = rac{q^{i-1}(q^i-1)}{q-1}, \ & heta_i = p_{1,i+1}^i = rac{q^{2i}(q^{m-i}-1)(q^{n-i}-1)}{q-1}, & a_i = p_{1,i}^i = b_0 - b_i - c_i. \end{aligned}$$

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Let $\mathcal{A} = (X; R_0, \dots, R_n)$ be an association scheme, and let $\Delta \subseteq X$. We define the distribution vector **a** of Δ with entries $a_i = \frac{|(\Delta \times \Delta) \cap R_i|}{|\Delta|}$, $i = 0, \dots, n$.

(Delsarte, 1973) For the *Q*-eigenmatrix of A, we have $aQ \ge 0$ for any Δ .

This gives rise to the **Delsarte's LP bound** on the size of the code with minimum distance *d*:

$$\begin{array}{ll} \text{maximize} & \sum_{i=0}^{n} a_i (= |\Delta|) \\ \text{subject to} & \mathbf{a} Q \geq \mathbf{0}, \\ & \mathbf{a} \geq \mathbf{0}, \\ & a_0 = 1, \\ & a_i = 0, \end{array} \quad \mathbf{0} < i < d. \end{array}$$

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Delsarte's LP bound, 1973:

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When an association scheme is defined, one can use *Delsarte's LP* to upper bound the size of the code with given minimum distance.

 \Rightarrow We can use Delsarte's LP bound if the graph is distance-regular (*e.g.* bilinear forms schemes).

Is sum-rank-metric graph distance-regular?

Is sum-rank-metric graph distance-regular?

(Abiad, K, Ravagnani, 2024) A sum-rank-graph on $t \ge 2$ blocks is distance-regular if and only if all of the blocks are of size $1 \times m$ for some positive integer m.

Hence *sum-rank-graph is not distance-regular in general*, unlike Hamming or rank-metric graphs.

 \uparrow The main challenge in applying Delsarte's approach in sum-rank!

But can we still apply Delsarte's LP bound? Distance-regularity is sufficient, but not necessary.

Given two association schemes

$$\mathcal{A}_1 = (X, \{S_0, \dots, S_{D_1}\}) \text{ and } \mathcal{A}_2 = (Y, \{T_0, \dots, T_{D_2}\}),$$

the **direct product** $A_1 \otimes A_2$ is the association scheme $(X \times Y, \mathcal{R})$ such that:

- $\mathcal{R} = \{R_{0,0}, R_{0,1}, \ldots, R_{0,D_2}, R_{1,0}, \ldots, R_{1,D_2}, \ldots, R_{D_1,0}, \ldots, R_{D_1,D_2}\};$
- If $(x_1, x_2) \in S_i$ and $(y_1, y_2) \in T_j$, then $((x_1, y_1), (x_2, y_2)) \in R_{i,j}$.

The *Q*-eigenmatrix of $A_1 \otimes A_2$ is the Kronecker product of the *Q*-eigenmatrices of A_1 and A_2 .

In the **direct product of bilinear forms schemes** for graphs Γ_1 and Γ_2 , $((A_1, A_2), (B_1, B_2)) \in R_{i,j}$ means A_1 and A_2 are at (sum-rank) distance *i*, while B_1 and B_2 are at distance *j*.

For example, in $\Gamma(2,2,\mathbb{F}_2) \times \Gamma(1,1,\mathbb{F}_2)$, the tuples $\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}, 0$ and $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, 1$ are in the relation $R_{2,1}$.



EXAMPLE: THE HAMMING CUBE



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1	00	01	10	$11 \setminus$
00	R _{0,0}	$R_{0,1}$	$R_{1,0}$	$R_{1,1}$
01	$R_{0,1}$	$R_{0,0}$	$R_{1,1}$	R _{1,0}
10	$R_{1,0}$	$R_{1,1}$	$R_{0,0}$	$R_{0,1}$
$\backslash 11$	$R_{1,1}$	$R_{1,0}$	$R_{0,1}$	$R_{0,0}/$

EXAMPLE: THE HAMMING CUBE



The Hamming scheme based on distances in the graph:



*	000	001	010	011	100	101	110	111
000	R_0	R_1	R_1	R_2	R_1	R_2	R_2	R_3
001	R_1	R_0	R_2	R_1	R_2	R_1	R_3	R_2
010	R_1	R_2	R_0	R_1	R_2	R_3	R_1	R_2
011	R_2	R_1	R_1	R_0	R_3	R_2	R_2	R_1
100	R_1	R_2	R_2	R_3	R_0	R_1	R_1	R_2
101	R_2	R_1	R_3	R_2	R_1	R_0	R_2	R_1
110	R_2	R_3	R_1	R_2	R_1	R_2	R_0	R_1
111	R_3	R_2	R_2	R_1	R_2	R_1	R_1	R_0



For two association schemes A_1 and A_2 on the same point set X, we say A_1 is a **fusion** of A_2 and write $A_1 \leq A_2$ if every relation of A_1 is a union of some relations of A_2 .



Let G be a graph with the edge set E(G).

A Weisfeiler-Leman closure WL(G) is an association scheme on vertices of G such that:

• there are relations, w.l.o.g. R_1, R_2, \ldots, R_ℓ , such that

$$R_1 \cup R_2 \cup \cdots \cup R_\ell = E(G);$$

• it is the smallest such association scheme (in terms of fusion \leq).

WL(G) is a 'good' association scheme to run Delsarte LP on (smaller size of Q, the distances between vertices are taken into account).

How does the direct product of bilinear forms schemes compare to WL(G)?

(Abiad, Gavrilyuk, K, Ponomarenko, 2025) If the graph G is a sum-rank-metric graph which is a Cartesian product of bilinear forms graphs G_1, \ldots, G_t , then WL(G) is a fusion of the direct product of bilinear forms schemes corresponding to G_1, \ldots, G_t .

 \Rightarrow We can define an association scheme for a sum-rank-metric graph G, possibly larger than WL(G), and apply Delsarte's LP bound to it.

BOUND COMPARISON: COMPUTATIONAL RESULTS

bold = best performing bound; <u>underlined</u> = RT-bound outperforms coding bounds. For $|V| \le 1024$ and $t \le 7$ Delsarte's LP is never strictly outperformed.

t	q	n	m	d	V	Ratio-type	Delsarte LP	iS _d	iH _d	iE _d	S _d	SP_d	PSP_d
2	2	[2, 2]	[2, 2]	3	256	<u>11</u>	10	16	19	34	16	13	13
3	2	[2, 2, 1]	[2, 2, 1]	3	512	25	20	64	64	151	32	25	25
3	2	[2, 2, 1]	[2, 2, 1]	4	512	10	6	16	64	27	8	25	18
3	2	[2, 2, 1]	[2, 2, 2]	3	1024	<u>38</u>	34	64	64	151	64	46	46
3	2	[2, 2, 1]	[2, 2, 2]	4	1024	15	8	16	64	27	16	46	36
4	2	[2, 1, 1, 1]	[2, 2, 2, 1]	3	512	28	24	64	64	151	32	30	30
4	2	[2, 1, 1, 1]	[2, 2, 2, 1]	4	512	11	6	16	64	27	8	30	32
4	2	[2, 1, 1, 1]	[2, 2, 2, 2]	3	1024	44	42	64	64	151	64	53	53
4	2	[2, 1, 1, 1]	[2, 2, 2, 2]	4	1024	18	10	16	64	27	16	53	64
4	2	[2, 2, 1, 1]	[2, 2, 1, 1]	3	1024	<u>46</u>	40	256	215	529	64	48	48
4	2	[2, 2, 1, 1]	[2, 2, 1, 1]	4	1024	19	12	64	215	119	16	48	36
5	2	[2, 1, 1, 1, 1]	[2, 1, 1, 1, 1]	5	256	5	2	16	26	19	4	4	3
5	2	[2, 1, 1, 1, 1]	[3, 1, 1, 1, 1]	5	1024	8	2	64	336	240	4	6	3
5	2	[2, 1, 1, 1, 1]	[2, 2, 2, 1, 1]	3	1024	56	49	256	215	529	64	56	56
5	2	[2, 1, 1, 1, 1]	[2, 2, 2, 1, 1]	4	1024	22	13	64	215	119	16	56	64
6	2	[2, 1, 1, 1, 1, 1]	[2, 1, 1, 1, 1, 1]	4	512	16	12	256	512	407	16	34	32
6	2	[2, 1, 1, 1, 1, 1]	[2, 1, 1, 1, 1, 1]	5	512	8	4	64	77	99	8	6	5
6	2	[2, 1, 1, 1, 1, 1]	[2, 2, 1, 1, 1, 1]	5	1024	11	6	64	77	99	8	9	8
6	2	$\left[2,1,1,1,1,1 ight]$	[2, 2, 1, 1, 1, 1]	6	1024	7	2	16	77	14	4	9	3

(Schrijver, 1979) The Delsarte's LP does not perform worse than Lovász θ_k bound.

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Conclusion and future research

- ? What are the codes that optimize the new bound? Recently there was progress in addressing the optimality of Delsarte's LP for Hamming and rank metrics, but not sum-rank.
- ? Can the Delsarte's LP approach be applied to other metrics? (In case the respective graph is not distance-regular.)

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- ? Can the Delsarte's LP approach be applied to other metrics? (In case the respective graph is not distance-regular.)

Thank you for your attention!

The talk is based on:

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https://doi.org/10.1109/TIT.2023.3339808

Abiad, A., Gavrilyuk, A.L., Khramova, A.P., Ponomarenko I. The linear programming bound for sum-rank-metric codes. *IEEE Transactions on Information Theory* (2025) https://doi.org/10.1109/TIT.2024.3488902

• 8 papers in graph, coding, group, and scheduling theory

- 28+1 conferences, seminars, workshops
- In the market for a postdoc from Nov 2025 ;)

If G is a Cartesian product $G_1 \Box \cdots \Box G_t$, and \mathcal{A} is the direct product of bilinear forms schemes, then $WL(G) \leq \mathcal{A}$. When do we have $WL(G) = \mathcal{A}$? A sufficient condition:

(Abiad, Garvilyuk, K, Ponomarenko, 2025) Let G_1 and G_2 be graphs with precisely s_1 and s_2 pairwise distinct eigenvalues θ_{1j} and θ_{2k} , respectively, $j \in [s_1]$, $k \in [s_2]$. Then

 $\mathsf{WL}(G_1 \Box G_2) = \mathsf{WL}(G_1) \otimes \mathsf{WL}(G_2)$

if the set $S := \{\theta_{1j} + \theta_{2k} \mid j \in [s_1], k \in [s_2]\}$ is of cardinality s_1s_2 .

Checked computationally, this condition does not often hold for sum-rank-metric graphs. On the other hand, after checking Bannai-Muzychuk criterion for small graphs we found no counterexamples to WL(G) = A when all blocks of the sum-rank-metric graph are of different sizes.