## Last time - interpreting classical error correction

- Classical EC: store logical information in a code(sub)set $\mathcal{C}$. $\mathbb{E}$ is called a correctible set of errors, if $E_{i} \mathcal{C}$ and $E_{j} \mathcal{C}$ are disjoint for all distinct $E_{i}, E_{j} \in \mathbb{E}$. In principle, the error can be identified (and be reverted).
- Special case: $\mathcal{C}$ is a classical $[n, k, d]$ binary linear code if $\mathcal{C}$ is $2^{k}$ - $\operatorname{dim}$ subspace of $\mathbb{Z}_{2}^{\otimes n}$, and $c \in \mathcal{C}, c \neq 0 \Rightarrow \mathrm{wt}(c) \geq d$. $d$ : distance of the code.

We saw that $\mathbb{E}$ consists of all bit-flip errors with wt $\leq t=\lfloor(d-1) / 2\rfloor . \mathcal{C}$ is called $t$-error correcting.

How it works: each $e \in \mathbb{E}$ displaces any message $m \in \mathcal{C}$ to $y=m \oplus e$ (bitwise XOR). Define the syndrome of $e$ as $f:=H y=H e . y$ determines a unique $f$ that determines $e$.

- The trivial error $e=0$ is often in $\mathbb{E}$.


## Quantum $X$-error correcting codes

Classical syndrome extraction: the $r$ th row of $H$ is the index set of a subset $S_{r} \subset[1, \cdots, n]$ whose parity is to be measured on $y$.

Transitioning to quantum: we measure $M_{r}=\bigotimes_{i \in S_{r}} Z_{i}$ (where $Z_{i}$ is $Z$ acting on the $i$ th bit). The (even/odd) parity translates to $+/-1$ eigenvalue of $M_{r} . \mathcal{C}$ is the +1 eigenspace of $M_{r}$ $\forall r=1, \cdots, n-k$.

- Let $\mathcal{C}_{q}$ be the simultaneous +1 eigenspace of $M_{r}=\bigotimes_{i \in S_{r}} Z_{i} \forall r$. $\mathcal{C}_{q}$ is a quantum code, a $2^{k}$-dim subspace of $\mathbb{C}^{2 \otimes n}$, with basis labeled by codewords of $\mathcal{C}$, and is " $t X$-error correcting."
- For each correctible $E_{i}$, let $f_{i}=H E_{i}$ be its ( $n-k$ )-bit syndrome in $\mathcal{C}$. Then, $\forall|\psi\rangle \in \mathcal{C}_{q}, M_{r} E_{i}|\psi\rangle=(-1)^{\left.f_{i}(r)\right)} E_{i}|\psi\rangle$. NB $f_{i} \neq f_{j}$ if $E_{i} \neq E_{j}$.


## Quantum Z-error correcting codes

- Define $\mathcal{C}_{q}^{+}$as the simultaneous +1 eigenspace of $M_{r}^{+}=\bigotimes_{i \in S_{r}} X_{i}$ $\forall r$. $\left(\mathcal{C}_{q}^{+}\right.$has basis labeled by codewords of $\mathcal{C}$, with $|0 / 1\rangle \rightarrow| \pm\rangle$.)

Claim: $\mathcal{C}_{q}^{+}$corrects up to $t$ phase $(Z)$ errors.
Proof: Let $U=H^{\otimes n}$ where $H$ is the Hadamard matrix. ( $\mathrm{H} X \mathrm{H}=Z, \mathrm{H} Z \mathrm{H}=X$, and $\mathrm{H} \mathrm{H}=I$.)
Let $E_{i}^{+}$be a phase error of $w t \leq t$ and $E_{i}=U E_{i}^{+} U$ be the corresponding bit-flip error.

Then, $\forall\left|\psi^{+}\right\rangle \in \mathcal{C}_{q}^{+}, M_{r}^{+} E_{i}^{+}\left|\psi^{+}\right\rangle=U U M_{r}^{+} U U E_{i}^{+} U U\left|\psi^{+}\right\rangle$

$$
=U M_{r} E_{i}\left(U\left|\psi^{+}\right\rangle\right)=U(-1)^{f_{i}(r)} E_{i}\left(U\left|\psi^{+}\right\rangle\right)=(-1)^{f_{i}(r)} E_{i}^{+}\left|\psi^{+}\right\rangle
$$

where we've used $U\left|\psi^{+}\right\rangle \in \mathcal{C}_{q}$.
Thus, each phase error $E_{i}^{+}$of wt $\leq t$ has a unique syndrome.
We now combine the bit and phase error correction to handle both.

## Quantum CSS (Calderbank-Shor-Steane) codes

Consider 2 classical linear codes, $\mathcal{C}_{B}$ and $\mathcal{C}_{P}$ with parameters [ $n, k_{B}, d_{B}$ ] and [ $n, k_{P}, d_{P}$ ].

Let $H_{B}$ and $H_{P}$ be their respective parity check matrices.
For the $r$-th row in $H_{B}$, define $M_{Z r}=\otimes Z_{i}^{H_{B, r, i}}$.
For the $s$-th row in $H_{P}$, define $M_{X_{s}}=\otimes X_{i}^{H_{P, r, i}}$.
where $H_{r, i}$ is the $(r, i)$-entry of $H$, or the $i$ th entry of the $r$ th row of $H$.

## Quantum CSS (Calderbank-Shor-Steane) codes

e.g. take $C_{B}=C_{P}=[7,4,3]$ Hamming code.

$$
\begin{aligned}
& H_{B}=H_{P}=\left[\begin{array}{lllllll}
0 & 0 & 0 & 1 & 1 & 1 & 1 \\
0 & 1 & 1 & 0 & 0 & 1 & 1 \\
1 & 0 & 1 & 0 & 1 & 0 & 1
\end{array}\right] . \\
& H_{B} \rightarrow M_{Z}=\left[\begin{array}{llllllll}
M_{Z 1}= & l & l & l & Z & Z & Z & Z \\
M_{Z 2}= & l & Z & Z & l & l & Z & Z \\
M_{Z 3}= & Z & l & Z & l & Z & I & Z
\end{array}\right] . \\
& H_{P} \rightarrow M_{X}=\left[\begin{array}{llllllll}
M_{X 1}= & l & l & l & X & X & X & X \\
M_{X 2}= & l & X & X & l & l & X & X \\
M_{X 3}= & X & l & X & l & X & l & X
\end{array}\right] .
\end{aligned}
$$

where the $\otimes$ 's are omitted.

## Quantum CSS (Calderbank-Shor-Steane) codes

Consider 2 classical linear codes, $\mathcal{C}_{B}$ and $\mathcal{C}_{P}$ with parameters [ $n, k_{B}, d_{B}$ ] and [ $n, k_{P}, d_{P}$ ].

Let $H_{B}$ and $H_{P}$ be their respective parity check matrices.
For the $r$-th row in $H_{B}$, define $M_{Z r}=\otimes Z_{i}^{H_{B, r, i}}$.
For the $s$-th row in $H_{P}$, define $M_{X_{s}}=\otimes X_{i}^{H_{P, r, i}}$.
Question: under what condition is there a +1 eigenspace $\mathcal{C}$ of all of $M_{Z r}\left(r=1, \cdots, n-k_{B}\right)$ and $M_{X_{s}}\left(s=1, \cdots, n-k_{P}\right)$ ?

Answer: $M_{Z r}$ commutes with $M_{X_{s}}$ iff the $r$-th row in $H_{B}$ and the $s$-th row in $H_{P}$ has 0 inner product mod 2 (elaborate). So, we want $H_{B} H_{P}^{T}=0\left(\Leftrightarrow H_{P} H_{B}^{T}=0, \Leftrightarrow \mathcal{C}_{P}^{\perp} \subset \mathcal{C}_{B} \Leftrightarrow \mathcal{C}_{B}^{\perp} \subset \mathcal{C}_{P}\right)$.

Correction in NC: $C_{P} \subset C_{B}$ condition should not be there.

## Quantum CSS (Calderbank-Shor-Steane) codes

Define a quantum $\operatorname{CSS}$ code $\mathcal{C}$ as the +1 eigenspace $\mathcal{C}$ of the $M_{Z r}\left(r=1, \cdots, n-k_{B}\right)$ and $M_{X_{s}}\left(s=1, \cdots, n-k_{P}\right)$ from $\mathcal{C}_{B}$ and $\mathcal{C}_{P}$ s.t. $H_{B} H_{P}^{T}=0$, It encodes $n-k_{B}-k_{P}$ qubits in $n$. (Why?)

Claim: $\mathcal{C}$ corrects up to $t_{B} \leq\left\lfloor\left(d_{B}-1\right) / 2\right\rfloor$ bit $(X)$ errors AND $t_{P} \leq\left\lfloor\left(d_{P}-1\right) / 2\right\rfloor$ phase $(Z)$ errors.

The $M_{Z r}$ and $M_{X_{s}}$ generate an abelian stabilizer group (define) for the code. I some times called them the $Z$ and $X$ stabilizers.

Proof: The syndrome has 2 parts: an $\left(n-k_{B}\right)$-bit $f$ and an ( $n-k_{P}$ )-bit $g$ from the $Z$ and $X$ stabilizers respectively. Bit errors contribute only to $f$ and phase errors to $g$, thus each error stated in the claim has a unique joint syndrome $(f, g) . \square$

A CSS code that can correct for $t X$ errors and $t Z$ errors can correct for any $t$-qubit Pauli error. Will see that it can correct for any $t$-qubit error (and more).

## CSS code example 1: the 7-bit Steane code

Take $C_{B}=C_{P}=[7,4,3]$ Hamming code.
$H_{B}=H_{P}=\left[\begin{array}{lllllll}0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 & 0 & 1\end{array}\right] . \quad H_{B} H_{P}^{T}=0$.
$H_{B} \rightarrow M_{Z}=\left[\begin{array}{llllllll}M_{Z 1}= & l & l & l & Z & Z & Z & Z \\ M_{Z 2}= & l & Z & Z & l & l & Z & Z \\ M_{Z 3}= & Z & l & Z & l & Z & l & Z\end{array}\right]$.
$H_{P} \rightarrow M_{X}=\left[\begin{array}{llllllll}M_{X 1}= & l & l & l & X & X & X & X \\ M_{X 2}= & l & X & X & l & l & X & X \\ M_{X 3}= & X & l & X & l & X & l & X\end{array}\right]$.
where the $\otimes$ 's are omitted.
This code corrects for any 1-qubit error (try it!).

## Discretization of errors

Claim: $\mathcal{C}$ quantum code. If a set of Pauli errors $\left\{E_{i}\right\}$ is correctible by $\mathcal{C}$, each with a unique syndrome, then any $E \in \operatorname{span}\left(\left\{E_{i}\right\}\right)$ is correctible.
e.g. $E=e^{-i \theta X} \otimes I \otimes I=\cos \theta I I I-i \sin \theta X I I$ is correctible by the 3-bit repetition code since both III and XII are correctible.

Detail: If the encoded qubit is $\alpha|000\rangle+\beta|111\rangle$, the erroneous state is $\cos \theta(\alpha|000\rangle+\beta|111\rangle)-i \sin \theta(\alpha|100\rangle+\beta|011\rangle)$.

We measure $Z Z I$ and $I Z Z$, and measuring $Z Z I$ project onto either the $\cos$ or the $\sin$ term with probabilities $\cos ^{2} \theta$ and $\sin ^{3} \theta$, WITHOUT affecting the superposition between the $\alpha$ and $\beta$ terms.

The magic - the error BECOMES what your syndrome measurement outcome states - other terms not corresponding to it are projected away. Thus reverting the error according to the outcome works perfectly.

## Discretization of errors

Claim: $\mathcal{C}$ quantum code. If a set of Pauli errors $\left\{E_{i}\right\}$ is correctible by $\mathcal{C}$, each with a unique syndrome, then any $E \in \operatorname{span}\left(\left\{E_{i}\right\}\right)$ is correctible.

Proof: Apply to the erroneous state the syndrome measurement and the postprocessing to deduce which $E_{i} . \forall|\psi\rangle \in \mathcal{C}$, $E=\sum_{i} \alpha_{i} E_{i}$, the erroneous state is $\sum_{i} \alpha_{i} E_{i}|\psi\rangle$, which is projected to $E_{i}|\psi\rangle|i\rangle$ if syndrome measurement outcome is $i$. Applying $E_{i}^{\dagger}$ recovers $|\psi\rangle$ deterministically.

Note we can correct for a continuous set of errors (infinitely many) by dealing only with a discrete, finite, error basis.

We're now ready for the most general statement.

## Quantum error correction criterion

## Necessary and sufficient condition for QECC

Let $P$ be the projector onto the codespace $\mathcal{C} \subset \mathcal{A}$ (the ambient space), $\mathbb{E}=\left\{E_{i}\right\}$ in $\mathcal{B}(\mathcal{A})$. The following are equivalent:
(1) $\forall i j P E_{i}^{\dagger} E_{j} P=c_{i j} P$
where $c_{i j}$ is the $(i, j)$-entry for some matrix $c \geq 0$.
(2) Any $C P \operatorname{map} \mathcal{E}(M)=\sum_{k} A_{k} M A_{k}^{\dagger}$
with $A_{k} \in \operatorname{span}(\mathbb{E})$ can be reversed on $\mathcal{C}$ i.e. $\exists \mathcal{R}$ s.t. $\forall \rho$ with $P \rho P=\rho, \mathcal{R} \circ \mathcal{E}(\rho)=(\operatorname{tr\mathcal {E}}(\rho) / \operatorname{tr} \rho) \rho$.

Note that in (2), $\mathcal{E}$ may not be TP, but $\mathcal{R}$ is.
Both conditions capture what errors $\mathcal{C}$ can correct.
(2) is an operational definition of $\mathcal{C}$ corrects for $\mathcal{E}$.
(1) is an algebraic characterization of (2) due to the equivalence.

Corollary: the set of correctible errors forms a linear space $\operatorname{span}(\mathbb{E})$.

## Proof of QEC criterion: $[(1) \Rightarrow(2)]$

$c=v d v^{\dagger}$ for $d$ diagonal with nonnegative entries and $v$ unitary. (Spectral decomposition for $c \geq 0$.)

Let $F_{k}=\sum_{j} v_{j k} E_{j}$. (Double subscript labels a matrix entry)
Then

$$
\begin{aligned}
P F_{l}^{\dagger} F_{k} P & =\sum_{i j}\left(v_{i l}^{*}\right)\left(v_{j k}\right) P E_{i}^{\dagger} E_{j} P \quad \text { (by substitution) } \\
& =\sum_{i j}\left(v_{i l}^{*}\right)\left(v_{j k}\right)\left(c_{i j} P\right) \quad \text { (condition (1)) } \\
& =\left[v^{\dagger} c v\right]_{l k} P \\
& =d_{k k} \delta_{k l} P \quad \text { (by spec decomp of } c \text { ) }
\end{aligned}
$$

It means that the set of errors $F_{k}$ are distinguishing on $P$.
This is called the orthogonality condition.

## Proof of QEC criterion: $[(1) \Rightarrow(2)]$

From last page: $P F_{l}^{\dagger} F_{k} P=d_{k k} \delta_{k l} P$
Applying Polar Decomposition
$F_{k} P=U_{k} \sqrt{P F_{k}^{\dagger} F_{k} P}=U_{k} \sqrt{d_{k k} P}=U_{k} \sqrt{d_{k k}} P$.
Thus $F_{k}$ acts unitarily (like $U_{k}$ ) (only) on the codespace.
This is called the nondeforming condition.

## ADD DIAGRAM

To show (2), we need to find $\mathcal{R}$. Idea: first, identify which $F_{k}$, then revert it by applying $U_{k}^{\dagger}$.

## Proof of QEC criterion: $[(1) \Rightarrow(2)]$

Idea for $\mathcal{R}$ : first, identify which $F_{k}$, then revert it by applying $U_{k}^{\dagger}$.
Let $P_{k}=U_{k} P U_{k}^{\dagger}$.
Each $P_{k}$ is a projector, and $\operatorname{tr} P_{k} P_{l} \propto \delta_{k l}$.
Take $R(M):=\sum_{k} U_{k}^{\dagger} P_{k} M P_{k} U_{k}$

$$
=\sum_{k} U_{k}^{\dagger}\left(U_{k} P U_{k}^{\dagger}\right) M\left(U_{k} P U_{k}^{\dagger}\right) U_{k}=\sum_{k} P U_{k}^{\dagger} M U_{k} P
$$

Now, we check that $\mathcal{R}$ reverses $\mathcal{E}$.
Recall $F_{k}=\sum_{j} v_{j k} E_{j}$.
$A_{l} \in \operatorname{span}(\mathbb{E})=\operatorname{span}\left(\left\{F_{m}\right\}\right)$.
$A_{l} P=\sum_{m} b_{l m} F_{m} P=\sum_{m} b_{l m}\left(\sqrt{d_{m m}} U_{m} P\right)$.

## Proof of QEC criterion: $[(1) \Rightarrow(2)]$

Last page: $R(M)=\sum_{k} P U_{k}^{\dagger} M U_{k} P$

$$
\left.A_{l} P=\sum_{m} b_{l m} \hat{\left(\sqrt{d_{m m}}\right.} U_{m} P\right)
$$

$\forall \rho$ s.t. $P \rho P=\rho(\rho$ supported on $\mathcal{C})$ :
$\mathcal{R} \circ \mathcal{E}(\rho)$
$=\sum_{k l} P U_{k}^{\dagger} A_{l} P \rho P A_{l}^{\dagger} U_{k} P$
$=\sum_{k l} P U_{k}^{\dagger} \sum_{m} b_{l m} \sqrt{d_{m m}} U_{m} P \rho P \sum_{m^{\prime}} b_{l m^{\prime}}^{*} \sqrt{d_{m m^{\prime}}} U_{m^{\prime}}^{\dagger} U_{k} P$
$=\sum_{k l m m^{\prime}} b_{l m} \sqrt{d_{m m}} \delta_{k m} P \rho P b_{l m^{\prime}}^{*} \sqrt{d_{m m^{\prime}}} \delta_{m^{\prime} k} \quad$ (ortho cond)
$=\sum_{k l} b_{l k} \rho b_{l k}^{*} d_{k k} \quad($ cleaning up $)=(\operatorname{tr} \mathcal{E}(\rho) / \operatorname{tr} \rho) \rho$.
Because $\operatorname{tr}(\mathcal{E}(\rho))=\operatorname{tr}\left(\sum_{l} A_{l} P \rho P A_{l}^{\dagger}\right)=\sum_{l} \operatorname{tr}\left[\left(P A_{l}^{\dagger} A_{l} P\right) \rho\right]$
$=\sum_{l} \operatorname{tr}\left[\left(\sum_{m^{\prime}} b_{l m^{\prime}}^{*} \sqrt{d_{m^{\prime} m^{\prime}}} P U_{m^{\prime}}^{\dagger}\right)\left(\sum_{m} b_{l m} \sqrt{d_{m m}} U_{m} P\right) \rho\right]$
$=\sum_{l m m^{\prime}} b_{l m^{\prime}}^{*} b_{l m} \sqrt{d_{m^{\prime} m^{\prime}}} \sqrt{d_{m m}} \delta_{m m^{\prime}} \operatorname{tr}(P P \rho)$
$=\sum_{l m} b_{l m}^{*} b_{l m} d_{m m} \operatorname{tr}(P \rho P)=\operatorname{tr}(\rho) \sum_{l m} b_{l m}^{*} b_{l m} d_{m m}$

## Proof of QEC criterion: $[(2) \Rightarrow(1)]$

Choose $A_{k}=E_{k}$ and $\mathcal{E}(\rho)=\sum_{k} E_{k} \rho E_{k}^{\dagger}$ in the statement of (2). ( $\mathcal{E}$ CP but not necessarily TP).
(2) $\Rightarrow \exists \mathcal{R}$ s.t. $\forall \rho$ with $P \rho P=\rho, \mathcal{R} \circ \mathcal{E}(\rho)=(\operatorname{tr\mathcal {E}})(\rho) / \operatorname{tr} \rho) \rho$.

Take $\rho=P \sigma P=: P(\sigma)$ where $\sigma \in \mathcal{B}(\mathcal{A})$ is arbitrary.
Then, $\mathcal{R} \circ \mathcal{E} \circ P(\sigma)=[\operatorname{tr\mathcal {E}} \circ P(\sigma) / \operatorname{tr} P(\sigma)] P(\sigma)$.
But $\mathcal{R}$ has a Kraus representation, say, $R(M)=\sum_{l} B_{l} M B_{l}^{\dagger}$.
So, $\left({ }^{*}\right)$ implies $\sum_{l k} B_{l} E_{k} P \sigma P E_{k}^{\dagger} B_{l}^{\dagger} \propto P \sigma P$.
That its holds $\forall \sigma \in \mathcal{B}(\mathcal{A})$ gives two different Kraus representations for the same quantum operation.

The relation between the Kraus operators in two Kraus reps for the same quantum operation is given in Theorem 8.2, p372 in NC:
If $\sum_{k} G_{k} M G_{k}^{\dagger}=\sum_{l} G_{l}^{\prime} M G_{l}^{\prime}$, then, $G_{k}=\sum_{l} w_{k l} G_{l}^{\prime}$ (with $w$ unitary).

## Proof of QEC criterion: $[(2) \Rightarrow(1)]$

Choose $A_{k}=E_{k}$ and $\mathcal{E}(\rho)=\sum_{k} E_{k} \rho E_{k}^{\dagger}$ in the statement of (2). ( $\mathcal{E}$ CP but not necessarily TP).
(2) $\Rightarrow \exists \mathcal{R}$ s.t. $\forall \rho$ with $P \rho P=\rho, \mathcal{R} \circ \mathcal{E}(\rho)=(\operatorname{tr\mathcal {E}})(\rho) / \operatorname{tr} \rho) \rho$.

Take $\rho=P \sigma P=: P(\sigma)$ where $\sigma \in \mathcal{B}(\mathcal{A})$ is arbitrary.
Then, $\mathcal{R} \circ \mathcal{E} \circ P(\sigma)=[\operatorname{tr\mathcal {E}} \circ P(\sigma) / \operatorname{tr} P(\sigma)] P(\sigma)$.
But $\mathcal{R}$ has a Kraus representation, say, $R(M)=\sum_{l} B_{l} M B_{l}^{\dagger}$.
So, ( ${ }^{*}$ ) implies $\sum_{l k} B_{l} E_{k} P \sigma P E_{k}^{\dagger} B_{l}^{\dagger} \propto P \sigma P$.
That its holds $\forall \sigma \in \mathcal{B}(\mathcal{A})$ gives two different Kraus representations for the same quantum operation. Using Theorem 8.2, p372 in NC, $\forall_{l, k} B_{l} E_{k} P=\gamma_{l k} P$ (for $\gamma_{l k}$ scalars), and $P E_{k^{\prime}}^{\dagger} B_{l}^{\dagger} B_{l} E_{k} P=\gamma_{l k^{\prime}}^{*} \gamma_{l k} P$. Summing over I (and use $\mathcal{R}$ is TP),
$P E_{k^{\prime}}^{\dagger} E_{k} P=\left(\sum_{l} \gamma_{l k^{\prime}}^{*} \gamma_{l k}\right) P=c_{k^{\prime} k} P$ (for scalars $c_{k^{\prime} k}$ ).
Finally, $c_{k^{\prime} k}$ is the $k^{\prime} k$ entry of $\gamma^{\dagger} g$ so $c \geq 0$.

## Plan (before fault tolerance)

Nondegenerate vs degenerate quantum codes.
Stabilizer codes.
Bound on quantum codes.

