Are Almost All Graphs Cospectral?

Chris Godsil

November 9, 2007

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Outline

1 Cospectral Graphs

- Polynomials and Walks
- Constructing Cospectral Graphs
- Switching

2 1-Full Graphs

- A Cyclic Subspace
- Generating All Matrices
- Cospectral 1-Full Graphs

Polynomials and Walks

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Polynomials and Walks

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The Characteristic Polynomial

Definition

Let G be a graph with adjacency matrix A. The characteristic polynomial $\phi(G, t)$ of G is the characteristic polynomial of A:

$$\phi(G,t) := \det(tI - A).$$

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Polynomials and Walks





The characteristic polynomials of K_1 , K_2 and P_3 are respectively:

$$t, t^2 - 1, t^3 - 2t.$$

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Polynomials and Walks





Lemma

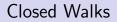
If A is the adjacency matrix of G, then $(A^r)_{i,j}$ is the number of walks in G from vertex i to vertex j with length r.

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Polynomials and Walks

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A walk in G is closed if its first and last vertices are equal. The number of closed walks in G with length r is

$$\sum_{i \in V(G)} (A^r)_{i,i} = \operatorname{tr}(A^r).$$

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Short Closed Walks

If G has n vertices, e edges and contains exactly t triangles, then

$$\begin{aligned} \operatorname{tr}(A^0) &= n\\ \operatorname{tr}(A^1) &= 0\\ \operatorname{tr}(A^2) &= 2e\\ \operatorname{tr}(A^3) &= 6t. \end{aligned}$$

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Short Closed Walks

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(And then it gets messy!)

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A Generating Function

The generating function for the closed walks in G, counted by length, is

$$\sum_{r\geq 0} \operatorname{tr}(A^r) t^r.$$

It is a rational function:

$$\sum_{r \ge 0} \operatorname{tr}(A^r) t^r = \frac{t^{-1} \phi'(G, t^{-1})}{\phi(G, t^{-1})}.$$

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A Characterisation

Corollary

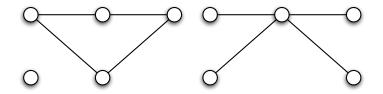
Two graphs G and H are cospectral if and only if their generating functions for closed walks are equal.

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The Smallest Cospectral Graphs



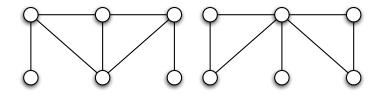
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The Smallest Connected Cospectral Graphs



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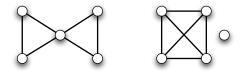
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Cospectral, Cospectral Complements?

The graphs $\overline{C_4 \cup K_1}$ and $\overline{K_{1,4}}$ have two and four triangles respectively—they are not cospectral.



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Another Generating Function

The number of walks of length r in G is equal to

$$\operatorname{tr}(A^r J) = \mathbf{1}^T A^r \mathbf{1}$$

and thus

$$\sum_{r\geq 0} \operatorname{tr}(A^r J) t^r$$

is the generating function for all walks in G.

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Complements and Walks

Theorem

Suppose G and H are cospectral graphs with respective adjacency matrices A and B. Then \overline{G} and \overline{H} are cospectral if and only if the generating functions for all walks in G and in H are equal.

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Regular Graphs

If G is a k-regular graph on n vertices then its walk generating function is

 $\frac{n}{1-kt}.$

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Regular Graphs

If G is a k-regular graph on n vertices then its walk generating function is n

$$\frac{n}{1-kt}$$
.

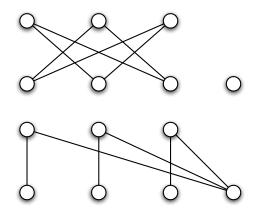
Lemma

Cospectral regular graphs have cospectral complements.

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Two Irregular Graphs



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Outline

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- Switching

2 1-Full Graphs

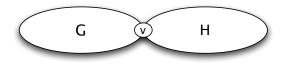
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- Cospectral 1-Full Graphs

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0-Sums

The 0-sum of two graphs G and H is got by identifying a vertex in G with a vertex in H:



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Spectrum of a 0-Sum

If we create the 0-sum F by merging v in G with v in H, then

 $\phi(F) = \phi(G)\phi(H \setminus v) + \phi(G \setminus v)\phi(H) - t\phi(G \setminus v)\phi(H \setminus v).$

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Example

If $G = K_2$ and $K = K_2$ then their 0-sum F is P_3 , whence

$$\phi(P_3, t) = (t^2 - 1)t + t(t^2 - 1) - t(t^2) = t^3 - 2t.$$

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If we hold G and its vertex v fixed, then the characteristic polynomial of the 0-sum of G and H is determined by the characteristic polynomials of H and $H \setminus v$.

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Constructing Cospectral Graphs

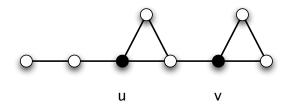
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Deleting Vertices

If H is the graph



then $H \setminus u$ and $H \setminus v$ are isomorphic...

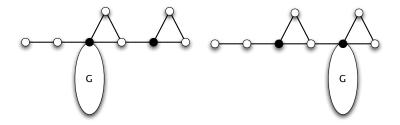
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A Cospectral Pair

... and thus we obtain a pair of cospectral graphs:

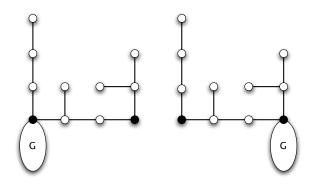


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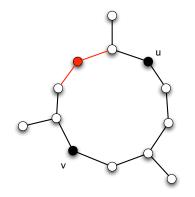
Another Pair



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A Hint



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Theorem (Schwenk... Godsil & McKay)

Almost all trees are cospectral...

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Theorem (Schwenk... Godsil & McKay)

Almost all trees are cospectral... with cospectral complements.

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Outline

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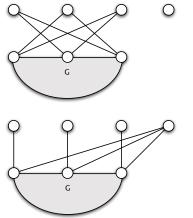
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Yet Another Construction



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$$K := \frac{1}{2} \begin{pmatrix} -1 & 1 & 1 & 1 \\ 1 & -1 & 1 & 1 \\ 1 & 1 & -1 & 1 \\ 1 & 1 & 1 & -1 \end{pmatrix}, \qquad M = \begin{pmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}$$

then $K\mathbf{1} = \mathbf{1}$ and $K^2 = I$, whence K is orthogonal, and

$$KM = \begin{pmatrix} 0 & 0 & 0\\ 1 & 0 & 1\\ 1 & 1 & 0\\ 0 & 1 & 1 \end{pmatrix} = J_4 - M.$$

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$$\begin{pmatrix} K & 0 & 0 \\ 0 & I & 0 \\ 0 & 0 & I \end{pmatrix} \begin{pmatrix} 0 & M & 0 \\ M^T & A_1 & B_1 \\ 0 & B_1^T & A_2 \end{pmatrix} \begin{pmatrix} K & 0 & 0 \\ 0 & I & 0 \\ 0 & 0 & I \end{pmatrix}$$
$$= \begin{pmatrix} 0 & J - M & 0 \\ (J - M)^T & A_1 & B_1 \\ 0 & B_1^T & A_2 \end{pmatrix}$$

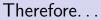
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Switching



Theorem

Switching related graphs are cospectral, with cospectral complements.

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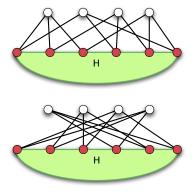
Is it true that almost all graphs are determined by their spectrum?

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A Related Example



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A Cyclic Subspace



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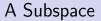
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A Cyclic Subspace





Let G be a graph on n vertices with adjacency matrix A. Define U to be the subspace of \mathbb{R}^n spanned by the vectors $A^r \mathbf{1}$, for all non-negative integers r.

A Cyclic Subspace

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Automorphisms

Theorem

If the permutation matrix P is in Aut(G), then Pu = u for all u in U.

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Automorphisms

Theorem

If the permutation matrix P is in Aut(G), then Pu = u for all u in U.

Proof.

If P is a permutation matrix, $P\mathbf{1}=\mathbf{1}.$ If $P\in {\rm Aut}(G),$ then PA=AP and so, for all r

$$PA^r\mathbf{1} = A^rP\mathbf{1} = A^r\mathbf{1}.$$

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A Cyclic Subspace



1-Rank

Definition

The **1**-rank of G is the dimension of U.

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A Cyclic Subspace





Definition

The **1**-rank of G is the dimension of U.

Lemma

The 1-rank of G is less than or equal to the number of orbits of Aut(G) on the vertices of G.

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1-Full Graphs

Definition

A graph G on n vertices is 1-full if its 1-rank is n.

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A Cyclic Subspace



1-Full Graphs

Definition

A graph G on n vertices is 1-full if its 1-rank is n.

Corollary

A 1-full graph is asymmetric.

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A Cyclic Subspace



Also...

Theorem (Godsil & McKay)

A 1-full graph is vertex reconstructible.

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A Cyclic Subspace





Is it true that almost all graphs are 1-full?

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Generating All Matrices

1-Full Graphs

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Generating All Matrices

1-Full Graphs

A Basis of Matrices

Theorem

Let G be a graph on n vertices. If G is 1-full, the matrices

 $A^i J A^j, \qquad 0 \le i, j < n$

form a basis for $Mat_{n \times n}(\mathbb{R})$.

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Generating All Matrices

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The Proof

Proof.

For i = 0, ..., n - 1, set $u_i = A^i \mathbf{1}$. Then $A^i J A^j = u_i u_j^T$. The vectors $u_0, ..., u_{n-1}$ are linearly independent, and so any non-zero linear combination of the matrices can be written as

$$u_0 v_0^T + \dots + u_{n-1} v_{n-1}^T$$

where none of the vectors v_0, \ldots, v_{n-1} are zero. Since the u_i 's are linearly independent, this sum cannot be zero.

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1-Full Graphs

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1-Full Graphs

Walk Equivalent

Definition

Two graphs G and H are walk equivalent if their generating functions for walks are equal.

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Walk Equivalent

Definition

Two graphs G and H are walk equivalent if their generating functions for walks are equal.

(Thus any two k-regular graphs on the same number of vertices are walk equivalent.)

Cospectral 1-Full Graphs

1-Full Graphs

Walk-Equivalent 1-Full Graphs

Theorem

If G and H are walk equivalent graphs and G is 1-full, then G and H are cospectral with cospectral complements.

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An Endomorphism

Assume A and B are the adjacency matrices of G and Hrespectively. Since the matrices $A^i J A^j$ (where $0 \le i, j < n$) form a basis for $\mathcal{M} = \operatorname{Mat}_{n \times n}(\mathbb{R})$, there is a unique linear map $\Phi : \mathcal{M} \to \mathcal{M}$ such that

 $\Phi(A^i J A^j) = B^i J B^j.$

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Products

Let w_r denote the number of walks of length r in G. Then

$$A^i J A^j A^k J A^\ell = w_{j+k} A^i J A^\ell$$

and consequently

$$\Phi(A^i J A^j A^k J A^\ell) = w_{j+k} \Phi(A^i J A^\ell)$$
$$= w_{j+k} B^i J B^\ell$$
$$= B^i J B^j B^k J B^\ell$$

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Isomorphisms

It follows that Φ is a homomorphism (and not just a linear map). Since $\mathcal M$ is a simple algebra, Φ is an isomorphism. By the Noether-Skolem theorem it follows that there is an invertible matrix L such that

$$\Phi(M) = L^{-1}ML$$

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for all matrices M.

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Conclusion

So we have

$$L^{-1}AL = B,$$

whence G and H are cospectral.

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Conclusion

So we have

$$L^{-1}AL = B,$$

whence G and H are cospectral.

Since $\Phi(J) = J$, we have $L^{-1}JL = J$, whence \overline{G} and \overline{H} are cospectral.

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