

Polar Convexity in finite dimensional Euclidean spaces

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Outline

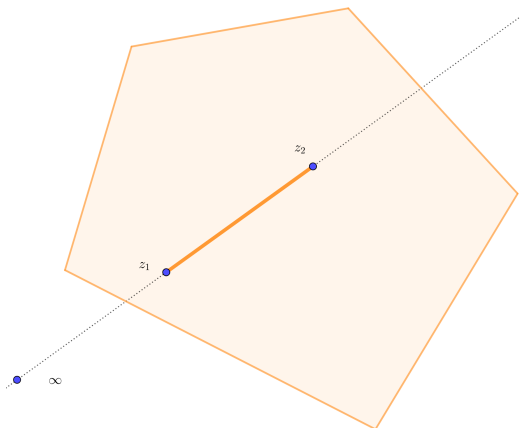
- 1 The idea
- 2 Polar Convexity in \mathbb{R}^n
- 3 Motivation
- 4 Duality theorem and its consequences
- 5 Theorems of the alternative
- 6 Polar convexity with multiple poles

The idea

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- Lines between two points are circles passing through ∞

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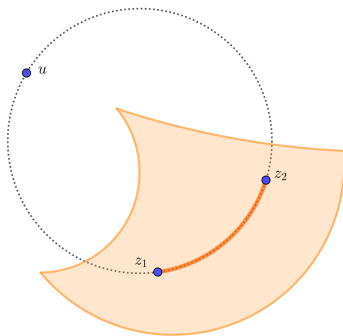
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Polar convexity in \mathbb{R}^n

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$$T_{\mathbf{u}}(\mathbf{z}) := \begin{cases} \mathbf{u} + (\mathbf{z} - \mathbf{u})^* & \text{if } \mathbf{z} \neq \mathbf{u} \\ \infty & \text{if } \mathbf{z} = \mathbf{u} \end{cases}$$

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- Any sphere passing through \mathbf{u} is sent to a hyperplane

Definition

For $\mathbf{z}_1, \mathbf{z}_2, \mathbf{u} \in \mathbb{R}^n$ distinct, define

$$\begin{aligned} \text{arc}_{\mathbf{u}}[\mathbf{z}_1, \mathbf{z}_2] &:= \left\{ \mathbf{u} + (t(\mathbf{z}_1 - \mathbf{u})^* + (1-t)(\mathbf{z}_2 - \mathbf{u})^*)^* : t \in [0, 1] \right\} \\ &= \left\{ T_{\mathbf{u}}(tT_{\mathbf{u}}(\mathbf{z}_1) + (1-t)T_{\mathbf{u}}(\mathbf{z}_2)) : t \in [0, 1] \right\} \end{aligned} \quad (1)$$

If $\mathbf{z}_1 = \mathbf{u}$ or $\mathbf{z}_2 = \mathbf{u}$, define $\text{arc}_{\mathbf{u}}[\mathbf{z}_1, \mathbf{z}_2] := \{\mathbf{z}_1, \mathbf{z}_2\}$

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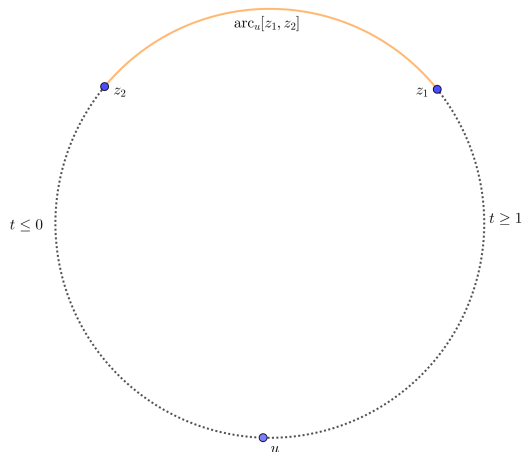
If $\mathbf{z}_1, \mathbf{z}_2, \mathbf{u} \in \mathbb{C}$ (identified with \mathbb{R}^2), then (1) simplifies to

$$\text{arc}_u[\mathbf{z}_1, \mathbf{z}_2] = \left\{ u + \frac{1}{\frac{t}{z_1 - u} + \frac{1-t}{z_2 - u}} : t \in [0, 1] \right\}$$

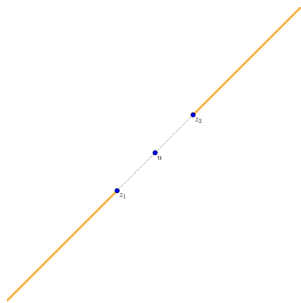
Varying the parameter t through $\mathbb{R} \cup \{\infty\}$

$$\mathbf{u} + (t(\mathbf{z}_1 - \mathbf{u})^* + (1 - t)(\mathbf{z}_2 - \mathbf{u})^*)^*$$

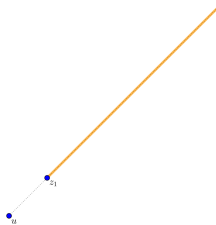
spans the following:



When \mathbf{u} is between \mathbf{z}_1 and \mathbf{z}_2



When $\mathbf{z}_2 = \infty$



Let $A \subset \hat{\mathbb{R}}^n$ and $\mathbf{u} \in \hat{\mathbb{R}}^n$

Definition

A is said to be \mathbf{u} -convex if for any $\mathbf{z}_1, \mathbf{z}_2 \in A$, $\text{arc}_{\mathbf{u}}[\mathbf{z}_1, \mathbf{z}_2] \subseteq A$

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$$\text{conv}_{\mathbf{u}}\{\mathbf{z}_1, \dots, \mathbf{z}_k\} := \left\{ \mathbf{u} + \left(\sum_{i=1}^k t_i (\mathbf{z}_i - \mathbf{u})^* \right)^* : t_i \geq 0 \text{ with } \sum_{i=1}^k t_i = 1 \right\}$$

If $\mathbf{u} \in \{\mathbf{z}_1, \dots, \mathbf{z}_k\}$ define $\text{conv}_{\mathbf{u}}\{\mathbf{z}_1, \dots, \mathbf{z}_k\} := \text{conv}_{\mathbf{u}}\{\mathbf{z}_i : \mathbf{z}_i \neq \mathbf{u}, i = 1, \dots, k\} \cup \{\mathbf{u}\}$

Lemma

Given $\mathbf{u}, \mathbf{z}_1, \mathbf{z}_2 \in \mathbb{R}^n$ and $t \in [0, 1]$

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as $\|\mathbf{u}\| \rightarrow \infty$

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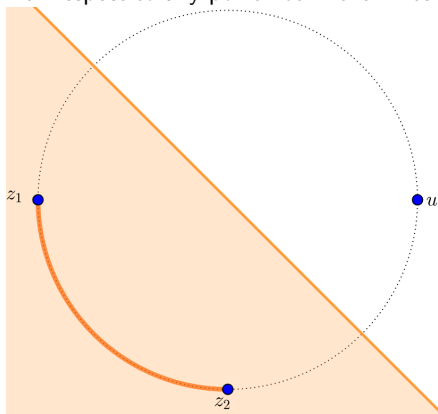
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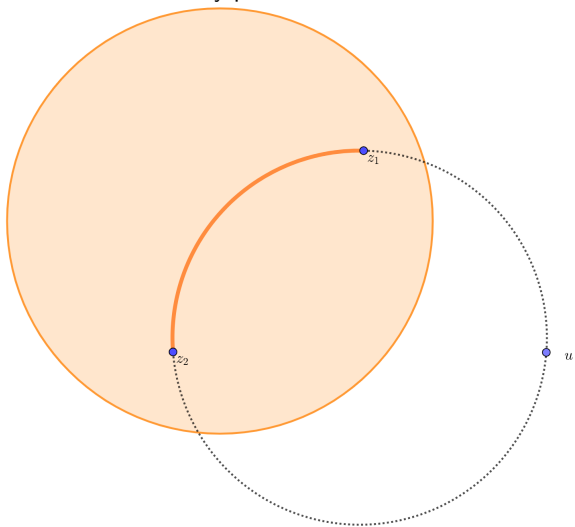
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Let's see some examples

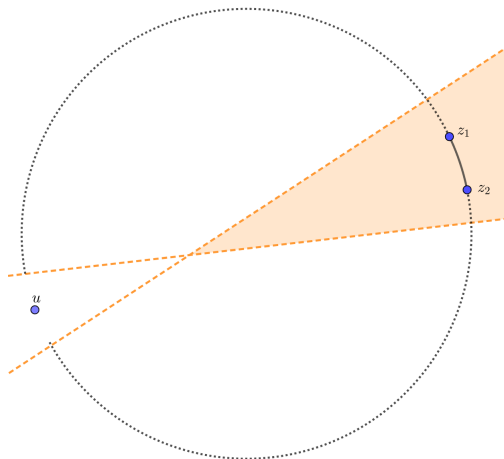
Half planes are convex with respect to any point not in their interior



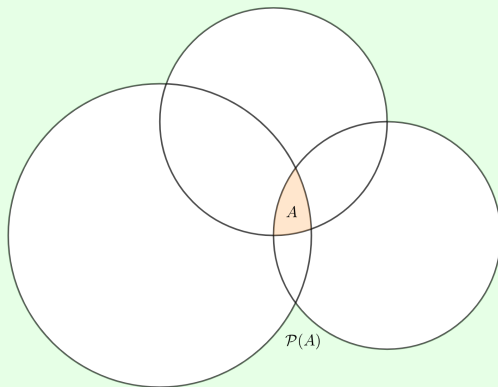
Circular domains are convex w.r.t. any point not in their interior



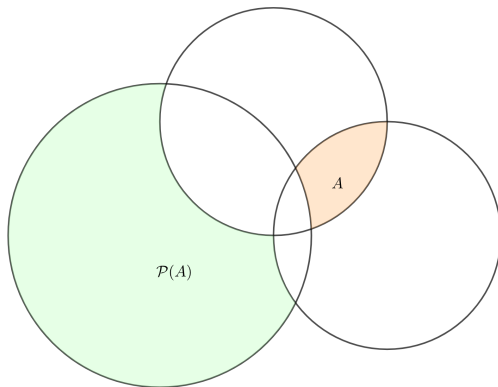
A cone is convex w.r.t. any point in its negative cone



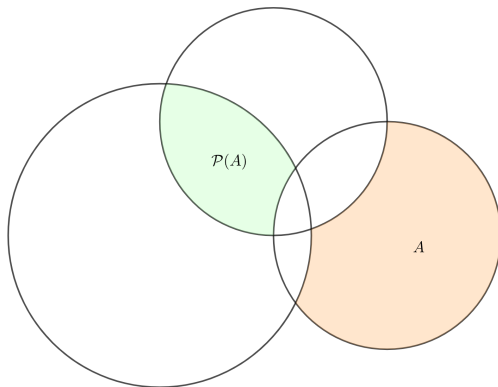
The intersection of three circular domains and its pole set



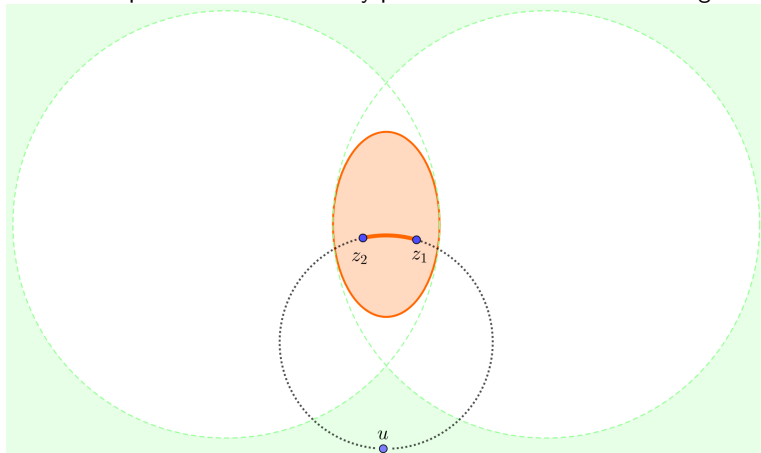
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The inside of an ellipse is convex w.r.t. any point outside the two osculating circles



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Proposition

Then $\text{conv}_{\mathbf{u}}(A)$ is the intersection of all spherical domains that contain A and have \mathbf{u} on their boundary, with \mathbf{u} omitted

Motivation

Take a polynomial $p(z) = (z - z_1)^{r_1} \cdots (z - z_k)^{r_k}$, where $\sum_{j=1}^k r_j = n$
with distinct zeros z_1, \dots, z_k having respective multiplicities r_1, \dots, r_k

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For all $i, j \in \{1, \dots, k\}$, define the points

$$g_{i,j} := \begin{cases} (r_i z_j + (n - r_i) z_i) / n & \text{if } i \neq j \\ \infty & \text{if } i = j \end{cases}$$

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Lemma (Specht [1959])

Every non-trivial critical points of $p(z)$ lies in

$$\text{conv}\{g_{i,j} : 1 \leq i, j \leq k, i \neq j\}$$

Theorem (Sendov [2021])

Every non-trivial critical points of $p(z)$ lies in

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Define the polar derivative of $p(z)$ w.r.t. a pole u as

$$\mathcal{D}_u(p; z) := \begin{cases} np(z) - (z - u)p'(z) & \text{if } u \in \mathbb{C} \\ p'(z) & \text{if } u = \infty \end{cases}$$

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Theorem (Sendov, Sendov, Wang [2018])

Let $p(z)$ be a polynomial of degree n with zeroes $z_1, \dots, z_n \in \mathbb{C}$

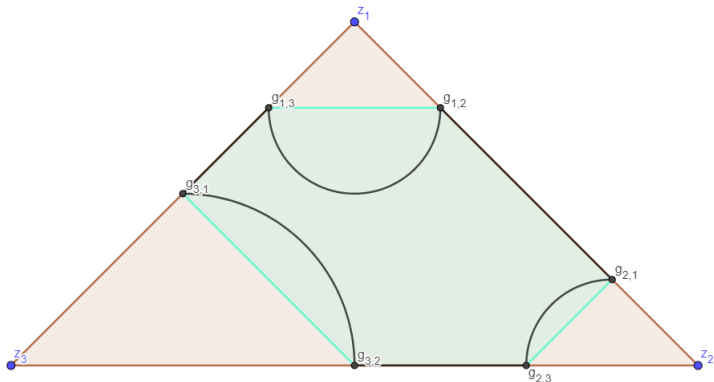
For any $u \in \mathbb{C}$ if $\mathcal{D}_u(p; z) \not\equiv 0$, then all its zeros are in $\text{conv}_u\{z_1, \dots, z_n\}$

For example, take the polynomial

$$(z - i)(z - 1)(z + 1)^2$$

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Duality theorem and its consequences

Theorem

Let $\mathbf{u}, \mathbf{v}, \mathbf{z}_1, \dots, \mathbf{z}_k$ be distinct points in $\hat{\mathbb{R}}^n$ then

$$\mathbf{v} \in \text{conv}_{\mathbf{u}}\{\mathbf{z}_1, \dots, \mathbf{z}_k\} \text{ if and only if } \mathbf{u} \in \text{conv}_{\mathbf{v}}\{\mathbf{z}_1, \dots, \mathbf{z}_k\}$$

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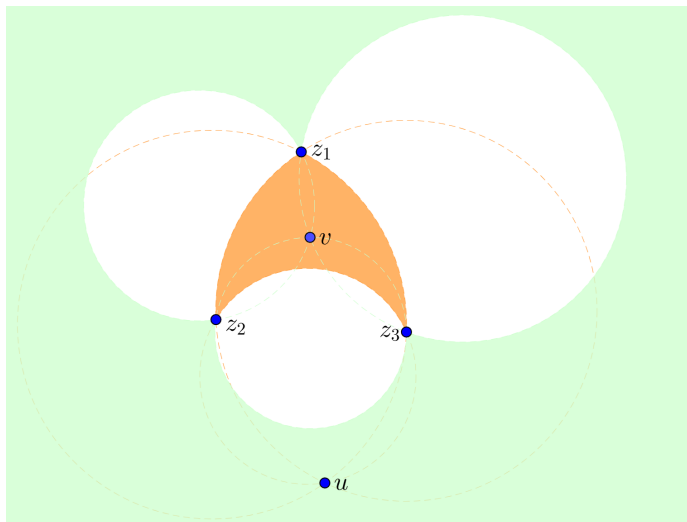
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In fact, if

$$\mathbf{v} = \mathbf{u} + \left(\sum_{i=1}^k t_i (\mathbf{z}_i - \mathbf{u})^* \right)^* \quad \text{for some } t_i \geq 0, 1 \leq i \leq k \text{ and } \sum_{i=1}^k t_i = 1$$

then

$$\mathbf{u} = \mathbf{v} + \left(\sum_{i=1}^k \mu_i (\mathbf{z}_i - \mathbf{v})^* \right)^* \quad \text{for } \mu_i = \frac{t_i \|\mathbf{z}_i - \mathbf{v}\|^2 \|\mathbf{z}_i - \mathbf{u}\|^{-2}}{\sum_{j=1}^k t_j \|\mathbf{z}_j - \mathbf{v}\|^2 \|\mathbf{z}_j - \mathbf{u}\|^{-2}}$$



Let $\mathbf{u}, \mathbf{z}_1, \dots, \mathbf{z}_k \in \hat{\mathbb{R}}^n$ be distinct points

Definition

A point $\mathbf{v} \in \text{conv}_{\mathbf{u}}\{\mathbf{z}_1, \dots, \mathbf{z}_k\}$ is *\mathbf{u} -extreme point* if it cannot be written as a non-trivial \mathbf{u} -convex combination of any two distinct points in $\text{conv}_{\mathbf{u}}\{\mathbf{z}_1, \dots, \mathbf{z}_k\}$

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The duality theorem gives a nice criteria to decide whether a point is \mathbf{u} -extreme or not

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A point $\mathbf{v} \in \text{conv}_{\mathbf{u}}\{\mathbf{z}_1, \dots, \mathbf{z}_k\}$ is ***u-extreme point*** if it cannot be written as a non-trivial ***u-convex*** combination of any two distinct points in $\text{conv}_{\mathbf{u}}\{\mathbf{z}_1, \dots, \mathbf{z}_k\}$

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Corollary

$\mathbf{z}_i \in \text{conv}_{\mathbf{u}}\{\mathbf{z}_1, \dots, \mathbf{z}_k\}$ is ***u-extreme*** $\iff \mathbf{u} \notin \text{conv}_{\mathbf{z}_i}\{\mathbf{z}_1, \dots, \mathbf{z}_{i-1}, \mathbf{z}_{i+1}, \dots, \mathbf{z}_k\}$

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For $\mathbf{u} \notin A \subseteq \hat{\mathbb{R}}^n$, $\mathbf{v} \in \text{conv}_{\mathbf{u}}(A)$ is ***u-extreme*** $\iff \mathbf{u} \notin \text{conv}_{\mathbf{v}}(A)$

Theorems of the alternative

Definition

A spherical domain $S \subseteq \hat{\mathbb{R}}^n$ is said to *separate* two sets $A, B \subseteq \hat{\mathbb{R}}^n$ if

$$A \subseteq S \text{ and } B \subseteq \text{cl}(S^c) \text{ or vice-versa}$$

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We say that S *strongly separates* A and B , if in addition

$$A \cap \partial S = \emptyset = B \cap \partial S$$

Lemma (Spherical Separation)

Let $\mathbf{u} \in \hat{\mathbb{R}}^n$ and A, B be non-intersecting \mathbf{u} -convex sets in $\hat{\mathbb{R}}^n$

Then there exists a spherical domain S , with \mathbf{u} on its boundary, separating A and B

Moreover, if $\mathbf{u} \notin A \cup B$ and one of the following holds

- ① A is closed in $\hat{\mathbb{R}}^n$ and B is closed in $\hat{\mathbb{R}}^n \setminus \{\mathbf{u}\}$
- ② A and B are both open

then S can be chosen to strongly separate A and B

Lemma (Gordan's Lemma)

Let $\mathbf{u}, \mathbf{z}_1, \dots, \mathbf{z}_k \in \hat{\mathbb{R}}^n$ be distinct, such that $\mathbf{u} \neq \mathbf{0}, \infty$

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$$\alpha \langle \mathbf{z}_i, \mathbf{z}_i \rangle + \langle \mathbf{z}_i, \mathbf{a} \rangle + \beta > 0, \text{ for all } i = 1, \dots, k$$

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Let $\mathbf{z}_1, \dots, \mathbf{z}_k \in \hat{\mathbb{R}}^n$ be distinct and let $\mathbf{u} := -\sum_{i=1}^k t_i \mathbf{z}_i$ for some $t_1, \dots, t_k \in [0, \infty)$

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Definition

Let $\mathbf{z}_1, \dots, \mathbf{z}_k, \mathbf{u} \in \hat{\mathbb{R}}^n$ be distinct, $\mathbf{u} \neq \infty$ and define

$$\text{cone}_{\mathbf{u}}\{\mathbf{z}_1, \dots, \mathbf{z}_k\} := \left\{ \mathbf{u} + \left(\sum_{i=1}^k t_i (\mathbf{u} + (\mathbf{z}_i - \mathbf{u})^*) - \mathbf{u} \right)^* : t_j \in [0, \infty) \right\} \cup \{\mathbf{u}\}$$

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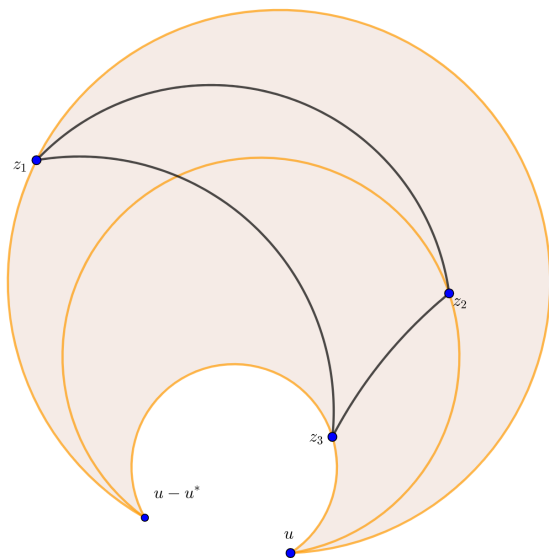
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This is the image under $T_{\mathbf{u}}$ of $\text{cone}\{T_{\mathbf{u}}(\mathbf{z}_1), \dots, T_{\mathbf{u}}(\mathbf{z}_k)\} \cup \{\infty\}$

It is the union of all circular arcs through $\mathbf{u} - \mathbf{u}^*$, \mathbf{u} , and some $\mathbf{z} \in \text{conv}_{\mathbf{u}}\{\mathbf{z}_1, \dots, \mathbf{z}_k\}$



Lemma (Farkas' Lemma)

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Polar convexity with multiple poles

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It is hard to determine $\text{conv}_U(Z)$ in general

However, things are easier when U and Z are finite

For any $Z \subset \hat{\mathbb{R}}^n$

Lemma

Given distinct points $\mathbf{u}_1, \mathbf{u}_2 \in \hat{\mathbb{R}}^n$, we have

$$\text{conv}_{\{\mathbf{u}_1, \mathbf{u}_2\}}(Z) = \text{conv}_{\mathbf{u}_1}(\text{conv}_{\mathbf{u}_2}(Z)) = \text{conv}_{\mathbf{u}_2}(\text{conv}_{\mathbf{u}_1}(Z))$$

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Given distinct points $\mathbf{u}_1, \dots, \mathbf{u}_m \in \hat{\mathbb{R}}^n$, we have

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$$\mathcal{L}_i := \{S \subset \hat{\mathbb{R}}^n : S \text{ closed spherical domain, } Z \subset S, \mathbf{u}_i \in \partial S \text{ and}$$

$$U \subset \text{cl}(S^c) \text{ and } S \text{ is determined by } Z \cup U\}$$

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If $\text{conv}_U(Z)$ has non-empty interior, these are finite

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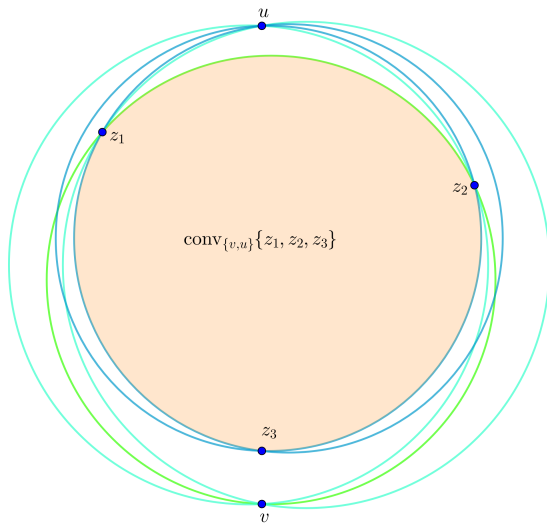
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- $\text{conv}_U(Z) = \bigcap_{i=1}^m \bigcap_{S \in \mathcal{L}_i} S$

In other words, given a point $\mathbf{z} \notin \text{conv}_U(Z)$, there exists a spherical domain $S \in \mathcal{L}_i$ such that $\mathbf{z} \notin S$, for some $i = 1, \dots, m$



Recall

$$\text{conv}_{\mathbf{u}}(\text{conv}_{\infty}(\mathbf{Z})) = \text{conv}_{\infty}(\text{conv}_{\mathbf{u}}(\mathbf{Z}))$$

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Given distinct points $\mathbf{u}, \mathbf{z}_1, \dots, \mathbf{z}_k \in \mathbb{R}^n$, and $t, \alpha_j, \beta_j \in [0, 1]$, for $1 \leq j \leq k$, such that

$$\sum_{j=1}^k \alpha_j = \sum_{j=1}^k \beta_j = 1$$

there exist $\gamma_i, \delta_{i,j} \in [0, 1]$, for $1 \leq i \leq n+1$ and $1 \leq j \leq k$, such that

$$\sum_{i=1}^{n+1} \gamma_i = \sum_{j=1}^k \delta_{i,j} = 1 \text{ for all } 1 \leq i \leq n+1$$

and satisfying

$$\left(t \left(\sum_{i=1}^k \alpha_i (\mathbf{z}_i - \mathbf{u}) \right)^* + (1-t) \left(\sum_{i=1}^k \beta_i (\mathbf{z}_i - \mathbf{u}) \right)^* \right)^* = \sum_{i=1}^{n+1} \gamma_i \left(\sum_{j=1}^k \delta_{i,j} (\mathbf{z}_j - \mathbf{u})^* \right)^*$$

Restricting it to \mathbb{C} , we get

Proposition

Given distinct points $\mathbf{u}, \mathbf{z}_1, \dots, \mathbf{z}_k \in \mathbb{C}$, and $t, \alpha_j, \beta_j \in [0, 1]$, for $1 \leq j \leq k$, such that

$$\sum_{j=1}^k \alpha_j = \sum_{j=1}^k \beta_j = 1$$

there exist $\gamma_i, \delta_{i,j} \in [0, 1]$, for $1 \leq i \leq 3$ and $1 \leq j \leq k$, such that

$$\sum_{i=1}^3 \gamma_i = \sum_{j=1}^k \delta_{i,j} = 1 \text{ for all } 1 \leq i \leq 3$$

and satisfying

$$\frac{t}{\sum_{i=1}^k \alpha_i (\mathbf{z}_i - \mathbf{u})} + \frac{1-t}{\sum_{i=1}^k \beta_i (\mathbf{z}_i - \mathbf{u})} = \frac{\gamma_1}{\sum_{j=1}^k \frac{\delta_{1,j}}{\mathbf{z}_j - \mathbf{u}}} + \frac{\gamma_2}{\sum_{j=1}^k \frac{\delta_{2,j}}{\mathbf{z}_j - \mathbf{u}}} + \frac{\gamma_3}{\sum_{j=1}^k \frac{\delta_{3,j}}{\mathbf{z}_j - \mathbf{u}}}$$

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What are the sets A, B such that $\mathcal{P}(A) \supseteq B$ and $\mathcal{P}(B) \supseteq A$?

Moreover, what are the pairs of sets A, B such that $\mathcal{P}(A) = B$ and $\mathcal{P}(B) = A$?

Thank You!

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