

## Chapter 2. Subgroups, Cyclic Groups and Generators

**2.1 Definition:** A **subgroup** of a group  $G$  is a subset  $H \subseteq G$  which is also a group using the same operation as in  $G$ . When  $H$  is a subgroup of  $G$ , we write  $H \leq G$ .

**2.2 Example:** In any group  $G$  we have the subgroups  $\{e\} \leq G$  and  $G \leq G$ . The group  $\{e\}$  is called the **trivial** group. A subgroup  $H \leq G$  with  $H \neq G$  is called a **proper** subgroup of  $G$ .

**2.3 Example:** We have  $\mathbb{Z} \leq \mathbb{Q} \leq \mathbb{R} \leq \mathbb{C} \leq \mathbb{H}$ . and we have  $\mathbb{Z}^* \leq \mathbb{Q}^* \leq \mathbb{R}^* \leq \mathbb{C}^* \leq \mathbb{H}^*$ .

**2.4 Example:** Note that  $\mathbb{Z}_n = \{0, 1, \dots, n-1\}$  is not a subgroup of  $\mathbb{Z}$ , indeed it is not even a subset. Also,  $U_n$  is not a subgroup of  $\mathbb{Z}_n$  since it uses a different operation.

**2.5 Theorem:** (*The Subgroup Test I*) Let  $G$  be a group and let  $H \subseteq G$ . Then  $H \leq G$  if and only if

- (1)  $H$  contains the identity, that is  $e \in H$ ,
- (2)  $H$  is closed under the operation, that is  $ab \in H$  for all  $a, b \in H$ , and
- (3)  $H$  is closed under inversion, that is  $a^{-1} \in H$  for all  $a \in H$ .

Proof: Note first that the operation on the group  $G$  restricts to a well defined operation on  $H$  if and only if  $H$  is closed under the operation. In this case, the operation will be associative on  $H$  since it is associative on  $G$ . Next note that if  $e = e_G \in H$  then  $e$  is an identity element for  $H$ , and conversely if  $e_H$  is an identity for  $H$  then since  $e_H e_H = e_H$  (both in  $H$  and in  $G$ ), cancellation in the group  $G$  gives  $e_H = e_G$ . Thus  $H$  has an identity if and only if  $e = e_G \in H$ . A similar argument shows that a given element  $a \in H$  has an inverse in  $H$  if and only if  $a^{-1} \in H$  where  $a^{-1}$  denotes the inverse of  $a$  in  $G$ .

**2.6 Theorem:** (*The Subgroup Test II*) Let  $G$  be a group and let  $H \subseteq G$ . Then  $H \leq G$  if and only if

- (1)  $H \neq \emptyset$ , and
- (2) for all  $a, b \in H$  we have  $ab^{-1} \in H$ .

Proof: From the Subgroup Test I, it is clear that if  $H \leq G$  then (1) and (2) hold. Suppose, conversely, that (1) and (2) hold. By (1) we can choose an element  $a \in H$ , and then by (2) we have  $e = aa^{-1} \in H$ , so  $H$  contains the identity. For  $a \in H$ , we have  $a^{-1} = ea^{-1} \in H$  by (2), so  $H$  is closed under inversion. For  $a, b \in H$ , we have  $ab = a(b^{-1})^{-1} \in H$ , so  $H$  is closed under the operation.

**2.7 Theorem:** (*The Finite Subgroup Test*) Let  $G$  be a group and let  $H$  be a finite subset of  $G$ . Then  $H \leq G$  if and only if

- (1)  $H \neq \emptyset$ , and
- (2)  $H$  is closed under the operation, that is  $ab \in H$  for all  $a, b \in H$ .

Proof: The proof is left as an exercise.

**2.8 Example:** The set  $\{(x, y) \in \mathbb{R}^2 \mid xy \geq 0\}$  is not a subgroup of  $\mathbb{R}^2$  since it is not closed under addition.

**2.9 Example:** For  $n \in \mathbb{Z}^+$  we have  $\mathbb{C}_n \leq \mathbb{C}_\infty \leq \mathbb{S}^1 \leq \mathbb{C}^*$  where

$$\begin{aligned}\mathbb{C}_n &= \{z \in \mathbb{C}^* \mid z^n = 1\} \\ \mathbb{C}_\infty &= \{z \in \mathbb{C}^* \mid z^n = 1 \text{ for some } n \in \mathbb{Z}^+\} \\ \mathbb{S}^1 &= \{z \in \mathbb{C}^* \mid \|z\| = 1\}\end{aligned}$$

**2.10 Example:** When  $R$  is a commutative ring with 1, in the general linear group  $GL_n(R)$  we have the following subgroups, called the **special linear group**, the **orthogonal group** and the **special orthogonal group**.

$$\begin{aligned}SL_n(R) &= \{A \in M_n(R) \mid \det(A) = 1\} \\ O_n(R) &= \{A \in M_n(R) \mid A^T A = I\} \\ SO_n(R) &= \{A \in M_n(R) \mid A^T A = I, \det(A) = 1\}\end{aligned}$$

**2.11 Example:** For  $\theta \in \mathbb{R}$ , the **rotation** in  $\mathbb{R}^2$  about  $(0, 0)$  by the angle  $\theta$  is given by the matrix

$$R_\theta = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$$

and the **reflection** in  $\mathbb{R}^2$  in the line through  $(0, 0)$  and the point  $(\cos \frac{\theta}{2}, \sin \frac{\theta}{2})$  is given by the matrix

$$F_\theta = \begin{pmatrix} \cos \theta & \sin \theta \\ \sin \theta & -\cos \theta \end{pmatrix}.$$

We have

$$\begin{aligned}O_2(\mathbb{R}) &= \{R_\theta, F_\theta \mid \theta \in \mathbb{R}\} \\ SO_2(\mathbb{R}) &= \{R_\theta \mid \theta \in \mathbb{R}\}\end{aligned}$$

In  $O_2(\mathbb{R})$ , for  $\alpha, \beta \in \mathbb{R}$  we have

$$F_\beta F_\alpha = R_{\beta-\alpha}, \quad F_\beta R_\alpha = F_{\beta-\alpha}, \quad R_\beta F_\alpha = F_{\alpha+\beta}, \quad R_\beta R_\alpha = R_{\alpha+\beta}.$$

**2.12 Example:** For  $n \in \mathbb{Z}^+$ , the **dihedral group**  $D_n$  is the group

$$D_n = \{R_k, F_k \mid k \in \mathbb{Z}_n\} = \{R_0, R_1, \dots, R_{n-1}, F_0, F_1, \dots, F_{n-1}\}$$

where for  $k \in \mathbb{Z}_n$  we write  $R_k = R_{\theta_k}$  and  $F_k = F_{\theta_k}$  with  $\theta_k = \frac{2\pi k}{n}$ . We have

$$D_n \leq O_2(\mathbb{R}) \leq GL_2(\mathbb{R}) \leq \text{Perm}(\mathbb{R}^2)$$

and for  $k, l \in \mathbb{Z}_n$ , the operation in  $D_n$  is given by

$$F_l F_k = R_{l-k}, \quad F_l R_k = F_{l-k}, \quad R_l F_k = F_{k+l}, \quad R_l R_k = R_{k+l}.$$

**2.13 Definition:** Let  $G$  be a group and let  $a \in G$ . The **centre** of  $G$  is the set

$$Z(G) = \{a \in G \mid ax = xa \text{ for all } x \in G\}$$

and the **centralizer** of  $a$  in  $G$  is the set

$$C(a) = C_G(a) = \{x \in G \mid ax = xa\}.$$

As an exercise, show that  $Z(G)$  and  $C_a(G)$  are both subgroups of  $G$ .

**2.14 Example:** Find the centre of  $D_4$  and find the centralizers of  $R_k$  and  $F_k$  in  $D_4$ .

**2.15 Example:** If  $H$  and  $K$  are subgroups of  $G$  then so is  $H \cap K$ . More generally, if  $A$  is a set and  $H_\alpha \leq G$  for each  $\alpha \in A$ , then  $\bigcap_{\alpha \in A} H_\alpha \leq G$  by the Subgroup Test II. Indeed we have  $e_G \in H_\alpha$  for all  $\alpha \in A$  so that  $e_G \in \bigcap_{\alpha \in A} H_\alpha$ , and if  $a, b \in \bigcap_{\alpha \in A} H_\alpha$  then for every  $\alpha \in A$  we have  $a, b \in H_\alpha$  hence  $ab^{-1} \in H_\alpha$ , and so  $ab^{-1} \in \bigcap_{\alpha \in A} H_\alpha$ .

**2.16 Definition:** Let  $G$  be a group and let  $S \subseteq G$ . The **subgroup of  $G$  generated by  $S$** , denoted by  $\langle S \rangle$ , is the smallest subgroup of  $G$  which contains  $S$ , that is the intersection of all subgroups of  $G$  which contain  $S$ . The elements of  $S$  are called **generators** of the group  $\langle S \rangle$ . When  $S$  is a finite set, we omit set brackets and write  $\langle a_1, a_2, \dots, a_n \rangle = \langle \{a_1, a_2, \dots, a_n\} \rangle$ . We say that  $G$  is **finitely generated** when  $G = \langle S \rangle$  for some finite set  $S \subseteq G$ . We say that  $G$  is **cyclic** when  $G = \langle a \rangle$  for some  $a \in G$ . When  $G$  is any group and  $a \in G$ , the group  $\langle a \rangle$  is called the **cyclic subgroup of  $G$  generated by  $a$** .

**2.17 Theorem:** (*Elements of a Cyclic Group*) Let  $G$  be a group and let  $a \in G$ . Then

- (1) we have  $\langle a \rangle = \{a^k | k \in \mathbb{Z}\}$ .
- (2) If  $|a| = \infty$  then the elements  $a^k, k \in \mathbb{Z}$  are all distinct so we have  $|\langle a \rangle| = \infty$ .
- (3) If  $|a| = n$  then for  $k, l \in \mathbb{Z}$  we have  $a^k = a^l \iff k = l \pmod n$  and so

$$\langle a \rangle = \{a^k | k \in \mathbb{Z}_n\} = \{e, a, a^2, \dots, a^{n-1}\}$$

with the listed elements in the above set all distinct so that  $|\langle a \rangle| = n$ . In particular, for  $k \in \mathbb{Z}$  we have  $a^k = e \iff n | k$ .

Proof: First we show that  $\langle a \rangle = \{a^k | k \in \mathbb{Z}\}$ . By definition,  $\langle a \rangle$  is the intersection of all subgroups  $H \leq G$  with  $a \in H$ . By closure under the operation and under inversion, if  $H \leq G$  with  $a \in H$  then  $a^k \in H$  for all  $k \in \mathbb{Z}$ , and so  $\{a^k | k \in \mathbb{Z}\} \subseteq \langle a \rangle$ . On the other hand, since  $e = a^0$  and  $a^k(a^l)^{-1} = a^{k-l}$ , we see that  $\{a^k | k \in \mathbb{Z}\} \leq G$  by the Subgroup Test. Since  $\{a^k | k \in \mathbb{Z}\} \leq G$  and  $a = a^1 \in \{a^k | k \in \mathbb{Z}\}$ , it follows that  $\langle a \rangle \subseteq \{a^k | k \in \mathbb{Z}\}$ .

Now suppose that  $|a| = \infty$  and suppose, for a contradiction, that  $a^k = a^l$  with  $k < l$ . Then  $a^{l-k} = a^l(a^k)^{-1} = a^l(a^l)^{-1} = e$  but this contradicts the fact that  $|a| = \infty$ .

Next suppose that  $|a| = n$ . Suppose that  $a^k = a^l$ . Then, as above,  $a^{l-k} = e$ . Write  $l - k = qn + r$  with  $0 \leq r < n$ . Then  $e = a^{l-k} = a^{qn+r} = (a^n)^q a^r = a^r$ . Since  $|a| = n$  we must have  $r = 0$ . Thus  $l - k = qn$ , that is  $k = l \pmod n$ . Conversely, suppose that  $k = l \pmod n$ , say  $k = l + qn$ . Then  $a^k = a^{l+qn} = a^l(a^n)^q = a^l$ .

**2.18 Notation:** When  $G$  is an abelian group under  $+$ , we have  $\langle a \rangle = \{ka | k \in \mathbb{Z}\}$ .

**2.19 Example:** The groups  $\mathbb{Z}$  and  $\mathbb{Z}_n$  are cyclic with  $\mathbb{Z} = \langle 1 \rangle$  and  $\mathbb{Z}_n = \langle 1 \rangle$ . The group  $\mathbb{C}_n = \{z \in \mathbb{C}^* | z^n = 1\}$  is cyclic with  $\mathbb{C}_n = \langle e^{i2\pi/n} \rangle$ .

**2.20 Example:** In the group  $\mathbb{Z}$  we have  $\langle 2 \rangle = \{\dots, -2, 0, 2, 4, \dots\}$ , but in the group  $\mathbb{R}^*$  we have  $\langle 2 \rangle = \{\dots, \frac{1}{4}, \frac{1}{2}, 1, 2, 4, 8, \dots\}$ .

**2.21 Example:** If  $G$  and  $H$  are groups then  $|G \times H| = |G||H|$ . For  $a \in G$  and  $b \in H$ ,

$$|(a, b)| = \text{lcm}(|a|, |b|).$$

Indeed if  $|a| = n$  and  $|b| = m$  then for  $k \in \mathbb{Z}$  we have

$$\begin{aligned} (a, b)^k = e_{G \times H} &\iff (a^k, b^k) = (e_G, e_H) \iff (a^k = e_G \text{ and } b^k = e_H) \\ &\iff n | k \text{ and } m | k \iff k \text{ is a common multiple of } n \text{ and } m. \end{aligned}$$

**2.22 Example:** The group  $U_{18} = \{1, 5, 7, 11, 13, 17\}$  is cyclic with  $U_{18} = \langle 5 \rangle$  because in  $U_{18}$  we have

$$\begin{array}{cccccc} k & 0 & 1 & 2 & 3 & 4 & 5 \\ 5^k & 1 & 5 & 7 & 17 & 13 & 11 \end{array}$$

**2.23 Theorem:** (*The Classification of Subgroups of a Cyclic Group*) Let  $G$  be group and let  $a \in G$ . Then

- (1) every subgroup of  $\langle a \rangle$  is cyclic.
- (2) If  $|a| = \infty$  then  $\langle a^k \rangle = \langle a^l \rangle \iff l = \pm k$  so the distinct subgroups of  $\langle a \rangle$  are the trivial group  $\langle a^0 \rangle = \{e\}$  and the groups  $\langle a^d \rangle = \{a^{kd} | k \in \mathbb{Z}\}$  where  $d \in \mathbb{Z}^+$ .
- (3) If  $|a| = n$  then we have  $\langle a^k \rangle = \langle a^l \rangle \iff \gcd(k, n) = \gcd(l, n)$  and so the distinct subgroups of  $\langle a \rangle$  are the groups  $\langle a^d \rangle = \{a^{kd} | k \in \mathbb{Z}_{n/d}\} = \{a^0, a^d, a^{2d}, \dots, a^{n-d}\}$  where  $d$  is a positive divisor of  $n$ .

Proof: First we show that every subgroup of  $\langle a \rangle$  is cyclic. Let  $H \leq \langle a \rangle$ . If  $H = \{e\}$  then  $H = \langle e \rangle$ , which is cyclic. Suppose that  $H \neq \{e\}$ . Note that  $H$  contains some element of the form  $a^k$  with  $k \in \mathbb{Z}^+$  since we can choose  $a^l \in H$  for some  $l \neq 0$ , and if  $l < 0$  then we also have  $a^{-l} = (a_l)^{-1} \in H$ . Let  $k$  be the smallest positive integer such that  $a^k \in H$ . We claim that  $H = \langle a^k \rangle$ . Since  $a^k \in H$ , by closure under the operation and under inversion we have  $(a^k)^i \in H$  for all  $i \in \mathbb{Z}$  and so  $\langle a^k \rangle \subseteq H$ . Let  $a^l \in H$ , where  $l \in \mathbb{Z}$ . Write  $l = kq + r$  with  $0 \leq r < k$ . Then  $a^l = a^{kq}a^r$  so we have  $a^r = a^l(a^{kq})^{-1} \in H$ . By our choice of  $k$  we must have  $r = 0$ , so  $l = qk$  and so  $a^l \in \langle a^k \rangle$ . Thus  $H \subseteq \langle a^k \rangle$ .

Suppose that  $|a| = \infty$ . If  $l = \pm k$  then clearly  $\langle a^l \rangle = \langle a^k \rangle$ . Suppose that  $\langle a^l \rangle = \langle a^k \rangle$ . Since  $a^k \in \langle a^l \rangle$  we have  $l = kt$  for some  $t \in \mathbb{Z}$ , so  $k|l$ . Since  $a^k \in \langle a^l \rangle$  we have  $l|k$ . Since  $k|l$  and  $l|k$  we have  $l = \pm k$ .

Now suppose that  $|a| = n$ . Note first that for any divisor  $d|n$  we have

$$\langle a^d \rangle = \{a^{dk} | k \in \mathbb{Z}_{n/d}\} = \{a^0, a^d, a^{2d}, \dots, a^{n-d}\}$$

with the listed elements distinct so that  $|a^d| = \frac{n}{d}$ . We claim that  $\langle a^k \rangle = \langle a^d \rangle$  where  $d = \gcd(k, n)$ . Since  $d|k$  we have  $a^k \in \langle a^d \rangle$  so  $\langle a^k \rangle \subseteq \langle a^d \rangle$ . Choose  $s, t \in \mathbb{Z}$  so that  $ks + nt = d$ . Then  $a^d = a^{ks+nt} = (a^k)^s(a^n)^t = (a^k)^s \in \langle a^k \rangle$  and so  $\langle a^d \rangle \subseteq \langle a^k \rangle$ . Thus  $\langle a^k \rangle = \langle a^d \rangle$ , as claimed. Now if  $\langle a^k \rangle = \langle a^l \rangle$  and  $d = \gcd(k, n)$  and  $c = \gcd(l, n)$  then  $\langle a^d \rangle = \langle a^k \rangle = \langle a^l \rangle = \langle a^c \rangle$  and so  $|\langle a^d \rangle| = |\langle a^c \rangle|$ , that is  $\frac{n}{d} = \frac{n}{c}$ , and so  $d = c$ . Conversely, if  $d = \gcd(k, n) = \gcd(l, n) = c$  then we have  $\langle a^k \rangle = \langle a^d \rangle = \langle a^l \rangle$ .

**2.24 Corollary:** (*Orders of Elements in a Cyclic Group*) Let  $G$  be a group and let  $a \in G$ .

- (1) If  $|a| = \infty$  then  $|a^0| = 1$  and  $|a^k| = \infty$  for all  $0 \neq k \in \mathbb{Z}$ , and
- (2) if  $|a| = n$  then  $|a^k| = \frac{n}{\gcd(k, n)}$  for all  $k \in \mathbb{Z}$ .

**2.25 Corollary:** (*Generators of a Cyclic Group*) Let  $G$  be a group and let  $a \in G$ . Then

- (1) if  $|a| = \infty$  then  $\langle a^k \rangle = \langle a \rangle \iff k = \pm 1$ , and
- (2) if  $|a| = n$  then  $\langle a^k \rangle = \langle a \rangle \iff \gcd(k, n) = 1 \iff k \in U_n$ .

**2.26 Corollary:** (*The Number of Elements of Each Order in a Cyclic Group*) Let  $G$  be a group and let  $a \in G$  with  $|a| = n$ . Then for each  $k \in \mathbb{Z}$ , the order of  $a^k$  is a positive divisor of  $n$ , and for each positive divisor  $d|n$ , the number of elements in  $\langle a \rangle$  of order  $d$  is equal to  $\varphi(d)$ .

**2.27 Corollary:** For  $n \in \mathbb{Z}^+$  we have  $\sum_{d|n} \varphi(d) = n$ .

**2.28 Corollary:** (The Number of Elements of Each Order in a Finite Group) Let  $G$  be a finite group. For each  $d \in \mathbb{Z}^+$ , the number of elements in  $G$  of order  $d$  is equal to  $\varphi(d)$  multiplied by the number of cyclic subgroups of  $G$  of order  $d$ .

**2.29 Theorem:** (Elements of  $\langle S \rangle$ ) Let  $G$  be a group and let  $\emptyset \neq S \subseteq G$ . Then

$$\begin{aligned} \langle S \rangle &= \{a_1^{k_1} a_2^{k_2} \cdots a_l^{k_l} \mid l \geq 0, a_i \in S, k_i \in \mathbb{Z}\} \\ &= \{a_1^{k_1} a_2^{k_2} \cdots a_l^{k_l} \mid l \geq 0, a_i \in S \text{ with } a_i \neq a_{i+1}, 0 \neq k_i \in \mathbb{Z}\} \end{aligned}$$

where the empty product (when  $l = 0$ ) is the identity element. If  $G$  is abelian then

$$\langle S \rangle = \{a_1^{k_1} a_2^{k_2} \cdots a_l^{k_l} \mid l \geq 0, a_i \in S \text{ with } a_i \neq a_j \text{ for } i \neq j, 0 \neq k_i \in \mathbb{Z}\}.$$

Proof: The proof is left as an exercise.

**2.30 Notation:** If  $G$  is an additive abelian group then

$$\langle S \rangle = \text{Span}_{\mathbb{Z}}\{S\} = \{k_1 a_1 + k_2 a_2 + \cdots + k_l a_l \mid l \geq 0, a_i \in S, a_i \neq a_j \text{ for } i \neq j, 0 \neq k_i \in \mathbb{Z}\}.$$

**2.31 Example:** As an exercise, show that in  $\mathbb{Z}$  we have  $\langle k, l \rangle = \langle d \rangle$  where  $d = \gcd(k, l)$ .

**2.32 Example:** In  $\mathbb{Z}^2$ , the elements of  $\langle (1, 3), (2, 1) \rangle$  are the vertices of parallelograms which cover  $\mathbb{R}^2$ .

**2.33 Example:** We have  $D_n = \langle R_1, F_0 \rangle \leq O_2(\mathbb{R})$  because  $R_k = R_1^k$  and  $F_k = R_k F_0$ .

**2.34 Definition:** Let  $S$  be a set. The **free group** on  $S$  is the set whose elements are

$$F(S) = \{a_1^{k_1} a_2^{k_2} \cdots a_l^{k_l} \mid l \geq 0, a_i \in S, 0 \neq k_i \in \mathbb{Z}\}$$

with the operation given by concatenation

$$(a_1^{j_1} \cdots a_l^{j_l})(b_1^{k_1} \cdots b_m^{k_m}) = a_1^{j_1} \cdots a_l^{j_l} b_1^{k_1} \cdots b_m^{k_m}$$

followed by grouping and cancellation in the sense that if  $a_l = b_1$  then we replace  $a_l^{j_l} b_1^{k_1}$  by  $a_l^{j_l+k_1}$  and if, in addition,  $j_l + k_1 = 0$  then we omit the term  $a_l^0$  and perform further grouping if  $a_{l-1} = b_2$ . For example, in  $F(a, b)$  we have

$$(a b^2 a^{-3} b)(b^{-1} a^3 b a^{-2}) = a b^2 a^{-3} b b^{-1} a^3 b a^{-2} = a b^2 a^{-3} a^3 b a^{-2} = a b^2 b a^{-2} = a b^3 a^{-2}.$$

Note that in the free group  $F(S)$  we have  $F(S) = \langle S \rangle$ .

**2.35 Definition:** Let  $S$  be a set. The **free abelian group** on  $S$  is the set

$$A(S) = \{k_1 a_1 + \cdots + k_l a_l \mid l \geq 0, a_i \in S \text{ with } a_i \neq a_j, 0 \neq k_i \in \mathbb{Z}\}.$$

If we identify the element  $k_1 a_1 + k_2 a_2 + \cdots + k_l a_l$  with the function  $f : S \rightarrow \mathbb{Z}$  given by  $f(a_i) = k_i$  and  $f(a) = 0$  for  $a \neq a_i$  for any  $i$ , then we can identify  $A(S)$  with the set

$$A(S) = \sum_{a \in S} \mathbb{Z} = \{f : S \rightarrow \mathbb{Z} \mid f(a) = 0 \text{ for all but finitely many } a \in S\}.$$

Under this identification, we use the operation given by  $(f + g)(a) = f(a) + g(a)$ .