

9. Combating noise: quantum error correcting codes

(NC 10.1-10.3, 10.5, M 5, KLM 10)

- (a) Classical noise model
- (b) 3-bit repetition code
- (c) Quantum noise model
- (d) Quantum 3-bit repetition code for X errors
- (e) Shor 9-bit code for arbitrary Pauli error
- (g) Discretization and sufficient conditions for QECC
- (h) Stabilizer formalism -- quantum parity checks !
- (i) Shor 9-bit code reloaded
- (j) Sufficient conditions for QECC for stabilizer codes
- (l) 7-bit Steane code
- (m) Erasure errors, q secret sharing, AdS/CFT corr

5-bit
code

5-qubit code for arbitrary 1-qubit error

Consider 4 commuting Pauli's (generators) in 5 qubits:

$$G1 = XZZXI$$

$$G2 = IXZZX$$

$$G3 = XIXZZ$$

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and a 2-dim QECC C (so 1 qubit is encoded in 5).

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(a) yes, (b) no.

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Yes, because $G1 G2 G3 G4 = ZZXIX$.

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(5 positions, 3 types) no error

$$5 \times 3 + 1$$

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← 16 cases to check →

	I	X1	X2	Y5
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Proof: tricky since it may not be necessary to distinguish the errors (e.g., Z's in 9-bit Shor code). (Out of scope, see Gottesman thesis if interested.)

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Checking correctness of 5-bit code is different from "understanding why it works". The second proof of correctness relies on special sufficient QECC condition for stabilizer codes.

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QECC condition for stabilizer codes and Pauli errors

Let G_1, G_2, \dots, G_m be the generators for the stabilizer group for a stabilizer code C .

Let E_1, E_2, \dots, E_r be a set of Pauli matrices.

Then, any quantum operation with Kraus operators in the span of E_1, E_2, \dots, E_r is correctible on C if

$$\forall i \neq j, \exists \ell \text{ s.t. } E_i E_j \text{ anticommutes with } G_\ell.$$

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Proof: let P be the projector onto the codespace C .

Note $P = \left(\frac{I+G_1}{2}\right) \left(\frac{I+G_2}{2}\right) \left(\frac{I+G_3}{2}\right) \dots \left(\frac{I+G_m}{2}\right)$

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So, $\forall i=1, 2, \dots, m \quad [P, G_i] = 0.$

commutator
 $[A, B] = AB - BA$
anticommutator
 $\{A, B\} = AB + BA$

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$$\begin{aligned} \forall |\psi\rangle, P E_i E_j P |\psi\rangle & \quad (|\psi\rangle \in A \text{ the ambient space}) \\ = P E_i E_j G_l P |\psi\rangle & \quad (\because P|\psi\rangle \in C) \end{aligned}$$

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$$\text{For } i = j, P E_i E_j P = P$$

So, the sufficient condition for QECC is satisfied.

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Then, any quantum operation with Kraus operators in the span of E_1, E_2, \dots, E_r is correctible on C if

$$\forall i \neq j, \exists \lambda \text{ s.t. } E_i E_j \text{ anticommutes with } G_\lambda.$$

Next: showing the above abstract condition implies that the errors have distinct \pm signs when we measure G_1, G_2, \dots, G_m , leading to a simple algorithm to identify the error.

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Proof: the measurement of G_1, G_2, \dots, G_m is described by 2^m projectors $\left(\frac{I \pm G_1}{2}\right) \left(\frac{I \pm G_2}{2}\right) \left(\frac{I \pm G_3}{2}\right) \dots \left(\frac{I \pm G_m}{2}\right)$.

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$$\forall i \text{ let } C_{il} = \begin{cases} +1 & \text{if } [E_l, G_l] = 0 \\ -1 & \text{if } \{E_l, G_l\} = 0 \end{cases}$$

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direct substitution

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insert identity

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which is one of the projectors when measuring G_1, G_2, \dots, G_m .

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which is one of the projectors when measuring G_1, G_2, \dots, G_m .

Note $E_i P E_i$ projects onto C_{i1} eigenspace of G_1 , and C_{i2} eigenspace of G_2 , \dots , C_{im} eigenspace of G_m .

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Furthermore, for $i \neq j$,

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then, exactly one of E_i, E_j commute with G_ℓ ,

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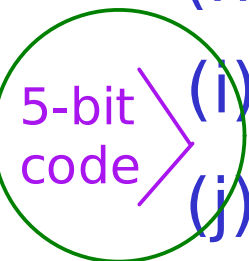
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This is an algorithmic way to see and use the sufficient QECC condition $\forall i \neq j, \exists \ell \text{ s.t. } \{E_i E_j, G_\ell\} = 0$

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Consider all possible $E_{\bar{i}}E_{\bar{j}}$,
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\(\Rightarrow\) $i \neq j$ at least one of $E_i, E_j \neq I$. WLOG, $E_i \neq I$.

\(\Rightarrow\) E_i is a 1-qubit Pauli error. By cyclic symmetry,
 E_i acts nontrivially on the 1st qubit.

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$\begin{array}{l} G1 = XZZXI \\ G2 = IXZZX \\ G3 = XIXZZ \\ G4 = ZXIXZ \end{array} \left \right.$	<p>\(\therefore E_i E_j = X, Y, \text{ or } Z \text{ on 1st qubit, which anticommutes with } G4, G1, G1 \text{ resp.}\)</p>
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Case 2: E_j acts nontrivially on one of qubits 2-5

Case 1: $E_j = I$, or E_j is an error on the 1st qubit,

Case 2: E_j acts nontrivially on one of qubits 2-5, thus anticommutes with one of

$$G_2 = IXZZX$$

$$G_1 G_3 = IZYYZ$$

since in each of columns 2-5, we have 2 DIFFERENT Paulis, and E_j cannot commute with both.

e.g., if E_j acts nontrivially on the 2nd qubit,
then $E_j = IXII$ or $IYII$ anticommutes with $G_1 G_3$
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Also E_i acts only on qubit 1 and thus commutes with G_2 and $G_1 G_3$. Together $E_i E_j$ must anticommute with at least one of G_2 and $G_1 G_3$ (then one of G_1 or G_3).



What are the codewords for the code stabilizer by

$$G1 = XZZXI$$

$$G2 = IXZZX$$

$$G3 = XIXZZ$$

$$G4 = ZXIXZ \quad ?$$

The generators only specify a 2-dim codespace C .
Any basis of C can be $\{|0_L\rangle, |1_L\rangle\}$.

One way to specify $\{|0_L\rangle, |1_L\rangle\}$ is to specify Z_L, X_L .

Logical operations for a stabilizer code

Theorem: Let G_1, G_2, \dots, G_m be the generator of a stabilizer group, U a unitary, all acting on n qubits, C the stabilizer code, and P the projector onto C .

If U commutes with all the generators, then, U is a logical operation on the codespace.

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Proof (Theorem): $P = \frac{1}{2}(I+G_1) \frac{1}{2}(I+G_2) \cdots \frac{1}{2}(I+G_m)$

By hypothesis, $PU=UP$.

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Proof (Theorem): $P = \frac{1}{2}(I+G_1) \frac{1}{2}(I+G_2) \cdots \frac{1}{2}(I+G_m)$, $PU=UP$.

So, $\forall |\psi_L\rangle \in C$, $P \underbrace{U|\psi_L\rangle}_{\substack{PU=UP \\ |\psi_L\rangle \in C}} = U \underbrace{P|\psi_L\rangle}_{|\psi_L\rangle \in C} = U|\psi_L\rangle \therefore U|\psi_L\rangle \in C$

The theorem can be strengthened:
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$$\{ UMU^\dagger : M \in S \} = S \Rightarrow UP = PU.$$

So U is a logical operation if conjugating the elements of S by U permutes them (special case: U commutes with each generator $UMU^\dagger = M$).

Logical operations for the 5-qubit code:

$$G1 = XZZXI$$

$$G2 = IXZZX$$

$$G3 = XIXZZ$$

$$G4 = ZXIXZ$$

By inspection, both $XXXXX$, $ZZZZZ$ commute with all the generators, are hermitian, and square to $IIII$.

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If we only specify Z , there is a free relative phase between $|0_L\rangle, |1_L\rangle$, so we pick X_L, Z_L together.

Codewords for stabilizer code of 2-dim on n qubits

$$|0_L\rangle\langle 0_L| = \frac{1}{2}(I+G_1) \frac{1}{2}(I+G_2) \cdots \frac{1}{2}(I+G_{n-1}) \frac{1}{2}(I+Z_L)$$

\parallel
 P

\parallel
 $|0_L\rangle\langle 0_L|$

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Easiest to take any state $|\psi\rangle$, and find $|\psi'\rangle = |0_L\rangle\langle 0_L|\psi\rangle$.

Then, $|0_L\rangle = \frac{|\psi'\rangle}{\| |\psi'\rangle \|}$, (up to an overphase).

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Then, $|0_L\rangle = \frac{|\psi'\rangle}{\| |\psi'\rangle \|}$, (up to an overphase).

Do not find $|1_L\rangle$ by the above method.

Instead, take $|1_L\rangle = X_L |0_L\rangle$ to ensure the relative phase between $|0_L\rangle, |1_L\rangle$ is correct.

Codewords for the 5-qubit code:

$$|0_L\rangle\langle 0_L| = \frac{1}{2}(I+G_1) \frac{1}{2}(I+G_2) \frac{1}{2}(I+G_3) \frac{1}{2}(I+G_4) \frac{1}{2}(I+Z_L)$$

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$Z X I X Z$ $Z^{\otimes 5}$

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Handwritten annotations in the image:

- Blue: $XIXZZ$ with a bracket under $\frac{1}{2}(I+G_3) \frac{1}{2}(I+G_4)$
- Green: $ZXIXZ$ with a bracket under $\frac{1}{2}(I+G_3) \frac{1}{2}(I+G_4)$
- Red: Z^{05} above $\frac{1}{2}(I+Z_L)$

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 &= \frac{1}{16} (I+G_1)(I+G_2) (|00000\rangle + |01010\rangle + |10100\rangle - |11110\rangle)
 \end{aligned}$$

XIXZZ (above the first three terms in the second line)
 ZXIXZ (above the first three terms in the third line)
 $Z^{\otimes 5}$ (above the fourth term in the third line)
 XZZXI (below the first two terms in the fourth line)
 IXZZX (below the last two terms in the fourth line)

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/

XXXXXX

Historical note: the 5-bit code was found numerically without a derivation. The stabilizer formalism provides a possible derivation and understanding of how the code works.

9. Combating noise: quantum error correcting codes

(NC 10.1-10.3, 10.5, M 5, KLM 10)

- (a) Classical noise model
- (b) 3-bit repetition code
- (c) Quantum noise model
- (d) Quantum 3-bit repetition code for X errors
- (e) Shor 9-bit code for arbitrary Pauli error
- (g) Discretization and sufficient conditions for QECC
- (h) Stabilizer formalism -- quantum parity checks !
- (i) Shor 9-bit code reloaded
- (j) Sufficient conditions for QECC for stabilizer codes
- (l) 7-bit Steane code (later)
- (m) Erasure errors, q secret sharing, AdS/CFT corr

5-bit
code

We have understood how to correct Pauli errors.

Is it easier or harder to correct erasure errors,
compared to unknown Pauli errors?

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Is it easier or harder to correct erasure errors, compared to unknown Pauli errors?

Question:

if a QECC can correct up to t unknown Pauli errors, can it correct:

- (a) more than t erasures
- (b) t erasures
- (c) fewer than t erasures
- (d) nothing of the above, depends on the code

Checking our intuition in the classical setting:

For the 3-bit repetition code: 0 \rightarrow 000, 1 \rightarrow 111

- 2 unknown bit-flips (to bits 1,2) cause a logical error

000 \rightarrow 110 \rightarrow 111

111 \rightarrow 001 \rightarrow 000

syndrome \pm , correction flips the 3rd bit.

Checking our intuition in the classical setting:

For the 3-bit repetition code: 0 \rightarrow 000, 1 \rightarrow 111

- 2 unknown bit-flips (to bits 1,2) cause a logical error

000 \rightarrow 110 \rightarrow 111

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syndrome \pm -, correction flips the 3rd bit.

- 2 erasure (known) (to bits 1,2) can be corrected:

000 \rightarrow EE0 \rightarrow 000

111 \rightarrow EE1 \rightarrow 111

by using the 3rd (trusted) bit.

Lemma: suppose a QECC can correct up to any t -qubit unknown errors, then it corrects up to $2t$ erasure errors!

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NB. The lemma holds for QECC on any dim. For simplicity, $d=2$. Let P = projector onto C . The code corrects t unknown Pauli errors.

We will see 1 proof, and a 2nd proof is provided in the notes as reading exercise.

Proof 1: decoding procedure

1. record the $2t$ erasure locations.

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Let $\{F_i\}$ denote these Pauli errors. To see that $F_i P F_i^\dagger$'s are orthogonal projectors:

$$\forall i \neq j, \quad F_i P F_i^\dagger F_j P F_j^\dagger = F_i P E_a E_b P F_j^\dagger$$

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F_i, F_j act nontrivially and differently on the same $2t$ qubits, so $F_i F_j = E_a E_b$, each of E_a, E_b acts nontrivially on at most t qubits, and $E_a \neq E_b$.

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By the QECC condition, $P E_a E_b P = 0$.

e.g. for the 5-qubit code, if the first & second qubits are erased, we have to distinguish 16 possible errors:

IIII, XIII, YIII, ZIII,
IXII, XXII, YXII, ZXII,
IYII, XYII, YYII, ZYII,
IZII, XZII, YZII, ZZII.

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Say, if $F_i = XIII$, $F_j = ZYII$, $F_i F_j = YYII = (YIII)(IYII)$
so, $P F_i F_j P = P (YIII)(IYII) P = 0$.

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Therefore, the 16 projectors:

$IIII P IIII$, $XIII P XIII$, ..., $ZZII P ZZII$ are orthogonal.

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IYIII, XYIII, YYIII, ZYIII,
IZIII, XZIII, YZIII, ZZIII.

Say, if $F_i = XIII$, $F_j = ZYIII$, $F_i F_j = YIII = (YIII)(IYIII)$
so, $P F_i F_j P = P (YIII)(IYIII) P = 0$.

Therefore, the 16 projectors:

$IIII P IIII$, $XIII P XIII$, ..., $ZZIII P ZZIII$ are orthogonal.

Once we have the syndrome, we correct the error according to the syndrome (one of the 15 two-qubit errors on the first two qubits), despite the fact it's initially an erasure.

Here, we use the erasure symbol to locate the errors, then, we modify the initial erasure to become located but unknown Pauli errors. Finally, we identify which Pauli error has occurred.

Proof 2: we check that the sufficient condition for QECC is satisfied, directly for the erasure errors.

An erasure on a qubit can be described as $\mathcal{E}(\rho) = |\mathcal{Z}\rangle\langle\mathcal{Z}|$.

In Kraus representation:

$$\mathcal{E}(\rho) = \sum_{k=0}^1 \underbrace{|\mathcal{Z}\rangle\langle k|}_{A_k} \rho \underbrace{|k\rangle\langle\mathcal{Z}|}_{A_k^\dagger}$$

$\text{tr} \rho = 1$

If up to $2t$ erasures happen to n qubits, the quantum operation has Kraus operators of the form:

$$M_1 \otimes M_2 \otimes \dots \otimes M_n$$

where at least $(n-2t)$ of the M_i 's equal to I , the rest are equal to $|\mathcal{Z}\rangle\langle k_1|$, $|\mathcal{Z}\rangle\langle k_2|$, ...

Consider 2 such Kraus operators E , F , and $P E^\dagger F P$,

If E, F do not have the non-identity tensor components in the same qubits, $E^\dagger F = 0$.

$$\text{eg. If } E = |2\rangle\langle k| \otimes I \otimes \dots$$

$$F = I \otimes I \otimes \dots$$

$$I = |0\rangle\langle 0| + |1\rangle\langle 1|, \text{ so } |k\rangle\langle 2| \cdot I = 0, \text{ so } E^\dagger F = 0$$

$$\text{If } E = I \otimes I \otimes \dots$$

$$F = |2\rangle\langle k| \otimes I \otimes \dots$$

$$\text{Similarly, } I \cdot |2\rangle\langle k| = 0, \text{ so } E^\dagger F = 0$$

So, $E^\dagger F$ has at least $n-2t$ identity tensor factors, and up to $2t$ tensor factors of the form: $|k\rangle\langle 2| \cdot |2\rangle\langle l| = |k\rangle\langle l|$

If $E \neq F$, then, $E^\dagger F$ is traceless (at least one tensor component is $|k\rangle\langle l|$ for $k \neq l$).

So, $E^\dagger F$ is spanned by the $2t$ -qubit Pauli operators, without the identity. Since the QECC correct up to t Pauli errors, each $P E_a P = 0$ for $E_a \neq I$, E_a Pauli error on up to $2t$ qubits. (': $P E_i^\dagger E_j P = 0$ if E_i, E_j are at most t -qubit errors, $E_i \neq E_j$.)

$$\sum_i P E_i^\dagger F P = 0$$

If $E=F$, $E^\dagger F$ is a tensor product of I 's and $|k\rangle\langle k|$'s,

The matrix $|k\rangle\langle k| = \frac{I}{2} \pm \frac{Z}{2}$.

\uparrow
 traceless

The traceless part vanished in $P E^\dagger F P$. The traceful part is proportional to the identity. So, $P E^\dagger F P = m P$ where $m > 0$.



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2. Erasure is related to partial trace. Ex: how are they related?
3. Question: can the 5-qubit code correct 3 erasures ?
 - (a) Yes
 - (b) No

Quantum secret sharing and erasure codes

Secret sharing is a cryptographic task that encodes sensitive data into multiple quantum systems, and distribute one system to each party.

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Quantum secret sharing and erasure codes

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Simple classical example: share one bit s between 2 parties: Alice receives a , and Bob receives b .

If $s=0$, then $ab = 00, 11$ at random.

If $s=1$, then $ab = 01, 10$ at random.

Each of Alice and Bob has no information on s , but they can reconstruct s together.

Theorem (Cleve, Gottesman, Lo):

Suppose C is a QECC that encodes k qubits into n qubits, and correcting up to t erasures. If we encode a k -qubit secret into n qubits and distribute them among n parties, colluding group of up to t parties cannot learn anything about the secret, while $n-t$ parties can jointly recover the quantum secret.

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Proof: Since the QECC corrects t erasures, $n-t$ parties can recover the quantum secret.

Conversely, suppose, by contradiction, t colluding parties can learn information about the encoded quantum secret. But the other $n-t$ parties can decode a perfect copy of the quantum secret, violating the information gain implies disturbance principle.

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Example: we can encode a secret qubit into 5 qubits,
and give one qubit to each party. No two of them can
learn any information about the qubit, while any 3 of
them can decode the qubit.

The AdS/CFT correspondence, and modelling
spacetime with holographic QECC.

quant-ph/1411.7041v3

quant-ph/1503.06237v2

[https://quantumfrontiers.com/2015/03/27/
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